

LIBRARY OF CONGRESS
 in collaboration with
 LAWRENCE BERKELEY NATIONAL LABORATORY
 + + + + +
 AUDIO MEDIA PRESERVATION THROUGH IMAGING
 CONFERENCE

+ + + + +
 THURSDAY
 JULY 16, 2015

+ + + + +

The Conference met in the Mumford Room,
 Library of Congress, 101 Independence Avenue, S.E.,
 Washington, D.C., at 9:30 a.m.

ORGANIZING COMMITTEE

PETER ALYEA, Library of Congress
 EUGENE DEANNA, Library of Congress
 CARL HABER, Lawrence Berkeley National
 Laboratory
 ADRIJA HENLEY, Library of Congress
 STEPHEN LEGGETT, Library of Congress

SPEAKERS

MARK SWEENEY, Associate Librarian, Library
 Services
 OTTAR JOHNSEN, Haute ecole d'ingenieurs et
 d'architectes de Fribourg
 STEFANO SERGIO CAVAGLIERI, Swiss National Sound
 Archives
 JOHN MCBRIDE, University of Southampton
 STIG L. MOLNERYD, National Library of Sweden
 JOSHUA STERNFELD, The National Endowment for the
 Humanities

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
 1323 RHODE ISLAND AVE., N.W.
 WASHINGTON, D.C. 20005-3701

JESSE JOHNSTON, The National Endowment for the
Humanities
BILL VEILLETTE, Northeast Document Conservation
Center
MASON VANDER LUGT, Northeast Document
Conservation Center
JAMES NYE, University of Chicago Library
SUNDAR GANESAN, Roja Muthiah Research Library
SURESH BABU GOVINDARAJU CHANDRAN, Roja Muthiah
Research Library
CARLENE STEPHENS, National Museum of American
History
SHARI STOUT, National Museum of American History
FENELLA FRANCE, Library of Congress
BILL KLINGER, Klinger Engineering Services

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

TABLE OF CONTENTS

	<u>Page</u>
Welcome and Introduction by Peter Alyea.....	5
Introduction to the Conference by Eugene DeAnna.....	10
Overview of Minimally Invasive and Automated Approaches to Recorded Sound Preservation and Access by Carl Haber.....	22
VisualAudio: The Design, Issues, and Characteristics by Ottar Johnsen.....	58
Practical VisualAudio by Stefano Cavaglieri.....	79
Discussions and Questions	97
The Non-Contact Surface Scanning Recordings for the Preservation of Audio Content by John McBride.....	112
Making Optical Transmissions from Records Faster than Real Time at Possible Sound Quality by Stig Molneryd.....	136
IRENE: High Resolution Direct Imaging and Analysis of Data from Mechanical Sound Carriers by Carl Haber.....	150
Discussions and Questions	180
NEH and Audiovisual Preservation and Access by Joshua Sternfeld and Jesse Johnston.	211
IRENE: From Laboratory to Marketplace by Bill Veillette.....	237

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

Imaging as Assessment by Mason Vander Lugt.....	247
Discussions and Questions	271

P-R-O-C-E-E-D-I-N-G-S

(9:41 a.m.)

MR. ALYEA: So, welcome. This is the first meeting of this particular group of people. This is a very new technology. We're really excited that all of you have been able to come and we hope that we continue this dialog even past this conference. So we have some opening remarks from Mark Sweeney.

MR. SWEENEY: Thank you, Peter, and good morning to all. I'm Mark Sweeney, the Associate Librarian for Library Services, and on behalf of the Librarian of Congress, Dr. James Billington, it's my pleasure to welcome you today to the Library and to this three-day conference on Audio Media Preservation Through Imaging Technology.

As Peter says, this is just an excellent opportunity for us to share our knowledge and experience and sort of chart a path for the future. And we're having wonderful weather today here in Washington and for those that had an opportunity to go out to Culpeper, I think you saw something

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 really special yesterday.

2 So audio recording has existed for over
3 150 years. The development from an phonautograph,
4 to tin foil, to the myriad of today's, you know,
5 modern high-fidelity digital recordings, you know,
6 it's all amazing and astounding.

7 And over a comparatively short period
8 of time, about 15 years, many of those in attendance
9 here have developed, introduced, refined, the
10 imaging technology to capture audio from physical
11 audio carriers for the purpose of preserving
12 information from these valuable artifacts.

13 The collaboration that has surrounded
14 the development of these specialized imaging tools
15 has been open and a collegial one, and there have
16 been substantial contributions from the Lawrence
17 Berkeley National Laboratory, the University of
18 Applied Science in Fribourg, Switzerland, the
19 University of California, Berkeley, Northeast
20 Document Conservation Center, First Sounds, the
21 National Museum of American History, the Thomas
22 Edison National Historical Site, Roja Muthiah

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 Research Library in Chennai, India, the University
2 of Chicago, and of course as well, the Library of
3 Congress here today.

4 The research has received major funding
5 from a number of sources, the Institute for Museum
6 and Library Services, the National Endowment for
7 the Humanities, the Library of Congress, as well
8 as support from the University of California, the
9 Department of Energy, the Smithsonian Institution,
10 the Schenectady Museum of Innovation and Science,
11 the National Archives and Records Administration,
12 Harvard University, the Council on Library and
13 Information Resources, the Mellon Foundation,
14 Guggenheim Foundation, the MacArthur Foundation,
15 so we have many supporters that have been helping
16 us along the way.

17 As is true with any technological
18 development, there are successes and challenges.
19 Utilization imaging technology on audio media has
20 already given us access to recordings that were
21 broken into pieces and too fragile of a state to
22 be touched physically, and in early experimental

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 formats for which, you know, we have no playback
2 equipment.

3 So only a relatively short time ago,
4 these recordings were considered lost to history,
5 but now they're accessible again. But there are
6 also issues that have yet to be solved. I would put
7 high on this list, maturation of this technology
8 to meet our specific needs at scale.

9 This gathering of collection holders
10 and instrument builders can help define the
11 priorities for new tools to meet our needs. By
12 balancing the most pressing needs of collections
13 with the capabilities of the technology today, while
14 also planning for the future, those gathered here
15 can help shape the development and the roadmap for
16 these imaging media systems of tomorrow.

17 There are so many sound recordings in
18 need of preservation, setting the proper priorities
19 for development is the first imperative. We
20 believe this technology has diverse uses as a
21 non-invasive format migration tool for media in a
22 wide range of physical conditions. It also serves

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 as an assessment and measurement tool to set
2 standards for media playback. These are core
3 requirements for preservation.

4 A future roadmap will allow others in
5 the field to consider how this technology fits into
6 their preservation strategies and to plan
7 accordingly. As the technology gains capabilities
8 and preservation specialists accumulate real-world
9 experience with these tools, the position and
10 acceptance of the technology will broaden; should
11 broaden.

12 By working cooperatively, this group of
13 mavericks has made considerable progress over the
14 relatively short period of time, maturing the
15 application of new technology for a very specific
16 purpose. As future challenges are met and
17 solutions are proposed, the collegial nature of this
18 group should see it through the most difficult
19 future issues.

20 Since preservation serves not only
21 living scholars and patrons, but also future
22 generations, the generosity of this group shows that

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 its deep knowledge to solve long-standing problems
2 inherent with this valuable historical record is
3 recognized, so I thank you for your dedication.

4 I'd like to conclude by also thanking
5 the members of our conference organizing team.
6 That would be Peter Alyea, Gene DeAnna, Carl Haber,
7 Adrija Henley, Steven Leggett, and Angela Newburn.
8 Thank you for your hard work. Please enjoy the
9 conference and I look forward to sitting in and
10 learning more. Thank you.

11 MR. DEANNA: Good morning, everyone.
12 I'm Gene DeAnna. I'm head of the recorded sound
13 section here at the Library, and I want to welcome
14 all of you that I didn't welcome yesterday at the
15 tour of the Packard Campus. This is a great,
16 exciting meeting. It's wonderful to see you all
17 here today.

18 We had a really, I think, interesting
19 tour, we had great discussions yesterday, or
20 beginning discussions, at lunch, in the hallways
21 between our stops at the Packard Campus, and before
22 we get too far along, I want to make sure to invite

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 all of you who weren't able to make the trip to please
2 let us know and we'll try to make arrangements to
3 get you out there to see the facility. I'm sure
4 it'll be worth your while.

5 I want to second Mark's thanks,
6 particularly to Adrija Henley and my colleague,
7 Steve Leggett, who I'm not sure has made it today,
8 but Steve is the coordinator of our National Film
9 Board and our National Recording Preservation
10 Board, and there are several board members here
11 today.

12 The support of the Library and Congress
13 of these boards has allowed them to support national
14 efforts at preserving film and sound. You know, it
15 helps to make events like this possible. As an
16 archivist who's been in the field for over 25 years
17 now, seeing this gathering of scientific,
18 technical, and archival expertise focused on an
19 exciting and still emerging technology for
20 capturing sound is extremely gratifying.

21 In December of 2012, the Library and the
22 National Recording Preservation Board, with the

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealgross.com

1 assistance of the Council of Library Information
2 Resources, published a national plan for the
3 preservation of our recorded legacy. That plan
4 distilled over 100 preliminary recommendations
5 gathered from an extensive study, multi-year study,
6 and the recommendations of an eminent group of
7 expert task forces.

8 Distilled them down to about 32 major
9 recommendations and since its publication, that
10 plan has been anything but just another report of
11 good idea that sits on a shelf somewhere. It's been
12 a vital document. It has influenced the direction
13 of the work and provided a national framework for
14 the focus of audio preservationists and the
15 institutions and organizations that support their
16 work all across this country, and actually,
17 internationally as well.

18 So today, we gather here under the
19 auspices of Recommendation 1.7 of the National
20 Recording Preservation Plan, which says to
21 encourage scientific and technical research
22 leading to the development of new technologies to

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 recover, reformat, and preserve audio recording
2 media.

3 The development of imaging technology
4 for audio is a terrific story. When you tell people
5 about it and they read about, they respond to it
6 like it's magic, and there is an element of magic
7 to it, I think. And, you know, from a more technical
8 standpoint, it's just really cool. There remains
9 a lot of work to be done, discussing, defining,
10 guiding that work.

11 Defining the framework of how to guide
12 that work is going to be one of the important goals
13 of this conference. Now, for me as an archive
14 manager of a too large archive of recorded sound,
15 I see three clear significant benefits and pathways
16 to development to audio preservation that imaging
17 technology offers, and that does not include the
18 coolness factor.

19 The first is obviously the safe recovery
20 of audio from fragile, damaged, or broken carriers,
21 sound that otherwise might not be recovered, so
22 playback of the unplayable or probably should not

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 be played. And that also includes the safe playback
2 of unclean, unprepped recordings to enable us to
3 identify them for purposes of assessment and
4 prioritization.

5 You'd be amazed at the resources that
6 have to go into the conservation prep work to get
7 recordings that, you just want to hear what they
8 are, and get them ready to put a stylus on them,
9 it's commitment of a lot of resources and you can
10 imagine those materials that demand that kind of
11 work often get just shunted aside for practical
12 purposes.

13 Secondly, it's a non-time-based method
14 for making transfer of groove media and it can be
15 carried out in parallel and an unmonitored workflow.
16 So I decided to do a little third-grade math, because
17 occasionally, I like to push beyond my comfort zone,
18 and I calculated that LC holds about, oh, say, a
19 million hours of unique audio, that is, no dupes.
20 One-of-a-kind audio. So clearly, if you think
21 about a million hours of preservation to do in
22 standard time-based capture, we need to develop

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 other ways of doing this that overcome playback time
2 and the limitations of standard reformatting.

3 And thirdly, this is the little harder
4 for us, this is new for me to get my head around
5 this, but it's the transformational ability that
6 3-D imaging provides for digitally preserving the
7 carriers themselves, that is, the grooves, to image
8 those grooves, capture that image for future
9 generations. Audio archivists operate, and
10 justifiably so, under the understanding that all
11 of this media is going to degrade or eventually
12 become unplayable for one reason or another,
13 obsolescence, over time. But imaging is adding
14 that new paradigm.

15 The goal of capturing and preserving the
16 groove structure of historical recordings,
17 historically important recordings, the earliest
18 recordings of humanity, is really now a realistic
19 consideration for archivists, and I guess we have
20 to ask ourselves what's the worth, and what's that
21 going to be worth down the line in a couple hundred
22 years for people?

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 So there's still a lot of work to do,
2 but one thing I want to point out, yesterday at the
3 tour, the group saw what I think is one of the great
4 success stories of A/V preservation. Up on the
5 third floor in the video lab there's an array of
6 five SAMA robots, they work in parallel, their
7 hopper's full of pneumatic, VHS, and beta cartridge
8 tapes.

9 These are video formats that carry the
10 vast majority of recorded television worldwide. So
11 20-some decks digitizing in parallel in a workflow
12 that generate now 25 terabytes of content a week.
13 Ladies and gentlemen, I can tell you that ten years
14 ago, television preservation was non-existent,
15 virtually non-existent.

16 This content was more at risk than our
17 nitrate, more at risk than our audiotape, our
18 lacquered disks, and our wax cylinders. Now, my
19 colleagues in the moving image section and one
20 yesterday, while he talked to the group, mentioned
21 the word, finishing, and that's a word that doesn't
22 come up too often in the recorded sound section.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 Finishing preserving television, and
2 you think, well, what happened? Well, obviously,
3 the Packard Humanities Institute and the Library
4 of Congress, and Congressional support happened,
5 but really what happened to make that a reality was
6 good science, engineering brilliance, and a clear
7 understanding of the archival principles and
8 practices of preservation.

9 So scientists, engineers, and
10 archivists, which is exactly the mix we see in this
11 room today. So I look forward to this conference.
12 I think it's very, very important, essentially, that
13 we continue down the development of imaging and I
14 want to welcome you, and I look forward to the
15 discussions. So now I want to introduce my
16 colleague, Peter Alyea, who leads the IRENE project
17 here at the Library of Congress. Peter.

18 MR. ALYEA: Good morning. I don't have
19 a lot to say, but I wanted to give a little
20 perspective that I think may not be the common way
21 we think about this. There are, obviously, a lot
22 of issues involved in this preservation, there's

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 degradation of the materials, and there's the time
2 factor of getting all these things preserved.

3 But this imaging technology actually
4 does an interesting thing. When I came to the
5 Library of Congress as a preservation specialist
6 preserving audio recordings, we went to analog tape,
7 we preserved things, and actually, that's incorrect
8 to say. We did not actually, technically, preserve
9 things. At that time, preserving something was
10 making sure the original carrier was in good
11 condition. It was very much from the book world.

12 What I did was reformat materials and
13 it wasn't considered part of preservation. Not so
14 long ago, people realized as these materials are
15 degrading and going away, and equipment to transfer
16 them was becoming less accessible, that the process
17 of reformatting was actually part of preservation,
18 because you might lose the original item.

19 However, that did, for the particular
20 items we're talking about today, that actually does
21 a transformation. These items are physical items
22 and when you do a transfer, you actually convert

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 this physical thing into an audio stream and then
2 you recapture that audio stream. So the thing
3 that's so fascinating about the idea of imaging is
4 we're back to the idea of actually preserving the
5 original item again, and it gave us a whole host
6 of possible uses in the future for these kinds of
7 things.

8 I thought I'd mention a couple
9 technologies that have been developed over a period
10 of time, which aren't actually in the physical
11 realm, but kind of highlight this. There's a
12 technology called, from plangent processes, which
13 uses bias tones, which are high-frequency signals
14 on audiotapes, which aren't for listening back, but
15 for use for alignment of the tape, to actually
16 re-speed the tape.

17 You can actually lock-on to these
18 signals and then actually get a better speed for
19 the tape playback. That's an idea where you're
20 using something that's not normally preserved on
21 a tape for a purpose of actually preserving the thing
22 better. There's also work at the University of

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 Maryland where they're actually marrying records
2 of the power grid cycles to signals on audio
3 recordings because there is date stamps on the
4 actual fluctuation in power grids and you can
5 actually then match these up with audio recordings
6 and figure out exactly when something was recording
7 it.

8 So those may seem very esoteric and
9 people who have collection problems may think, you
10 know, I just have trouble getting through this
11 stuff. That's so out in the, you know, weeds. Why
12 would we ever think about that? But I think if you
13 look at what's happening when you start collecting
14 large data sets, which is essentially what's
15 happening when we make digitized files of these
16 things, the kinds of research, the kinds of
17 relationships between these data sets that can
18 happen in the future are things that we don't really
19 envision right now.

20 I mean, once audio completely gets into
21 that realm, and as Gene said, finish is not a term
22 we necessarily talk about a lot, but as we get larger

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 and larger data sets of audio, some of the things
2 people will use them for will be non-audio reasons.
3 They will be data sets that will be referenced and
4 cross-related to other data sets.

5 And it's hard for us to imagine that,
6 but that's, to me, what's really interesting about
7 imaging is that there's a lot of things you lose
8 once you, in terms of a record or a cylinder, put
9 a stylus in that thing and only get the audio signal
10 back.

11 There's a lot of conditional
12 information, there's just the surface of these
13 images have all sorts of information in them that
14 we may not be envisioning as valuable right now,
15 but are part of that record. And that's interesting
16 because, you know, originally, when people talked
17 about preservation, they wanted the original to
18 live, and that's kind of the same thing again.

19 So it's funny that we're kind of going
20 full circle that this technology is allowing us to
21 what we originally would have wanted to do. You
22 know, that doesn't say that this is the perfect

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 solution. It's going to cover all, you know, it
2 just wipes away everything else, that's not, you
3 know, the case at this point, and it may never be
4 the case, but it's something I think we need to keep
5 in mind as we hear what people are doing, these
6 projects that people are envisioning now.

7 How saving the image actually is a
8 change. It really is a change in preservation.
9 It's a fundamental change. So I'm really looking
10 forward to this. You know, I've been working on
11 this for, what, about 12 years now, Carl? So I'm
12 extremely happy all of you are here and I would like
13 to invite Carl up. I guess we're a little bit ahead
14 of schedule, but that's not bad, is it? So, Carl.

15 DR. HABER: Good morning. So I'm Carl
16 Haber. I work at the physics division, Lawrence
17 Berkeley National Laboratory, which is part of the
18 university of California, so this is an
19 introductory, kind of, overview talk. I will try
20 to address general issues of modern, non-invasive,
21 and data-centered methods of recorded sound
22 preservation and access.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 I share with Peter, the thrill to attend
2 this meeting with so many people who have worked
3 on and thought so deeply and worked on these and
4 related subjects. I would like to acknowledge our
5 host, the Library of Congress and the agencies which
6 have been so key in supporting this work
7 internationally.

8 So I'm going to talk a little bit about
9 the technical and historical context of sound
10 recordings and imaging-based methods, and then I'll
11 try to define a little bit, because I know there's
12 a whole variety of people here with different
13 experiences, what we mean by these methods. I'll
14 try to address the question, you know, why do we
15 bother doing this at all, and then what does the
16 field, from my perspective, need to move forward?

17 So here's a zoology of early sound
18 carriers. You see a lot of different pictures of
19 disparate objects. They're all forms of sound
20 carriers that encode sound in a whole variety of
21 different ways, so you should be struck with the
22 diversity and the lack of similarity among these

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 things. And that's what we face when we look,
2 particularly at this early period.

3 A lot of different materials, a lot of
4 different formats, shapes, sizes, structures,
5 modes of recording sound, so the challenge is, how
6 do we deal with all of this? So there is, of course,
7 as I said, this great diversity, but in the service
8 of simplification, I want to focus in on two general
9 formats that encompass much of what is represented
10 in this diversity and it will help motivate our
11 understanding of the approaches to digitizing these
12 materials.

13 So on the top, I'm referring to the
14 famous wax cylinder of Alexander Graham Bell, and
15 Thomas Edison, and others in the early period of
16 sound recording, so this is a cylindrical object
17 with a groove that, essentially, makes a helical
18 pattern around the surface. And that groove
19 encodes sound in a vertical modulation of the
20 surface.

21 So the bottom of that groove goes up and
22 down, and if you put a needle on it, that needle

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 is following the up and down motion. So there's,
2 obviously, a cylinder, a couple of cylinders, and
3 this picture is a blown-up region of a tiny, tiny
4 portion of one of those cylinders, and so the groove
5 is here between these two ridges, and time is in
6 this direction, so you can imagine a stylus riding
7 up and down.

8 This is a picture, it looks like a
9 photograph, but you should actually think of it as
10 a map of heights. So if it's dark, it's deep, and
11 if it's light, it's high, and so as you go along
12 the time direction, you're going deep and high, and
13 deep and high, and so forth, and if you follow this,
14 it's, basically, an up and down pattern.

15 And the extent of this motion is just
16 about 10 microns, so that's not going to be a
17 familiar unit to everybody. So think of a human
18 hair, according to Wikipedia, the average human hair
19 is 50 microns, okay? So this is a fraction of a human
20 hair, but in that tiny amount of space, the audio,
21 which has been recorded on the thing, is encoded.

22 If we look across, now you're going

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 around and around, you see that it's a kind of
2 circular-shaped structure and the bottom of it is,
3 essentially, the entire structure is moving up and
4 down. So we refer to this as a vertical recording.
5 And typically, cylinders are made that way, but
6 there are vertically recorded disc formats as well.

7 Over here is the more familiar disc, the
8 phonograph record, if you like, was the common term
9 that maybe we used when some of us were kids. There,
10 instead, you have a groove of fixed depth. For
11 example, it might be around 75 microns and it's sort
12 of like a 45-degree triangle, and the sound now is
13 encoded in a side-to-side movement of the groove.

14 And so here's the needle and you can see
15 in this picture, the groove undulating from
16 side-to-side. And we refer to this as a lateral,
17 or side-to-side, recording. And there are many
18 variations on all of this, but most recordings can
19 be classified as vertical or lateral.

20 Again, the size of the movement from
21 side-to-side is as small as less than a micron and
22 as big as many, many tens to hundred-something

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 microns. Okay. So what about these -- what are
2 these non-invasive and optical approaches to
3 playing recordings back?

4 So you really start off, when you think
5 about using light instead of a needle to get
6 information off of a recorded sound carrier, back
7 around 1960, people were already talking about using
8 the reflected pattern of light bouncing off test
9 records, records which just contained a set of fixed
10 frequencies, as a way to do quality assurance and
11 test these things.

12 In the mid-1960s, people started using
13 the scanning electron microscopes to study the
14 structure of grooves on records, again, as part of
15 engineering. In the 1970s, interferometry, the
16 interference of light as it is reflected from the
17 surfaces, was used to study CD-4 discs. And then
18 in 1977, people really started to work with the idea
19 of using light to really play records back, not just
20 to study them.

21 And in 1977, Heine patents a laser disc
22 player. Okay. In the 1980s, a company called

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 Finial tried to take this system commercial and
2 failed. It was then taken over by a company called
3 ELP-J in Japan, and they turned it into a marketable
4 product in the 1990s and you can buy these things
5 now. It's the laser turntable.

6 In the late-1990s and early 2000s, a
7 variety of, essentially, research labs and
8 universities, and places of that sort, tried to use
9 a variety of laser reflection methods, particularly
10 to try and read cylinders. They're sort of small
11 projects. Syracuse University and Lausanne in the
12 Soviet Union and in Japan, so the names of the
13 principle players are listed here, and there
14 probably are some others that I neglected.

15 But fundamentally, all the methods that
16 I just referred to replaced the stylus with light.
17 So you're essentially -- you're still playing these
18 things in this kind of continuous way, light is
19 bouncing off a surface and being picked up instead
20 of a stylus. Okay?

21 But the approaches that are really the
22 focus of this meeting are what I would call

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 metrological approaches, okay? Instead of
2 replacing the stylus with a beam of light, the idea
3 is to treat the entire surface as a very, very high
4 resolution digital data set, a big digital data set,
5 that you can analyze offline to extract the recorded
6 sound in terms of a mathematical algorithm.

7 So we're not making a player anymore,
8 we're making a way of migrating a physical object
9 into the digital domain, and then applying
10 large-scale data analysis tools to extract the
11 information from it. And I think the originating
12 idea in the sense of the literature, as far as I
13 could find, is in a paper by Stanke and Paul, which
14 was called 3-D measurement and modeling in cultural
15 applications, which was published in 1995.

16 And they point out that a whole variety
17 of materials, statues, ceramics, and all sorts of
18 things could be digitally rendered with 3-D scanning
19 methods and that would add value for scholarship,
20 and museum work, and archiving, and so forth, to
21 have these objects in the digital domain, and I know
22 they were interested in sound recordings as well

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 as statuary materials and so forth.

2 In 2001, Cavaglieri, Babst, and Johnsen
3 in Switzerland developed a 2-D photographic method
4 of transferring disc records into the digital
5 domain, which is called the visual audio, and you're
6 going to hear from Stefano here today about visual
7 audio. And in 2003, a 2-dimensional and
8 3-dimensional direct surface metrology approach to
9 digitizing these materials started to be worked on
10 by our group in Berkeley and colleagues at the
11 University of Southampton in the U.K.

12 In the U.S., we refer to this as IRENE.
13 You've probably heard the term in the tours and so
14 forth, so that's kind of where that originates. So
15 what's the basic process? I want to transcend the
16 specific limitations so I picked a picture that
17 nobody will confuse as a sound recording, but the
18 point here is, you see the David and this rig is
19 some kind of a digital scanner that you can see this
20 little patch of light on his nose. It's actually
21 digitizing.

22 There is a digital David that exists

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 through this work and it's a tremendously
2 interesting project, so think about a generic
3 scanner and a generic object of cultural value, and
4 then replace it with a phonograph record, disc, or
5 a cylinder, and through some scanning methodology,
6 and you will hear about different ones, we end up
7 with either a 3-dimensional rendering of the surface
8 or a 2-dimensional rendering of the surface that
9 then is the basis of this digital data set.

10 Archive it and then you also pass it
11 through an algorithm that calculates how would a
12 needle move through this surface if it was actually
13 playing? That creates an audio waveform which you
14 can then put into the form of a file. So in the
15 process of this, of course, you can do digital
16 restoration, you can ask questions about the
17 condition of the material, and so forth, through
18 these analysis processes.

19 Okay. So the two basic ways of getting
20 information once you're on the computer from these
21 data sets are as follows. If you have a very high
22 contrast 2-dimensional image of the surface, that

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 can be suitable for grooves that move from
2 side-to-side. You can obtain these either through
3 direct imaging or through photographic films of the
4 surface that you then scan.

5 You have to have an illumination system
6 that highlights the surface features that are flat
7 so you can see distinct edges. You need sufficient
8 resolution to match the audio content, so that turns
9 out to have about one pixel in your imaging system
10 needs to refer to about a micron on the objects
11 surface.

12 You naturally end up sampling the audio
13 in the time domain up to 100 kilohertz, or even more,
14 quite readily when you do this. There are
15 high-speed cameras for collecting pictures like
16 this that allow you to collect the data in just a
17 small factor times the actual real playtime.

18 And then finally, you can extract the
19 groove information and information about damage and
20 debris by applying a very well-known mathematical
21 image processing technique, which is called edge
22 detection, and that's what happens when you apply

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 an edge detector to this picture. It throws
2 everything away except the interfaces between light
3 and dark where all the information is stored.

4 Once you have this, it's basically a
5 swarm of data points that reflects very directly,
6 the stored audio information. It's almost trivial
7 to go from this kind of a data set to actual sound,
8 but the great thing about this is that it so nicely
9 captures the very regular but undulating structure
10 of the groove, but when there's a damaged area, or
11 dust, or a big scratch, the edge detector kind of
12 goes crazy and you end up with a swarm of relatively
13 uncorrelated points.

14 And that's a great way of identifying
15 damage and spurious features in the audio right
16 there in the image. So it's a pretty powerful
17 method and it's widely used among the different
18 approaches.

19 Instead, if you go to three dimensions,
20 you want to capture the entire 3-dimensional
21 structure of the surface, that's important in the
22 cylinder or any vertically cut material because

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 photography is not a very good way of capturing the
2 third dimension.

3 So the method of choice which has emerged
4 among people working in this field is a type of
5 confocal microscopes. It's a microscope which
6 actually measures the third dimension very well and
7 the other dimensions in a scanning kind of approach,
8 and it's actually very clever the way it works.

9 It takes white light and it focuses it
10 through a lens that's a mixture of a lens and a prism.
11 So all the different colors get dispersed by this
12 lens and they come into focus at different places.
13 Okay. So you get this swarm of points of red, green,
14 blue, and so forth, and then when you pass the
15 surface through that region of multiple focus
16 points, you get reflections back strongly from the
17 color that's in focus and weakly from the colors
18 that are out of focus, and so you can relate color
19 to depth.

20 These are extremely powerful devices
21 and they have a very significant industrial and
22 manufacturing application, so it's a very growing

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 field and we've really benefitted from these things.
2 The point that they measure is very small. It's
3 only about 3 microns in diameter. And they have
4 vertical resolutions of 50 to 100 nanometers.

5 So 100 nanometer is 1/10 of a micron.
6 A micron is 1/50 of a human hair, so it shows you,
7 you know, what we're talking about, sizes which are
8 small compared -- resolution which is small compared
9 to the natural scale of how the surface of these
10 materials change due to the audio.

11 And they're very verbose. You get a lot
12 of repetitive data about the surface, so you can
13 apply averaging and so forth. Okay. Now, when you
14 take a physical carrier and you migrate it from the
15 physical object to an electronic form, in the modern
16 day, we're digitizing. Okay?

17 So it's important to understand what we
18 mean when we say digitization, because it really
19 has a kind of different meaning in these image-based
20 approaches than in the more traditional approach,
21 so let's consider the traditional approach.

22 So in the traditional approach, you put

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 a needle down, the needle follows the groove, the
2 motion of the needle transforms -- well, the
3 velocity of the needle is transformed by the
4 mechanism in the cartridge into an electrical
5 signal, that's what this waveform is, that's passed
6 through a filter, and then into an analog to digital
7 converter that's running at a certain speed, let's
8 say, 44.1 kilohertz for standard CDs or 96 kilohertz
9 for the higher speed archival specs, and that
10 results in a series of discrete points that
11 represent the filtered version of what was on the
12 disc.

13 So there's a clock and there's an ADC.
14 Now, when we digitize a sound carrier using these
15 optical methods, we're essentially taking a picture
16 of the surface. And so we're turning the physical
17 object into a grid of points, so pixels, or voxels,
18 right? Now, those pixels have different meanings
19 in different directions.

20 So the pixels that are going along the
21 time direction, the direction of increasing time,
22 the way those pixels are sliced up tells you how

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 fast you're sampling the audio, so that's like the
2 clock, right? The pixels in the other directions,
3 in some way, relate to the accuracy with which you're
4 measuring the amplitude, but that's a much more
5 complicated relationship, and it depends on many
6 things.

7 It depends on the properties of the
8 imager, it depends on the algorithm that you're
9 using to get the data out, so while in the case of
10 an ADC I can tell you, well, according to the
11 specification book, this is a 16-bit ADC, or a 10-bit
12 ADC, there's not a simple way to say that a
13 particular optical process results in a certain
14 particular bit depth.

15 There are many particulars, and I'm not
16 going to address this point more until I get to my
17 own presentation later about the IRENE system, and
18 I will talk about it in that particular context,
19 but I'll confide, obviously, to the other speakers
20 to address that issue as they see it.

21 Okay. So why do this? And by the way,
22 can you let me know when is this talk supposed to

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 end? Keep going. Okay. So never. Okay. So why
2 do this? Why are we doing this? And of course,
3 Peter and Gene, I think, and Mark, eloquently
4 addressed this, but to reiterate, we do this for
5 preservation to protect delicate or damaged objects
6 from further degradation, and to restore the
7 unplayable.

8 We do this for access, to use automated
9 scanning and analysis methods to massively digitize
10 large collections. We do this to assess the, in a
11 detailed way, the condition of these sound carriers.
12 We do this to avoid the need to maintain legacy
13 playback systems and diverse legacy playback
14 systems.

15 And we do this in the hope of applying
16 high resolution methods to extend the frequency
17 response and noise reduction opportunities of
18 getting data from these carriers. And I could add,
19 you know, Peter's really nice point about getting
20 access to what we maybe even consider to be
21 intangible sometime; these hidden, latent sets of
22 information that can be very meaningful in the end.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 Okay. So where are the advantages? So
2 remember that we're transforming the object now into
3 a very large digital data set. This is a modern
4 notion of the digital humanities. Okay. And once
5 you get into the realm of the digital humanities,
6 you can ask questions and do things that you never
7 would have thought you could have done before.

8 So there are advantages and I will have
9 a couple of slides that address these, but just as
10 a list, I'm going to argue that this approach is
11 very general. I try to make the point about the
12 diversity of sound carriers. The methods are
13 redundant, they're verbose, you get a lot of data
14 that has repetitive information in it. They have
15 extraordinarily good frequency response and
16 resolution out to very high frequencies.

17 They allow you to deal in a rational way
18 with delicate and broken materials. And they lack
19 the physical dynamics of a mechanical playback
20 system that responds in real time and that is an
21 important thing as well. So generality. These
22 methods have already been demonstrated on a large

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 variety of materials and formats that required,
2 actually, very little reconfiguration of the
3 scanning system.

4 So shellac discs, lacquer discs,
5 aluminum discs, Memovox discs, dictation belts, wax
6 and plastic cylinders, copper galvanos, tinfoil
7 cylinders and discs, experimental optical discs,
8 paper tracings, wax discs with both vertical and
9 lateral cut. These are all examples of things that
10 have been scanned and restored already by optical
11 methods.

12 I reproduced the picture from the first
13 and second slide because that is actually examples
14 of all things that have been already looked at to
15 this day with optical methods. So sound
16 redundancies. The sound is recorded, not just in
17 one point on the surface, but in the entire profile
18 of the groove.

19 The entire profile contains multiple
20 copies of the same audio information, so the groove
21 is moving, and the stylus, when you plop it in there,
22 really only samples a small portion of the groove,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 but a more complete data set, the entire groove
2 profile, gives us analysis options, which can
3 sometimes add value.

4 And that's represented showing that
5 these lines are the computer's choice of using all
6 the points along the edge as an estimation of the
7 sound and here's a case where there's some sort of
8 a defect or a damage in this particular portion of
9 the groove. That can be eliminated from the data
10 set because it's so redundant.

11 So frequency response, I'm going to
12 dwell on this for a moment before finishing up,
13 because this is, I believe, a really critical issue
14 for optical restoration and something that people
15 really need to keep in mind. On a lateral disc, and
16 when the groove is moving side-to-side, as the
17 frequency goes up, what happens is, the stylus sort
18 of gets pushed higher up into the groove.

19 On a vertically cut disc, something
20 really different happens. As the frequency goes
21 up, the stylus starts to sample the groove
22 incompletely. And I'll show you in the picture why

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 that is. This leads to distortion, to attenuation,
2 and to non-linearity in the playback. Optical
3 probes are so much smaller than physical styli that
4 they don't suffer from this until you get to a very,
5 very high frequency. Okay?

6 So these little undulations here are
7 supposed to just, you know, be higher and higher
8 frequencies that might be vertically recorded. So
9 when the stylus is placed in there, you can just
10 see very clearly from the picture, that at a certain
11 frequency, the stylus is going to be too big to fit
12 into the structure anymore vertically. Okay.
13 That's called a tracing error.

14 Once that happens, the amplitude gets
15 attenuated, the sound gets distorted, and it happens
16 in a non-linear way because it samples one direction
17 of the swing, but not the other. The optical stylus
18 is so tiny that this problem only shows up at a much
19 higher frequency. So where does that happen? So
20 here's just a simple little calculation. Let's
21 look at the numbers.

22 So a cylinder's playback stylus of 7.5

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 mils is a kind of standard value. Plops down into
2 the groove, which, let's say, has a depth of 10
3 microns, and it reaches the bottom, and at some point
4 there's something I'll call the critical chord, it's
5 the place where, essentially, you just fit, okay?

6 So that occurs around 85 microns for this
7 geometry, right? So the question is, where does the
8 wavelength on the surface actually hit 85 microns
9 and the cylinder playback stylus no longer fit
10 anymore? Okay. Well, that depends on the speed
11 with which the record was recorded, whether it's
12 a 90 rpm or 160 rpm, so, you know, I did this whole
13 spreadsheet.

14 But you can see that this stylus will
15 start to not fit anymore at 3000 to 4000 cycles per
16 second, okay? The optical limit occurs around
17 100,000 cycles per second, and it's just because
18 one is bigger than the other. So really, if you play
19 a cylinder back with a stylus and you digitize it
20 at 96 kilohertz, you're effective bandwidth is
21 equivalent to only sampling it at 8 kilohertz, which
22 is twice that critical frequency. That's the

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 Nyquist Rule.

2 So people think, well, I'm running this
3 thing at 96 kilohertz, or 48 kilohertz, you're not
4 getting that information because the stylus just
5 can't pick it up. It's too big. Who cares? Right?
6 Who cares about high frequencies on an acoustic
7 recording? Acoustic recordings only capture sound
8 up to a few kilohertz anyway, but the problem is
9 that damage and wear don't respect these limits.

10 Cracks, scratches, the effects of mold,
11 have high-frequency information in them which
12 doesn't care about the frequency limitations of the
13 recording process. Okay? That's the reason, in
14 any case, that you want to transfer a recording with
15 very high sampling rate, because you want to get
16 at the noise.

17 Only an optical scan gives you the
18 resolution to measure and process these
19 high-frequency features, okay? And here's an
20 example. So this is a cylinder which was played
21 back on a modern stylus player and was played back
22 optically. This is a plot of the energy, or the

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 power, essentially, at different frequencies.

2 So here's the music, it's the Rakoczy
3 March, so if you're a Hungarian nationalist, you're
4 going to like this, and once you get above a few
5 kilohertz, the frequency content drops really fast.
6 That's because the stylus is too big. All right.
7 So let's listen to what it sounds like.

8 Okay. And now the same cylinder, the
9 same portion, played back optically. Now, you
10 might not like that because it sounds brighter, and
11 tinnier, and is more noise, but that's exactly what
12 you want. You want all the information, you want
13 all the noise, because then you have what to work
14 on when you try to process it and handle it, okay?
15 So this is a more true rendering of the information
16 than that which limits you when you have a stylus
17 that has a natural frequency cutoff.

18 Here's where it comes in when you try
19 to do noise reduction. This is a depth map, so dark
20 is deep, of a little portion of the surface of a
21 wax cylinder from the collection of the University
22 of California's Hearst Museum. It's a recording of

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 Ishi, who was the last speaker and surviving members
2 of the Yahí band of Northern California.

3 He was recorded in 1911 by Professor
4 Waterman and Kroeber. A very famous collection.
5 It's been on the National Sound Registry and it has
6 damage due to mold that eats into the surface, so
7 that's all this black stuff that is deep into the
8 surface. It's dark. Okay? So when you play it
9 back with a stylus, this is what it sounds like.

10 When you do an optical version and you
11 capture all these fast noise transients and can
12 process them, you're going to hear this. And don't
13 pay attention to the rumble. I want you to pay
14 attention to the crunching sound due to the mold
15 damage. Okay. So broken and delicate materials.
16 These are obvious for non-invasive methods.

17 A number of broken and damaged things
18 have been shown already. You're going to see more
19 later in the conference. There are issues related
20 to how you collect data from these segmented objects
21 and there are different approaches in visual audio,
22 and IRENE, you're going to hear about those, but

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 there are many common issues in the way the data
2 has to be analyzed and how you link groove segments
3 across gaps, and then the problem of, what happens
4 when the number of broken segments approaches
5 infinity? How do you deal with that? It's a very
6 interesting problem.

7 I think finally, modeling. Okay. What
8 you see is what you get. That's a little bit of a
9 pun, I guess, but what I'm saying is, because you
10 have these very, very high frequency response and
11 very high resolution, you get optical transfers that
12 are truly flat in the frequency sense. The optical
13 measuring processes don't have an intrinsic
14 frequency response which it then imposes on the
15 measured audio.

16 A stylus, on the other hand, is a dynamic
17 mechanical system and that creates a particular
18 sound when you hit a defect. Okay. Physical
19 modeling can be used to add these to optical measured
20 data, but that's really a choice. So this is a
21 stylus playback and you can see, it hits a scratch,
22 and the stylus actually rings for some period of

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 time.

2 A mechanical stylus respond dynamically
3 to these imperfections and that creates the sound
4 that you're familiar with in a stylus playback. But
5 that's an effect, okay? It's an artifact. It's not
6 actually part of the sound recording.

7 Is this a universal solution? No, not
8 yet. At present, the tools are expensive. They're
9 scientific instruments with a limited expert base.
10 They take longer than traditional playback methods
11 by a fair margin, in some cases, to collect their
12 data. For commercially pressed shellac discs,
13 which is most of what's out there in some numerical
14 sense, and if they're in reasonable condition,
15 traditional methods are faster, much faster, and
16 are often superior.

17 But for vertically cut records, and
18 delicate, damaged, or special needs materials, like
19 lacquers, there are very, very significant
20 advantages, and I think that's what brought
21 everybody here today. So what does this field need?
22 The field has made, I think, very good progress in

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 about 15 years, considering the small number of
2 people at work.

3 In the 1980s, over \$20 million of venture
4 capital, in then year dollars, was invested in
5 domestic R&D for the laser turntable that actually
6 never really worked, and then the Japanese started
7 working on it. So I can only imagine what they
8 invested in it before it became a commercial
9 product.

10 The amount of money which has been
11 invested internationally in optical methods, of the
12 meteorological sense, is much, much less than this.
13 We need a larger community of developers and users
14 to bring this into greater use. We need to develop
15 accepted standards and specifications that
16 everyone agrees upon and adhere to.

17 We need more targeted engineering and
18 software development. We need access to new
19 instrumentation as they appear. We need to offer
20 more training opportunities, educational
21 opportunities, and internships to younger people
22 to learn these methods, and we need more focused

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 large transfer projects as ways to gain experience
2 and converge on best practices across the community.

3 Okay. So I'm going to conclude just
4 with a little anecdotal reference. When you're
5 confronted with an opportunity, a new idea, or some
6 direction to go, obviously, people are often
7 hesitant and maybe don't want to take the chance
8 to try something new. I think the Library showed
9 a lot of leadership and the funding agencies in
10 Europe who supported this showed a lot of leadership
11 to embrace these things and say, you know, why don't
12 you try to do these things?

13 But you should always keep in mind that
14 sometimes your assumptions, if you're scared of
15 them, they prevent you from going forward. So I
16 want you to question your assumptions. So the
17 invention of sound recording in the 19th century
18 utilizes technology and methods which actually
19 could have been applied hundreds of years before
20 that.

21 So I always wondered, could Leonardo da
22 Vinci, who was, like, the smartest guy of the 1400

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 to 1500s, you know, have invented sound recording?
2 Why didn't he do that? He had everything he needed
3 to do it. But it turns out that da Vinci believed
4 that poetry and music were inferior to sculpture
5 and painting because hearing is, and I quote, less
6 noble than sight, in that, as it is born, it dies,
7 and its death is as swift as its birth.

8 Okay. So he figured, you know, God
9 didn't care about sound or he would have made it
10 more permanent. He didn't realize that he was put
11 on Earth to solve that problem and find a way to
12 record sound, but he just didn't do it. Okay? So,
13 you know, it's always good to think about Leonardo
14 when you're trying to make your next funding
15 decision.

16 Anyway, so I think that's all I have.
17 Yes. So thank you very much. So I think you're
18 doing questions at the end, right, Peter? Or now?

19 PARTICIPANT: So, Carl, as you're
20 talking about the maturation of this, I guess,
21 traditionally, if I went to the Library of Congress
22 to get a recording, I'd get a recording, but now,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 is there a method to distribute the data sets? Is
2 that the future you're seeing?

3 DR. HABER: Well, so you're asking a
4 question about how the results of these optical
5 studies are disseminated, okay? So for small pilot
6 projects, and I'll speak about some that we did at
7 Berkeley, and I'm sure our colleagues will speak
8 about other ones that they have done, we have setup,
9 you know, Web sites about the Volta Laboratory
10 collection from the Smithsonian, and so forth, but
11 in terms of the Library's process for disseminating
12 the results of this, I would like to defer to Peter
13 or colleagues from the Library to answer that
14 question.

15 The question is, if you do these
16 large-scale transfers, how are the results made
17 available to people?

18 PARTICIPANT: Can I get the data set or
19 do I just still get the recording?

20 DR. HABER: You mean, you want the image
21 data.

22 MR. ALYEA: Well, I mean, the mission of

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 the Library is to make this stuff available.
2 There's nothing -- you know, if this was previous
3 to the ability to image these things, and someone
4 wanted to physically look at an object, you know,
5 they'd have to come here and see it. That's similar
6 to being able to distribute a data set. I guess
7 there are copyright issues, right, Gene?

8 I mean, if something is copywritten, I
9 suppose the image data set is as copywritten as an
10 audio file, so you certainly have that. You know,
11 you can't just pass these things around, but do you
12 have something --

13 MR. DEANNA: Well, you're talking about
14 doing something that's completely new, so, you know,
15 taking copyright aside, if you were asking for, you
16 know, an IRENE transfer, say, of a sound recording,
17 in my opinion, you would get the full data set and
18 an audio file. That would just be what you got, but
19 that's a new idea, although, you know, now, we'd
20 provide you with maybe a scan of the label. People
21 sometimes ask for the scan of a label of a disc and
22 the audio file as well, but nonetheless, that's a

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 new idea, but yes, I would consider that part of
2 the transfer.

3 And I think NEDCC, who is actually doing
4 this work, does the same. I'm certain that they are
5 distributing the data set along with the --

6 DR. HABER: Yes, so I don't know, is Bill
7 or Mason here?

8 MR. VANDER LUGT: In general, for
9 institutional clients, we include the image data,
10 and some of them aren't interested, but we've had
11 some people that were more interested in the images
12 than the sound. Yes, I think they're really
13 important and used a lot.

14 MR. FABRIS: My name's Jerry Fabris.
15 I'm the curator at the Thomas Edison National
16 Historical Park and I can speak to this as a user,
17 as a customer, both with experience at Library of
18 Congress and at NEDCC, and I think with this process
19 it's important to understand that the archival data
20 that's captured is the image data, and we, in my
21 experience, I was given the image data, but no
22 capability of using it, not the software, in other

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 words, so I think that's something that needs to
2 change.

3 DR. HABER: I think that's a
4 tremendously good point, and so what you're saying
5 is, to translate to a more general way, so the
6 optical systems scan, they create image files, then
7 the operators use software to transform the image
8 into audio. And so simple-minded thing is, okay,
9 I'm going to give the user the audio. If you give
10 the user the image, there is software that can be
11 used to transfer that.

12 And you can make different choices when
13 you do that, about noise reduction, or bunch of
14 choices that you can make, and one of the goals
15 really should be as part of this notion of larger
16 community of developers and users, and more targeted
17 engineering, and software, it would be great to make
18 an application that is easy to use, that is very
19 user friendly, that has the basic presets or
20 choices, and it gives a person with just a little
21 bit of training the possibility to process the image
22 data, and it's a great goal.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 It's just something that hasn't been
2 accommodated yet, but it's certainly something that
3 you could define quite readily and think about
4 doing. And you're right, that is something that you
5 should have.

6 DR. NYE: Jim Nye from the University of
7 Chicago. Thanks, Carl. You use the trope of
8 zoology, but it occurred to me now as you're talking,
9 as this discussion is going forward about images
10 and distribution of images, that you've added a new
11 element to the zoo in an important way, and extended
12 the zoology, really, carrying it forward.

13 But speaking to the importance of
14 distributing the images themselves, if I think of
15 an analog, the Dead Sea Scrolls come to mind. And
16 for a long time, many of you probably will know that
17 it was only the research on the Dead Sea Scrolls
18 that was distributed and the images themselves were
19 not, until fairly recently.

20 But by virtue of distributing the
21 microfilm or other sources that have the full
22 context of the Dead Sea Scrolls you've increased

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 the number of users. And here, I'd like to point
2 back to your set of needs. You've talked about a
3 larger user base, but presumably, you want a larger
4 resource base as well.

5 By distributing the images,
6 effectively, you increase the possibility that a
7 larger group of scientists can address these
8 questions of interpretation of the signals in new
9 and creative ways that might not be possible now
10 or even imagined now.

11 DR. HABER: I mean, it might be a good
12 idea to sort of do a pilot project where we put up
13 a variety of image files from a variety of sound
14 carriers, and put it out there to the world of
15 universities and interested people, like a data
16 challenge, to see what they could do.

17 I actually went to a data challenge event
18 in San Francisco. This where these big data
19 companies offer a prize to come up with a better
20 was to analyze things. So for example, I'm a
21 high-energy physicist, and they put out the data
22 set that led to the discovery of the Higgs particle,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 and they just asked the world to try to analyze it
2 and see if they could get a better signal-to-noise
3 than the physicists got, they did, but these kind
4 of data challenges, you know, they gain some
5 traction.

6 And there's a lot of smart people out
7 there who think about large data sets and so it might
8 be a way to seed something like this. Yes, there's
9 going to be a general discussion after.

10 MR. ALYEA: Okay. So Ottar Johnsen's
11 going to talk next.

12 DR. JOHNSEN: Okay. Thank you for the
13 opportunity to present the VisualAudio project. I
14 would like to say that many people, same as with
15 Carl, have contributed to this project. Some of the
16 names are here. I have forgotten many and also,
17 there would be maybe 50 names to add. I am going
18 to jump over some of my slides because Carl had
19 already given you the most important information,
20 that's why I go to next slide.

21 This slide is mainly interesting
22 because that's the kind of slide we have been working

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 on, and Stefano will get into more detail about such
2 records. For Carl now has talked about the
3 fundamental, and now I'll go into the fundamental
4 technique. It's an idea from Stefano, I will talk
5 a little bit about it later, is the entire surface
6 of a disc could be photographed.

7 Here is the same observation if we look
8 with a microscope of a groove, probably, you know
9 what kind of record this is. This is a stereo record
10 because you see the width and the lateral movement
11 change with time. And here is a basic block diagram
12 of the VisualAudio concept where we have one extra
13 step. From the record, first, we make a film, then
14 we digitize the film, then last step, we go from
15 the image to extract a sound.

16 Now, why should we have an intermediary
17 photographic step? It's controversial. Some
18 think it's a good solution, some think less. I
19 believe one idea is that it's an urgent way to save
20 a disc before it decays even more. Film are small,
21 cheap, quite stable. We know it, thanks to
22 microfilms. Microfilms last a few hundred years,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 it is supposed, and we are using film relatively
2 similar to microfilm.

3 Here is what we get if we illuminate a
4 record from above -- both with a 78 rpm where the
5 groove is a little bit round and with a 33 rpm record
6 where the groove is a much more triangular groove.
7 And this is what we get at the bottom of the groove
8 on the film, but I will get in more detail on it
9 later.

10 So what do we need for the film? Sure
11 we want the highest possible resolution, the
12 smallest grain, it should be black and white, we
13 don't care about color, and also black and white
14 film has much higher resolution than color
15 photographic film. And also, the film speed should
16 be high so that we can reduce the time, and also,
17 we can reduce the amount light.

18 We melted a few records, I believe, at
19 the beginning on our first trial because we had 500
20 watt light, but we did it on record of no value.
21 Here, you see the picture of the system. And here,
22 in the next, we see the system to take picture.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 Basically, the system, where we make a
2 1:1 picture, we have a 40 cm focal length lens, if
3 we do a 1:1, we need 80 centimeter (two times the
4 focal length) on each side of the lens, so it gives
5 a total length of 1 meter 60, and the total system
6 is more than 2 meter because we need some space for
7 mechanical hardware outside.

8 So how do we make illumination? We make
9 the illumination so that we can separate the
10 interesting parts. We use directional light, we
11 use monochromatic, or nearly monochromatic light,
12 so that, first of all, we select the shortest
13 possible wavelength, and that is blue, or deep blue,
14 and secondly, we have less problem with chromatic
15 dispersion when using a single color.

16 And now in the photographic part of
17 optic, resolution is limited by two main factors.
18 We have the diffraction that gives it an Airy disc,
19 it means one point become a spot of a certain size,
20 and we have the de-focusing error, because we are
21 never completely focused, just because our record
22 has a certain depth.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 And both of them give a certain spot
2 enlargement and we can calculate all of them, and
3 they depend on the numerical aperture. And both of
4 them depend -- and one of the problems is that one
5 improves when you increase the numerical aperture,
6 the other one go down. So we must get a compromise.
7 So the good thing with a compromise is that when
8 we have the sum, the bottom is very flat.

9 So we can use practical consideration,
10 for example, the size of the system, to select
11 something that is within 1 or 2 percent of the
12 optimum. Here are the values with the end number
13 that we can practically have on the optic we had.
14 And here again, you see the resolution in micron.
15 So now we have done one process, we have taken the
16 picture, and what's interesting with the picture
17 is that, with this picture, we have a big depth of
18 field. We have a depth of field of 1 millimeter.

19 And that is good since many records are
20 not flat, are in bad shape, can be bent, but a depth
21 of field of 1 millimeter. We can then get bigger
22 depth of field, we have less resolution.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 Now, next step, we have a film, we use
2 negative film, but we could use, also, positive
3 film, and then we have to use a scanner. And our
4 scanner is quite similar to Carl's 2-D system.
5 Simply, we are scanning a film, not a record. In
6 place of having reflective light, we have light
7 going through the film by transparency.

8 And here, we see a little bit better,
9 you recognize on the bottom of the system, a light
10 source. Unhappily, we had to use red light because
11 blue light source are not available. It is LED,
12 actually, already. And we have a rotating scanner.
13 At each rotation we have 2048 samples. So we are
14 scanning in each rotation, a certain number of
15 grooves.

16 Here, there are only five, but usually
17 it's 10 to 12 rotations we get when we scan. Our
18 sampling frequency is variable. We have used
19 sampling frequency of up to 260 kilosample per ring,
20 corresponding for 33 rpm record, for sampling
21 frequency up to 110, and for 78 rpm record to a
22 sampling frequency of up to 260 kilohertz, but we

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 used less, but again, as Carl said, out-of-band
2 noise can give useful information to reduce in-band
3 noise.

4 So here is what we get when we scan the
5 picture. That is a more typical example where you
6 recognize the sound and you see that for each groove,
7 we have two white line and in the middle we have
8 black line. Remember, it is a negative. So with
9 all we talk about, the light get inverted.

10 And now, if we look at the optic, we go
11 from the photographic optic to microscope optic,
12 we have the same physical constrains from the optic,
13 but different number. We have the diffraction,
14 given the Airy disc, and we have the de-focusing.
15 And there, we consider that we need a depth of field
16 of 30 to 50 micron, and it give us blur, total blur,
17 of about 13 microns.

18 And what is interesting is, as it is
19 shown on this curve, increasing magnification does
20 not increase the resolution because when you
21 increase magnification, at a certain point, you have
22 a reduction in the resolution. We have different

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 figure whether we need a depth of field of 30 or
2 50 micron.

3 Where do the number 30 and 50 micron come
4 from? They come from our knowledge about the
5 negative film, the inaccuracy in negative film,
6 plus, the film is put on a glass plate, and the glass
7 plate rotate. We have measured how the glass plate
8 move up and down on the rotation, and that is how
9 we came to the fact that we need a depth of field
10 of between 30 and 50 microns.

11 And here, I go back to what is happening
12 with 78 rotations per minute record, we have a form
13 of the groove, and it gives, where we have a lot
14 of light, reflected light, it's black because it's
15 negative, and where we have no reflected light, it
16 is white, and that's why we have two white lines
17 for each groove.

18 For 33 rpm record, usually we have
19 nothing at the bottom, but sometimes, because the
20 cut is not perfect, a very thin line, black line,
21 appears at the bottom.

22 For processing the image, we do,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 basically, as Carl said, edge detection. And we
2 have two or four edges. Secondly, important thing,
3 the edge detection can be detected with better than
4 a pixel accuracy, what I call subpixel, accuracy.
5 The accuracy of the position of an edge depends on
6 signal-to-noise problem, not to the size of a pixel
7 on the scanner.

8 And as I said, noise and distortion are
9 the problem, the main problem, it is not the blur.
10 It is mostly in the noise and distortion. But if
11 we have less blur, we have more information on the
12 noise, and we might be able to get rid of the noise
13 in a better way.

14 And the noise distortion, there are many
15 of them, dust defect, non-constant illumination,
16 our main noise that is different from what Carl does,
17 is the film grain. We have random shaped crystal
18 in the photography. We have noise naturally in the
19 linear CCD camera. We might have, but I believe it
20 is very low, our sampling frequency of sampling time
21 is not perfect.

22 There might be vibration, mechanical

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 vibration, on the scanner. There might be bad
2 centering of the record. Same issue. And bad
3 centering create only second or third order problem.

4 We can't have constant illumination due
5 to the optics of the microscope. That can be
6 corrected with a curve, but any correction naturally
7 introduce some slight noise. And it's easy, we
8 measure the film without anything, and that give
9 us a correction curve.

10 And now we look at the processing. Here
11 is a typical example. We have two white line and
12 we want to extract the edge. And we are looking,
13 we cut through it, looking at one pixel and you have
14 intensity. We detect the constant level on the top
15 and the bottom, and after the threshold is put about
16 in the middle. It is more complicated than that.

17 And then, we are going to do it with time,
18 yes, vertically, it's the time, or it is space here,
19 but it corresponds to time. And now, what are we
20 going to do? We are going to look at the image to
21 find mistakes. As you can see below, if we take just
22 the average between the four edges, or between the

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 two edges, we see clearly, some distortion.

2 And we have some information to get rid
3 of distortion. We know that all the four lines
4 should be parallel. So we are being stupid and
5 adding the four line to get the best position. We
6 add the four line when everything is perfect, or
7 nearly, but when one line is clearly different from
8 the other, we suppose that that line is incorrect.
9 And then we will make an interpolation on this line
10 based on the three other lines. And when I say line,
11 I mean, naturally, the edge.

12 And typically, also, we use co-width
13 variation. And when we have cut, we do
14 interpolation, linear, or first or second order
15 interpolation. And here, in another example, where
16 you see where we have the four edges, and where we
17 detect where there are problems. And in red, we
18 have the edges, the four edges, after correction.

19 Here is, on top, we have what we -- the
20 basic signal, the edge signal, and on this edge
21 signal, I like to show the top one, we have two
22 special effect. We have a ramp. The ramp is due

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 to the spiral in the groove. Then we have a sinus,
2 nearly a sinus, wave. The sinus wave is due to bad
3 centering of the record.

4 And then, at the beginning, you see some
5 jump. That's when we jumped to the next groove. We
6 made a mistake. We jumped from one groove to next
7 and then back again later. It didn't happen much,
8 but on purpose, I put one bad case where we put it
9 in evident.

10 So after taking away the ramp and the
11 sine wave, and the sine wave has a frequency equal
12 to rotation speed, for 33 rpm records, it
13 corresponds to about a 0.5 hertz signal, for 78,
14 about 1.2 hertz, about, so it is very easy to get
15 rid of it just by high-pass filtering.

16 And then afterwards, we get the sound
17 with glitches placed where it jumped or where there
18 were some noise. Afterwards, I don't want to go
19 into all the specialty record, but most record are
20 equalized. It means that what you see, the lateral
21 movement, is not the sound. There are some
22 derivative and integration to be made before getting

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 the equalized sound.

2 It means that when reading, we must make
3 inverse equalization operation as the one done when
4 writing on the record. In most mechanical playing
5 device, the same is done. The problem, we don't
6 always know how the equalization was made. We know
7 it for after the 1930s. We had standard
8 equalization. Before, each record was nearly
9 equalized in a different way.

10 And additional post-processing might be
11 applied, but many sound specialists say, don't
12 process, don't do additional post-processing on the
13 original. Only do it on the copy. Keep the
14 original, even in bad shape, because later, we might
15 have better technique.

16 Now, how can we measure the quality?
17 That's a big issue. Perceptually is one
18 possibility. Another possibility is to measure the
19 noise in silent section of the groove. A third
20 solution is to have a test record and to measure
21 what we obtain. As an example, this is just for
22 showing, on the bottom side, we have the spectrum

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 of a sine wave.

2 And what do we have if we have a perfect
3 sound on a record? We'll have a peak at the
4 frequency of the sound, and elsewhere, we will have
5 noise. That's what we see at the bottom. And at
6 some high frequency, you have some small peaks that
7 can be due to many phenomena. For example, 30 or
8 60 hertz interference during recording that could
9 come out a different frequency later.

10 And on top, we have an example, that is
11 what happens when we have non-linearity and we have
12 an error that creates harmonics and
13 inter-modulation. So such techniques can be used
14 to try to measure the signal-to-noise ratio.

15 And what resolution do we need to get,
16 for example, a 40dB signal-to-noise ratio? With
17 constant amplitude record, we need a resolution at
18 (or accuracy of) 1.75 micron. For constant
19 velocity, 0.25 micron, and for equalized record,
20 1.28 micron. And this is amazing number showing
21 that in mechanics, 150 years ago, they did as well
22 as electronic managed to do with silicium 20 years

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 ago.

2 So electronic is more than 100 year
3 behind mechanics. I like to tell is, especially to
4 my electronics colleague. I am electronics also,
5 no mechanics. I show how perfect one was able to
6 record things in a mechanical way. So does it make
7 sense to try to get this? No, a blur of 25 micron
8 and a resolution of 1 micron, I mean, we are far
9 away, but a blur is low-pass filtering.

10 So resolution is related to the noise.
11 That's why it is possible, but naturally, if we can
12 reduce the blur, it will be a good solution. It
13 helps always.

14 The advantage, I believe I have talked
15 about already, compared to mechanical and I want
16 to go now into the detail of the lacquer record.
17 The record cut in many pieces. When you look at the
18 image file when you scan a record, the round record
19 become a file like this and the sound is in a groove
20 vertically. And we have big cut. And we can
21 consider that we have pieces of the puzzle, except
22 they are in position, but with a slight cut between

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 them.

2 So what can we do? First thing, we must
3 label each part of our puzzle as it is shown here.
4 And now, we must try to fit, perfectly, the different
5 pieces of the puzzle using information. And there
6 are several parts, first, we have to detect the
7 border, common border, of a chunk, then we must look
8 at the best match, and then we must add together,
9 or put together, different part of sound on each
10 part.

11 So here, as an example, we have a chunk,
12 and you see the vertical line correspond to the
13 groove. And afterward, we have now, the common part
14 between the groove and we must do the best match
15 of the two blue part, or the two red part, or the
16 two green part, and this is done as it is shown here.

17 First, we look at the parts of the groove
18 near the border on each side and we look at what
19 shift give the best match. We have found that there
20 can be shifts equivalent to five groove, maybe even
21 six or seven, so we have to test what displacement
22 give the best match, it mean, give the best

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 similarity in statistical property on the groove
2 above and below the cut, and there are many different
3 algorithms.

4 And there are some special situations.
5 For example, you see here, sometimes one groove
6 might disappear, that's what we see with the green,
7 because it was exactly where there was a crack.
8 Okay. And here, you see how we can see relatively
9 often.

10 Now, what happened? In some case, the
11 computer is much more stupid than human. So what
12 did we have to build? We have to build something
13 so that when the computer is not sure, an operator
14 can decide. On the left, the operator for each
15 place where the computer can't make a decision, the
16 operator can change what groove fit each other.

17 For example, he can say that the groove
18 should be fitted this way. There are still some
19 problems. Sometimes the circular crack can be a
20 problem. Secondly, sometimes, on record, there are
21 several spiral. A record stops, and for example,
22 because it was, for example, for a court case. At

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 the end, they will lift the stylus and a new one
2 will start.

3 And there might be some other problems.
4 That's what happened with our first record, the
5 reading was the wrong way. And with the first, six
6 months after we started the project, we got very
7 strange sound until we found the right idea, let's
8 try to turn around the sound, so one should never
9 forget that.

10 And then afterwards, simply, we
11 concatenate all the sounds together to get the
12 sound. And we have processed the number of such
13 records. And typically, I just want to say, we
14 couldn't recover all the records, at least at that
15 time, typically, 35 faces out of 46 could be
16 extracted. Some were in too bad a shape.

17 And now just a few more words. As a
18 professor, I am very happy that a project doesn't
19 finish by collecting dust because that's what
20 happened with 99 percent of the projects of
21 professor at universities, but the one who initiated
22 it, Stefano Cavaglieri, from the Swiss National

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 Archive in Lugano, is also the one taking it over.
2 And I'm very happy, especially that I am now one
3 year before retirement age, so it's good to know
4 that things are continuing.

5 It was very interesting because it's
6 very valuable. That's why I didn't believe in this
7 project in the beginning, but I knew it was a very
8 interesting project for the students, because it
9 includes optics, electronics, mechanics,
10 everything, and what I found later, so very
11 interesting, is working with people who are not
12 engineers or non-physicists, but people from the
13 humanities, and people from, yes, the cultural
14 world.

15 And it was collaboration between many
16 institutions and with funding from different
17 entities. Thank you very much.

18 MR. STORM: Hi, Stefano. How are you
19 doing? This is Bill Storm. I have a question
20 regarding the way you've -- in the 1980s, there was
21 a major project done in this country, the result
22 was called a Rigler-Deutsche Index, and that's a

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 microfilm copy of many thousands of sound recordings
2 that allowed the Library of Congress, New York
3 Public, Yale, Syracuse University, and I'm going
4 to forget somebody, we did photography of all of
5 these sound recordings with very, very even
6 lighting, and it was using high-contrast microfilm.

7 Is it possible that your system might
8 literally be able to play those images from the
9 Rigler-Deutsche Index? They're very high
10 resolution.

11 DR. JOHNSEN: Yes, what are the size of
12 the films?

13 MR. STORM: 35 mm.

14 DR. JOHNSEN: 35 mm, no. That's what
15 the first test, we tried 35 mm, and just by simple
16 calculation, we found it was completely impossible
17 and we arrived to the fact that 1:1 was about what
18 would make it possible.

19 MR. STORM: Okay. That's too bad.
20 Thank you.

21 MR. ALYEA: Another question for Ottar?

22 PARTICIPANT: I was surprised to hear

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 that your depth of field for the photo was only 1
2 mm, because it seems to me that warped records tend
3 to be, or can be, warped by much more than 1 mm,
4 so if you could explain that.

5 DR. JOHNSEN: Yes. Our depth of field
6 is 1 mm, but simply, if we can go to much higher
7 value, simply, than the resolution is lower.

8 DR. HABER: But warped records are a
9 problem.

10 DR. JOHNSEN: Yes, but I would say, I
11 made calculation showing we have, I would say, the
12 order of 3 mm of depth of field.

13 DR. HABER: But warped records, which
14 are acetates, probably, you don't, because of the
15 glass or aluminum backing, get that huge wrappage
16 where you might get with a vinyl because they don't
17 have that same heat problem. And so to the extent
18 that you've been focusing on lacquer discs, I think
19 this large wrappage probably doesn't come in.

20 DR. JOHNSEN: No, I don't believe so,
21 but Stefano maybe can answer later also about it.

22 DR. HABER: Yes, the delamination, so

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 that curvature is -- yes, but that's not a couple
2 of millimeters, typically.

3 MR. VANDER LUGT: I think the warp can
4 actually get up to 7 mm, if you include the warp
5 of the disc base and flaking lacquer, but if I
6 understand correctly, if you decrease the
7 magnification, you can continue to increase the
8 depth of field. So if you were willing to have a
9 lower resolution image file and lower resolution
10 audio, you could get a high depth of field that would
11 allow you to image a delaminating lacquer disc.

12 DR. JOHNSEN: Yes. Or we can reduce the
13 aperture. Yes, you don't change the magnification,
14 you change the aperture, and that's the good way
15 to get the bigger depth of field. Yes, what you pay
16 is mere blur.

17 MR. ALYEA: Okay. So are there -- we're
18 going to move on.

19 MR. CAVAGLIERI: Good morning,
20 everyone. Well, that of Dr. Johnsen was the, let's
21 say, scientific approach to this project, or to this
22 system called VisualAudio; mine will be a very

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 practical approach based on a day-to-day
2 experience, as we use the system at our facilities
3 at the Swiss National Sound Archives.

4 It has been a long journey because we
5 started, effectively, with this project more than
6 15 years ago. It was back in 1999 and I'm happy that
7 by now we at least got some tangible results. But
8 of course there still are challenges, there are ups
9 and downs and there is, of course, room for further
10 improvements.

11 The basic idea, as Dr. Johnsen said, came
12 from the microscopic observation of the surface of
13 the disc. You know, if you look at the shape of the
14 groove, it visually represents the acoustic
15 vibrations which are very close to the corresponding
16 electrical signal of the recorded sound.

17 And there is plenty of information
18 available everywhere, but it requires a very, very
19 high resolution photograph or scanning. This is
20 just a schematic overview of the VisualAudio
21 concept. I know you're getting familiar with that,
22 but I want to insist on a couple of important points.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 You know, what we do is: we actually
2 photograph the disc, then we scan the film, and
3 finally we process the image. But we've been
4 talking about photographs, what does it look like?
5 It looks like this. You know, this is an actual
6 photograph shot with the VisualAudio system. It
7 has a 1:1 ratio, which means, if your record is 25
8 cm in diameter, it's going to be 25 cm on the negative
9 film.

10 So why do we have this analog
11 photographic step? Dr. Johnsen already told you
12 about a couple of important aspects. To me, having
13 put this system into a productive environment, those
14 points are very, very important. The first one is,
15 well, it's a quick and reliable way to freeze the
16 degradation of the surface of the disc. You know,
17 you just shoot a picture.

18 Even if within the next few years, your
19 disc is degrading, I mean, it's getting destroyed,
20 you'll have that frozen image. We also have a
21 certain depth of field which is granted by
22 photographic principles, we've been mentioning

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 that earlier on, and we can also think of the picture
2 as some kind of an analog copy of the record, as
3 it has a very, very, very high resolution.

4 If you look at the picture through a
5 magnifying glass, for example, you'll see that it
6 is just perfect. It's like looking at the grooves
7 under a microscope. And film is small, cheap, and
8 stable - while it's still on the market - I'm trying
9 to anticipate some questions.

10 There are some additional benefits of
11 the analog photography. Of course, the picture is
12 shot without interfering with the surface of the
13 disc. There is no need to manipulate the disc
14 except for placing it inside the photo camera. We
15 can take pictures of discs in virtually all
16 conditions, de-laminated, broken, deformed, and
17 they can, later on, be read and hopefully the sound
18 can be restored.

19 Each and every disc format, it doesn't
20 matter the size, the speed, the cutting, up to a
21 certain limitation, is read using the same
22 equipment. In some cases, well, under some

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 circumstances, we even tried to get a picture of
2 a vertical cut disc, a typical Pathé disc. I can
3 tell you that we were able to look at the groove,
4 even if it's just a 2-D system, a two-dimensional
5 system, because the width of the groove changes in
6 function of the depth.

7 So we were able to extract this
8 information from a 2-D image. Image processing is
9 well established, it's easy to make corrections to
10 the physical variances of the disc. And again,
11 fairly stable, small, cheap, for storing sound
12 information, even if it sounds strange, you know,
13 storing sound on film.

14 But this means that it might be
15 implemented, or this is at least what we do, as well
16 as a long-term storage format. So what we are doing
17 right now, for example, is: we are taking pictures
18 of some thousands of acetate records, because it
19 doesn't take that much time and it gives us the
20 possibility, when storing these things in our
21 archives, to process them later on, so we'll still
22 have time later to process these images.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 You already saw the picture of the first
2 generation photo camera. It was big. It was huge,
3 not just big, and heavy. And this is where it is
4 right now. I guess you can't figure out where it
5 is. It is a sound recording studio in South Africa
6 and this is the way we got it there.

7 One funny thing is that our colleagues
8 at the engineering school in Fribourg had to
9 literally cut the frame of the door of the room where
10 the camera was built because it couldn't get out,
11 you know? You can see it here. And well, you can
12 see we had some troubles taking the camera into the
13 studio in South Africa, but it survived, and now
14 it's there. It's working. You know, nothing is
15 broken. It's a simple thing, a simple setup.

16 Here, we look at the, as for the main
17 parts, third generation photo camera. I'm not
18 showing the second generation of the photo camera,
19 which is the one that we are using currently at our
20 sound archives. This is the third generation photo
21 camera which is being built right now. This camera
22 will be delivered in a couple of weeks in a

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 photographic lab we've been setting up in another
2 part of Switzerland.

3 You know, being the third one has the
4 advantages of having fixed all the problems of the
5 first and the second one. This one can be
6 transported, it can be just, you know, disassembled
7 and reassembled quite easily, and it's very, very,
8 very easy to operate.

9 Then we have a turntable scanner, which
10 is our kind of turntable where we put the film for
11 reading it. It's still the first generation. It's
12 the only one existing and this is probably the
13 weakest part of the whole chain right now.

14 So as I said, practical VisualAudio,
15 let's have a look at the workflow. The workflow is
16 a three-step process. Well, there's the first
17 step, which is the photography. You know,
18 photography takes, more or less, ten minutes for
19 one side of a disc. Why does it take ten minutes?
20 Because you have to position the disc, you have to
21 shoot the picture, and you have to develop the film.
22 It's an analog film, you have to develop it, and

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 an automatic developing machine takes, more or less,
2 five minutes to develop such a film.

3 Of course, if you have two operators in
4 the same room, you can cut the time by two because,
5 you know, one shoots and the other develops, and
6 the other shoots, and the first develops, so you
7 can really make it much quicker.

8 Then we have the film scanning process.
9 Film scanning takes, let's say, 30 minutes per face,
10 or per side. We have the film positioning, we have
11 a ring-by-ring scanning procedure, and a merge
12 procedure in order to acquire the whole image of
13 the whole surface.

14 And finally, we have the image
15 processing and image-to-sound transduction, which
16 can take up to two and a half hours, where, you know,
17 the groove is aligned and reconstructed, the image
18 is transduced to sound, de-emphasis is applied, if
19 necessary, and stuff like that. So the good thing
20 is that the three processes are independent.

21 I mean, of course, you can scan a film
22 if you have the film, but once you have the

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 photograph in your hands, you can decide, whenever
2 you want, to go further on. And that can be carried
3 out by different people in different locations on
4 a different schedule. The timing as for steps two
5 and three is just a guidance. And of course, it
6 relies very much on the shape of the discs.

7 When I say half an hour for scanning the
8 film and two and a half hours for extracting the
9 sound, I mean, if you're processing records like
10 this, you know, completely delaminated. Things
11 that you cannot read on a conventional turntable.
12 From my perspective, the main, let's say, target
13 for such a system is not to read records in perfect
14 conditions simply because the quality is not good
15 enough; I mean the sound quality.

16 Now, let's get more practical, I have
17 some images and some sounds for you. First of all,
18 we've been talking about rings. Okay, how does a
19 ring look like? And this is in raw format. That's
20 the reason why I have to set some parameters-- okay.
21 So this is the ring. It's a number of grooves for
22 one rotation.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 So we scan a ring, then we scan the next
2 ring, we merge the two rings together, and so on,
3 up to the end. And this is how it looks like just
4 after scanning, you know, working with raw files
5 is not that easy, I don't know if you can see the
6 green and blue lines on the edges.

7 So there is a green line here, a blue
8 line here, a green line here, and another blue line
9 here. These are the four edges of the two walls.
10 I mean, the upper edge, the lower edge, the lower
11 edge, the upper edge of the two walls of the groove.
12 And these lines are the result of the first
13 processing, as Dr. Johnsen said.

14 So this is the, let's say, how we
15 estimate where the groove is and how we estimate
16 how the groove moves laterally. Then, you know,
17 linking the grooves is not that magic, you saw these
18 pictures before, you saw that we can apply an offset
19 to the image just to tell the system: when you come
20 down here, you have to continue on that one instead
21 of just continuing straight on because, you know,
22 the two parts, the broken parts, of the record do

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 not match.

2 And here, you can see a little bit better
3 with a wider gap. But getting some sound is better,
4 I hope, so I prepared a couple of different things.
5 We didn't test the level, so I just play it now.
6 Okay. That was read with a turntable by applying
7 the emphasis curve that is necessary for properly
8 replaying this record.

9 If we do a 1:1 comparison with what came
10 out of the system when we got it from the school,
11 it's this. From here, I don't know, I cannot make
12 any judgements, because I don't know if you have
13 enough low frequencies and things like that, but
14 the two files, or the two sounds, are, in a way,
15 similar. I'm not saying they are equal, they are,
16 in a way, similar.

17 Let's take another look at a different
18 version, which is this one. This is a linear
19 extraction with a turntable. And now the linear
20 extraction with the VisualAudio system. We have
21 some audio engineers here in the room and I'm quite
22 sure that they noticed how linear doesn't mean

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 linear when we switch from a turntable to an optical
2 system.

3 This has different reasons, but it also
4 sets some new challenges. And if we want to go into
5 the challenges and start thinking scientifically,
6 we may come up with things like, why don't we take
7 a constant amplitude output, which is this? Or a
8 constant velocity output. Or, what happens if we
9 just apply a RIAA curve, a de-emphasis curve?

10 You know, I have no answers. Well, I
11 might have some answers for you, but I'm not
12 mentioning my answers. My main concern here, and
13 I saw something similar, a similar situation
14 yesterday, while visiting the Culpeper facility
15 when we had a brief demonstration of the IRENE
16 system, is that, when looking at those things from
17 a scientific point of view, we get a lot of different
18 options.

19 A lot of different options which do not
20 mean too much to an audio engineer, or not always.
21 An audio engineer is used to, you know, take the
22 record, put it on a turntable, select the speed,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 select the correct stylus, select the correct
2 equalization, and just do the copy, and that's it.

3 And the result, the end result, is
4 absolutely correct, I mean, from an audio
5 engineering perspective; it is what you want. With
6 this kind of approach you have a lot of different
7 options, you have the theoretical possibility to
8 reproduce equalization and stuff like that as it
9 happens in the traditional electromechanical
10 world, but the result you get is not exactly the
11 same. So why?

12 Let me take you to another example.
13 This one, different piece of music, is a very early
14 extraction. That was done using the VisualAudio
15 system at the engineering school in Fribourg. This
16 was just applying a low-pass filter at 21 kilohertz
17 here. The same thing applying a 48 kilohertz filter
18 is this. It has a little more high end, but what
19 makes me uncomfortable (I should say, what makes
20 me sick) is that the next version is NR. Do you know
21 what NR means? Noise reduction.

22 So this was supposed to be the noise

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 reduced version of the previous extraction, which
2 is not true. Why did that happen is because if I
3 go here, you can see I have two folders. One folder
4 stores the audio as I got it originally from the
5 scientists, who not always have a deep understanding
6 of audio, or maybe the knowledge, yes, but not the
7 feeling, the right feeling, while the other folder,
8 which is the one where the files, the sample files,
9 reside now, is a folder with all files loudness
10 normalized, I mean, not level normalized, but
11 loudness normalized, just by applying the EBU R128
12 compensation, which is the equivalent of the ITU
13 1770 here in the States, which is what makes the
14 perception more or less the same of a certain sound.

15 So if you take the original wav files
16 as I got it from the school, they were just, you
17 know, the noise -- yes, that one with the noise
18 reduction had just such a low level that you couldn't
19 notice the noise, but you couldn't notice the music
20 either. So, we went a little bit further trying to
21 measure things.

22 So we took a test record, a standard AES

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 78 rpm test record. You can still buy it. It's
2 still on the market. We put it on a turntable, we
3 extracted it on a 96 kilohertz, 32-bit float file,
4 and got this result. And then we did the same thing
5 with VisualAudio and we tried to match the loudness
6 of the 1 kilohertz signal.

7 So, I'm not saying that the system is
8 bad, I'm just saying that there is still some work
9 to do, especially if I look at the noise floor, for
10 example. So, this is the typical noise floor you
11 can measure out of a turntable. You can just forget
12 this little green line here. There's practically
13 nothing. This is the same thing - yes, okay, thank
14 you - this is the same thing when I measure the output
15 of the VisualAudio system. This is the typical
16 noise floor I get out of the system without applying
17 any corrections, just linear. And of course, this
18 has also an impact on harmonics. Somebody said
19 harmonics are good, somebody said harmonics are bad,
20 but we are used to harmonics.

21 So when you play an instrument, you never
22 get a pure sinus out of it, you get the main sound,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 or the main tone, and you get a lot of harmonics
2 out of it. So if we consider harmonics, I'll go back
3 to that one, and switch back to the 1 kilohertz,
4 sorry for that, but this time you see very clearly,
5 we have a 1 kilohertz signal, a 2 kilohertz harmonic,
6 a 3, a 4, which are visible. This is what comes out
7 of a turntable.

8 And I'm sorry to say that again, this
9 is what we are expected to get out of a sound system.
10 If we look at the same thing here, we can clearly
11 see the 1 kilohertz signal, more or less, we can
12 also identify the 2 kilohertz harmonic, still there,
13 but the others are gone.

14 So you know, this is my last slide, it
15 says, well, may we get rid of all that noise? I'm
16 talking now as an audio engineer. You know, sound
17 engineering is my very first background. I've been
18 working in that field for more than 30 years doing
19 recordings and all kind of things.

20 You know, what I see is that... and maybe
21 I'm wrong, but I would like that somebody in the
22 scientific world would investigate these problems.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 Things that bother me a little bit are, for example,
2 the current image sampling frequency. We say it's
3 64 or 128 kilosamples per ring, while if we think
4 audio, we talk about 44.1, 48, or 96.

5 How do these things correlate together?
6 Do we have an impact in the audio quality because
7 the two figures do not match? I don't know, but I
8 would like to hear a very solid answer, not a
9 theoretical answer, a very solid answer. On the
10 image, the radial position of the groove can be
11 estimated by detecting the edges of the groove, two
12 edges, four edges, that's fine.

13 On a turntable, it is determined
14 mechanically by the pivot and the inertia of the
15 arm, as well as the stylus tracking the groove.
16 It's a different approach, it produces different
17 results. Can we measure that? Can we compensate
18 that? Can we replicate that?

19 These are all questions I have for my
20 friends in the scientific world. And maybe the last
21 one is, you know, image processing extracts the
22 position, in fact the amplitude of the groove, while

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 a stylus gets the velocity. That was very well
2 described by Carl Haber.

3 Is the derivative, which is the signal,
4 the same for both methods? If so, can we apply the
5 same de-emphasis, such as the RIAA or other
6 less-known curves? I would like an answer, but not
7 a mathematical answer, a practical answer,
8 something that I can measure, or even better,
9 something that I can hear.

10 Oh, there's another little question, is
11 maybe IRENE suitable for processing our analog
12 pictures? I was dreaming the other day of putting
13 my film on an IRENE system and being capable to read
14 it using their algorithms. You know, what I mean
15 by that is, image or sound processing by imaging
16 is a very niche market, a very tiny market, to my
17 opinion, it would really make sense if we could just
18 merge the efforts, and merge the knowledge, in order
19 to get something, maybe just one system, or two
20 systems, that, you know, really take advantage of
21 all the beauties that have been developed so far.

22 Therefore, I'm still dreaming, I'm

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 dreaming a lot, but sometimes I also get to the
2 point. I'm dreaming of setting up some sort of a
3 center for excellence, for example, in Switzerland,
4 having the two systems, you know, IRENE and
5 VisualAudio sitting together, and somebody, every
6 day, using the two systems, and other people coming
7 in to do some more research and development in order
8 to try to achieve better results.

9 So I hope that will come true sometimes,
10 but, well, good, that was it. Thank you very much
11 for listening.

12 PARTICIPANT: Is grooved media still
13 being produced or is there new stuff being produced,
14 I think this is a trivial question, but I assume
15 the body of grooved objects out there is finite,
16 right? It's not growing anymore, is that true?

17 DR. HABER: I definitely think that it's
18 finite. And certainly, vinyl has had a resurgence.
19 My 15-year-old now wants only to buy vinyl discs,
20 but the target for these technologies, I don't
21 believe, is the new emerging vinyl market. It's the
22 finite historical archive, beginning in the 19th

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 century, and probably ending somewhere in the middle
2 of the 20th century.

3 PARTICIPANT: Thank you. Stefano, to
4 address the last point that you made, there will
5 be a proposal made, there's a group of scientists,
6 they're having a conference in Padua, Italy on
7 September 17th, and the proposal will address the
8 issue of trying to bring together these scientific
9 communities, and taking advantage of the fact that
10 we don't always have to be in Washington, or Berlin,
11 or San Francisco, but to take advantage of the
12 technology that exists in a virtual sense.

13 Why can't there be global exchanges of
14 information using something as simple as Skype?
15 And how you integrate the different communities in
16 that manner, for example, in a university
17 environment, you may have an archive, and the
18 archivist has a certain amount of expertise, but
19 the engineering departments, as your example
20 proves, how do you leverage your needs with the
21 expertise that are in the universities?

22 Or how do you work in New York City in

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 an archive and find out, gee, I'd like to work with
2 the Swiss archive? And use social media and that
3 technology, I think, could facilitate exactly what
4 you're asking for tremendously.

5 MR. CAVAGLIERI: Well, thank you very
6 much for that. I think this is a good approach.
7 Yes.

8 MS. DOCTOR: Hi. I'm Jenny Doctor from
9 the Belfer Audio Archive at Syracuse University.
10 I just want to say, I think you're asking really
11 good questions and I really like the fact that you're
12 saying that we need to use the audio engineer
13 expertise in conjunction with the scientific genius
14 that has been bringing together, sorry, I was using
15 the genius award kind of -- but the point is that
16 there's been a huge amount of approach that has come
17 from the scientific side and I think that there are
18 decades worth of expertise that does come from the
19 audio engineer side that has to do with how the
20 stylus does fit in the groove, whether you do go
21 right down to the bottom of the groove or not,
22 whether some of the noise may be coming from that

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 issue, and whether maybe the edges -- I don't know
2 enough, I'm not a technician, but I wonder if some
3 of the noise problem is because it is targeting the
4 bottom of the groove and that isn't a problem.

5 So I hand that over to some of the audio
6 engineers who can answer that more, but I know that
7 when we were talking to the engineer at Syracuse
8 University who was doing the laser project that Carl
9 mentioned earlier, and he, for the first time, ten
10 years later, talked to our audio engineer about why
11 that project failed. He hadn't ever realized that
12 he should not be directing the laser at the bottom
13 of the groove. He should have actually been
14 directing it to the wall higher up.

15 So that was a huge revelation to him,
16 but he hadn't bothered to talk to the audio engineer
17 to find that out. So I don't know about why some
18 of the noise is coming in, but I do wonder if maybe
19 the edges, if going right to the bottom of the
20 groove, if it was slightly higher up, I don't know
21 if you would get better results.

22 MR. CAVAGLIERI: Well, in our case it's

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 a little bit different because we are not just
2 measuring what happens at the bottom of the groove.
3 As I mentioned, we are trying to figure out or to
4 set an average between different reference points,
5 but the different reference points are either the
6 top or the bottom, never in the middle.

7 While, when you use a stylus, you read
8 up on the groove. It's never going to be on the top
9 or on the bottom. That's probably one of the major
10 issues he had.

11 DR. HABER: So, Stefano, you put up a
12 bunch of questions just before your last slide and
13 I was thinking maybe we could try to address some
14 of those questions since they were questions. Is
15 that okay? Is there some way we could put them back
16 up? Okay. So one of your questions was that the
17 current image sampling frequency is some tens of
18 thousands to hundreds of thousands of samples per
19 ring, while standard audio sampling frequencies are
20 96, 48, whatever, how do the different figures
21 correlate and what is the impact on the audio
22 quality?

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 So I would like to say I think the answer
2 to that question is very straightforward. You have
3 a disc, let's say it's 78 rpm, that means it takes
4 some 0.7 seconds, approximately, to go around once.
5 If you take a certain number of optical samples
6 around the disc, by a simple ratio, involving that
7 0.7, it translates into the equivalent audio
8 sampling.

9 So I happen to remember in my head that
10 for a 78 rpm, if you take 80,000 optical samples,
11 that is 104 kilohertz. So every single number
12 exactly correlates to an equivalent audio sampling.
13 So 96 kilohertz would correspond to something a
14 little bit less than 80,000 for 78. If it's a 45,
15 it's going to be a different thing, but there's a
16 completely well-defined, clear correlation.

17 MR. CAVAGLIERI: Yes, but my question is
18 maybe more to him, is, why, while reading the image,
19 these two numbers do not match? I mean, you know,
20 the producing of the, let's say, images, image
21 samples, or just straight lines, is not the same
22 number as the final number of audio samples. I

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 mean, for one second, for example, of audio, with
2 the VisualAudio system, you will not take 96,000
3 pictures. You will take 120,000 or 80,000.

4 DR. HABER: Right. But that's because
5 the record is, for example, at 78. Suppose the
6 record was at 60 rpm, okay, then it would be one
7 second per revolution, and then to get 96 kilohertz
8 audio sampling, you would take 96,000 pictures.

9 MR. CAVAGLIERI: Yes.

10 DR. HABER: But because you're at 78,
11 it's just a different number.

12 MR. CAVAGLIERI: No, but if you change
13 the rotation speed, it doesn't matter, because the
14 rotation speed of the scanner is changing in our
15 case.

16 DR. HABER: It still just matters when
17 you trigger the camera.

18 DR. JOHNSEN: Yes, I believe it related
19 to the problem of sampling rate conversion. When
20 you have digital sound, when you change a sampling
21 ratio, you have to do a mathematical operation. And
22 where it was done first was when converting 44.1

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 kilohertz sound record sampling frequency to 48
2 kilohertz sampling frequency.

3 And the example from going from 44.1 to
4 48 is that, if you do it professionally, you can't
5 hear any difference of the record at 44.1 compared
6 to 48 kilohertz sampling frequency. And as long as
7 it's the right way, that you have no problem with
8 sampling.

9 And in my opinion, that is also the case
10 when you look at VisualAudio system or IRENE. We
11 have a sample rate conversion and sampling rate
12 conversion is nearly perfect. And you can get as
13 perfect as you want, simply, you have to increase
14 the length of your digital filter that does the
15 sampling rate conversion.

16 DR. HABER: You can run any of these
17 systems if you want exactly at the sampling rate
18 so you don't have to do any conversion. You could
19 choose to digitize 96,000, native, or you could
20 choose, for various historical purposes, IRENE runs
21 at 104 kilohertz, and then if we want, we can
22 down-sample to 96 or to 48, or 41, but you could

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 set it up to digitize exactly at the audio if you
2 want to. I'm sure you could do that.

3 MR. CAVAGLIERI: I wish we could with
4 VisualAudio.

5 DR. HABER: Okay. But there's no
6 fundamental reason why you can't do that.

7 DR. JOHNSEN: We have phase-locked loop
8 and that is making it difficult.

9 DR. HABER: But you could do it. Okay.
10 So let's go to the second question. Okay. So on
11 an image, the radial position of the groove can be
12 estimated by detecting the edges of the groove on
13 some number of points. On the turntable, it is
14 determined mechanically by the pivot and the inertia
15 of the arm as well as the stylus tracking, so where's
16 the question?

17 MR. CAVAGLIERI: It's not really a
18 question. It's just, you know, there are two
19 different ways to determine where your groove is
20 and how it moves from one way to the other. The point
21 is, using the optical systems, you have no, let's
22 say, mechanical impact that is, on a standard

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 turntable, designed to produce certain results.

2 You remove that while reading the image.

3 DR. HABER: So I think what you're
4 saying is that the mechanical dynamical response,
5 I use the word dynamics in a physics/engineering
6 context, not in the way audio may be using a dynamic
7 range, in a physics dynamical sense, you don't have
8 the compliance of the mechanical components, the
9 tone arm, the stylus, the mass, the natural
10 frequencies, so these are all parts of a physical
11 system, which together, conspire, hopefully, to
12 give you good results.

13 There are many examples in the
14 literature of problems associated, resonances, and
15 distortions, that people have worked for years and
16 years in perfecting mechanical playback systems to,
17 you know, minimize. Those effects are not present
18 in an optical scanning and VisualAudio, or IRENE.
19 All you're doing is measuring the shape of the
20 groove.

21 Mathematically, the sound should just
22 be the derivative of that shape. And when you take

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 the derivative of that data, it is an absolutely
2 mathematically flat in frequency. And I think when
3 you were using the term linear transfer, you mean
4 a flat transfer. It's completely flat.

5 In an ideal world, the cartridge would
6 simply take that derivative by the fact that it's
7 the velocity between the magnet and the coil inside
8 creates the voltage that gets measured, and that's
9 what you get. If you want to add the flavor or the
10 aesthetic, if you like, of a mechanical system,
11 that's called physical modeling and you can develop
12 that, but it's not there. It's not there.

13 Then it becomes a question of
14 aesthetics, right? Do you want that ambiance?
15 Because you're not going to have that. It's just
16 going to measure the information that's on the disc
17 or the cylinder.

18 MR. CAVAGLIERI: Yes, I understand
19 that, perfectly understand that, and this is
20 actually my problem. I mean, because also when the
21 groove has been cut into the disc, it has been done
22 with mechanical means. It hasn't been in an ideal

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 world.

2 DR. HABER: But a difficult mechanical
3 system cut it than you're using to play it back.
4 And the mechanical system in Indiana, and the
5 mechanical system in California, and the one in
6 Switzerland, they could all be different, so in some
7 sense, some people might argue that it's good that
8 you've removed one set of variables from the
9 playback process, which is the mechanical response.

10 Now, you raised the question about noise
11 and you very dramatically showed these high noise
12 levels that you're seeing, so I was thinking I would
13 like to address that also because I think it's part
14 of your question. When people use the term noise,
15 it refers to a whole variety of things.

16 So if you have sharp defects, click,
17 click, click, okay, people call that noise. If you
18 have a very broadband, like the hiss that you so
19 strongly showed, okay, they also refer to that as
20 noise, but these are very different things and they
21 all get stuck in the same category and called noise.

22 What you were dominantly experiencing

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 or sharing with us in the thing was this very, very
2 broadband noise, and it was very clear when you
3 showed the noise spectrum that at some point, around
4 a kilohertz or something, it started to rise and
5 it rose, sort of, in a straight line, and it was
6 much less prominent when you, for example, in the
7 test record, played it back with a stylus than when
8 you did with the VisualAudio system, okay?

9 That noise is due to just a structure
10 that exists in a random way throughout the data,
11 okay? And it can be due to, perhaps, the film grain,
12 it can be due to the roughness of the surface, it
13 can be due to the region of the groove that IRENE
14 or VisualAudio resolves, but it's a general
15 structure of the surface as rendered through the
16 imaging which gets worse and worse as you go to
17 higher and higher frequencies because you're taking
18 a derivative, a derivative is a high-pass filter,
19 so you're pushing up those high frequencies, which
20 you should be doing, okay?

21 But you should apply the RIAA curve or
22 whatever the corresponding curve is for the older

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealgross.com

1 materials, which is, in fact, designed as part of
2 the standard process to partially roll off those
3 high frequencies. It does it for the stylus and it
4 should be applied in these visual as well. I don't
5 know if you were applying it. It didn't look like
6 you were applying it, because I would have seen it
7 turn over, but it definitely should be applied
8 because it's part of the way the record was recorded.

9 MR. CAVAGLIERI: Well, yes, of course.
10 Thank you for this explanation, but it doesn't
11 really answer my question because we are well-aware
12 of the fact that we have to apply compensation
13 curves. The problem is not there because the
14 compensation curve in this case removes everything.
15 It removes the signal as well.

16 And, you know, the signal-to-noise
17 ratio, especially in the higher frequencies, is that
18 low that you can't remove the noise without removing
19 what's embedded into the noise.

20 DR. HABER: Sure. Once it's below the
21 noise, if you put a curve on it, you're not getting
22 it back.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 MR. CAVAGLIERI: But the two noise
2 floors I showed were obtained using the same
3 equalization curve, you know, the one from the
4 turntable and the other one from VisualAudio.

5 DR. HABER: Okay.

6 MR. CAVAGLIERI: So it was already in.

7 DR. HABER: Okay.

8 MR. ALYEA: Okay. We should let people
9 get to lunch because we need to be back here at 1:30.

10 MR. CAVAGLIERI: Thank you.

11 (Whereupon, the foregoing matter went
12 off the record at 12:21 p.m. and went back on the
13 record at 1:35 p.m.)

14 MR. ALYEA: Also, at the end of the
15 sessions today, there's an establishment on
16 Independence Avenue called the Hawk 'n' Dove, that
17 if people are interested in meeting outside of the
18 walls of the Library of Congress, maybe be a little
19 looser or something, I don't know what exactly, but,
20 you know, say hello and be casual, the Hawk 'n' Dove
21 will do that, so I guess we'll probably be out of
22 here, what does it say on the schedule, maybe 5:00,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 5:30, so right after that, and then people can go
2 off to dinner.

3 So we could go directly or a little bit
4 after that, so 5:45, let's say. Split the
5 difference. So we should have the next set of
6 speakers come up, John McBride, Carl Haber, and Stig
7 Molneryd. So, Carl and Stig, could you also come
8 up and sit up here? We're going to try to get
9 everyone cycled through a little bit faster and
10 they'll all be ready for questions at the end.

11 So we have John McBride. He's going to
12 be our next speaker.

13 DR. MCBRIDE: Okay. Good afternoon,
14 everybody. The first session after lunch is always
15 the worst one to have, especially if you had a large
16 lunch and you're beginning to fall asleep, so I'm
17 going to try and livening this up a bit with,
18 hopefully, some interesting material for you.

19 What I should say here as an
20 introduction, I'm an engineer. I've only dabbled
21 in this area for around five years and the project
22 I'm going to talk to you about here actually

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 concluded around 2010. I'm going to give you a bit
2 of an update as we go through, but it was just a
3 piece of work I did from my main job, so I'm a
4 research scientist.

5 I work primarily on structured
6 surfaces, on surfaces, and of course, recordings
7 are structured surfaces, and structured surfaces
8 are really a big area of research at the moment for
9 all kinds of things, flight, ships in water,
10 everything, so this is just kind of a side road for
11 me, but I hope you find some of the work we did
12 interesting.

13 There's a bit of a history behind this.
14 Just get my notes here. Can I have the first slide?
15 So I'm just going to give you an introduction, and
16 overview, and probably just focus on the case
17 studies. Actually, Carl here has done me a great
18 favor, and Ottar as well, you covered most of the
19 technical aspects, so I'm going to kind of leave
20 that.

21 I've got a lot of slides here, which,
22 I didn't really know what I was going to say today,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 so I'm probably going to jump quite a few of these
2 slides and just go into the interesting stuff. I'll
3 probably focus on some of the recordings we looked
4 at, particularly the Queen Victoria, the tinfoil
5 recording, and maybe the Berliner disc as well, but
6 we'll come to those shortly.

7 Before that, I think the next one is a
8 video, which I hope is new to you. One of my PhD
9 students found this on YouTube. I hope you like it.

10 (Video played)

11 DR. MCBRIDE: So some of you have seen
12 that, I guess, but if you haven't seen it, I think
13 it's on YouTube and it's worth it. That's the way
14 to damage a cylinder recording, I guess. Here's
15 another one, which I'm not going to play, but Carl
16 would probably be interested in this. This is a
17 Radio 4 Today. It's the most serious radio program
18 in the U.K.

19 It's early in the morning, you get
20 presidents, prime ministers, it's really serious,
21 and this lady here is one of the serious people who
22 present the program. I think about five years ago

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 she was giving a talk on, you know, it was a very
2 small slot on one of the early sound recordings,
3 and I think it was one of the optical ones, and she
4 gave the one-minute slot on this piece of news that
5 somebody had managed to decode this recording from
6 1850, or something, and the guy in the headphones
7 said, it sound like a bee in a bottle, and she burst
8 into laughter.

9 And she then could not stop laughing.
10 She had a giggle fit, which actually went on to the
11 next part of the program, which was an obituary,
12 so she's reading an obituary of some famous person
13 who passed away, laughing as a result of this. So
14 if you want to find it, Carl, you'd probably be
15 interested in hearing that.

16 DR. HABER: I heard it.

17 DR. MCBRIDE: You heard it. It's good.
18 Okay. I'll come back to more technical subjects.
19 So probably, I can miss this. This is really just
20 saying that what we're trying to do with this piece
21 of research is not real-time playback. This slide
22 was for a general audience, so I'm going to skip

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 most of this. It really describes what Carl said
2 in the first presentation. Let's just move on.

3 Okay. I'll jump this one. There is a
4 Web site, which we still have active, actually,
5 which, if you get the slides later on, we should
6 be able to logon to this, and all of the material
7 that I'm going to show you is actually on this Web
8 site, so you can look at this on your own leisure.

9 I think the key thing is archivesound,
10 one word, and if you Google that, you should come
11 to this Web site. Okay. Let's move on. So as part
12 of the project, we published quite a few
13 publications. These are primarily in the
14 scientific literature domain, so those of you who
15 may be engineers or scientists, a lot of this work
16 is easily available. It's downloadable. So a lot
17 of the technical content is published.

18 I won't bore you with the detail of
19 these, but maybe make reference to a couple of these
20 papers as we go on. You've seen this. This is a
21 measurement method. Carl explained this earlier
22 this morning. I'll probably say a few words about

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 some of our systems.

2 This particular system is very similar,
3 I guess, to what you call IRENE, which is a white
4 light sensor with a cylinder. This has got a
5 cylinder on here. I can't see it very well on the
6 screen here. I hope you can see it on your screens.
7 There's a cylinder here being scanned.

8 And these axes are really important, so
9 X is a long cylinder, obviously, theta is the
10 rotation of the cylinder, and Z is the height
11 position, so we're actually measuring, as we scan
12 along the length of the cylinder here, we're
13 measuring the Z height as a function of X, and then
14 you rotate, and then you do the next scan.

15 And from that, you essentially are
16 creating a three-dimensional representation of the
17 object. Let's just move on. Another system, I'll
18 have a movie of this system later, this is a flatbed
19 scanner. It's an air bearing, which is a high-end
20 piece of equipment for -- actually manufacturing
21 silicon wafers, but what we do with this, as you
22 can see on here, probably this is a 78, I guess,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 with a laser, and again, you've got the rotation,
2 X and Y, you've got Cartesian coordinates with a
3 Z height.

4 Okay. I'm just going to pick up on a few
5 of the aspects which we've kind of discussed a few
6 times from the presentations this morning.
7 Something about resolution, and maybe resolution
8 is the main one we should focus on, because this
9 is taken from one of our papers, the reference is
10 there. I hope you find this is interesting, this
11 graph is essentially the physical displacement of
12 a cylinder's surface measured in nanometers again
13 the frequency response of a spoken word.

14 Now, if you talk to people who are
15 experts on spoken words, the harmonics -- so here,
16 you've got the main sound here, which is around 600
17 hertz, these are harmonics, the peaks that occur
18 afterwards are the harmonics, and here you see a
19 harmonic around, what, 3 kilohertz, and if you look
20 at the amplitude of this harmonic, it's around 50
21 nanometers.

22 This is a recording from early 1900s,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 it was a cylinder recording, and what we determined
2 from this is that, actually, if you're going to
3 measure these surfaces and get a good audio record
4 from the surface, you really need to be measuring
5 to this type of resolution, so we published this,
6 I think, 500 nanometers was the value that we
7 suggested from this work.

8 It's to do with the spoken word and the
9 way in which the ear interprets the spoken word that
10 these high frequencies, these harmonics, are really
11 important. Let's move on. One of the other issues
12 we haven't seen mentioned this morning is the
13 optical sensors that we use are not perfect. In
14 fact, they have some major limitations and one of
15 the limitations is demonstrated very easily here
16 from a 78 disc where, as we saw again this morning,
17 the side wall here is at 45 degrees.

18 Actually, it's very difficult to get
19 light back from a surface that steep, and what you
20 see is you get a lot of data, basically, on the side
21 walls. Now, from what Ottar presented in his
22 presentation, this probably isn't that important

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 because, actually, if you can detect the edge, you
2 can get the sound, actually, from these edge points,
3 and also from these points here. We have an example
4 of that later.

5 This is signal extraction. This is
6 really a whole area in itself. I could talk to you
7 for an hour just on this; how you do this. In the
8 simple sense, it's actually what we've said all
9 along, all the way through this conference,
10 basically, you're getting a three-dimensional
11 representation of the surface, and then the secret
12 is to find the groove, and there are many, many ways
13 of doing that.

14 And you're really entering the realm of
15 signal processing, and anybody who knows anything
16 about signal processing, it's endless, the
17 possibilities that you can use. The one that we
18 tended to focus on is one particular -- so the dark
19 line on here is a cross-section of a groove
20 structure, and I think you can see, it's damaged.

21 The bottom of the groove here has quite
22 a strange base. It's not curved. And you can see

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 if you just try to find the minimum point as a
2 representation of the sound on this, the minimum
3 point is actually going to be noise. It's actually
4 a damage to the surface. So actually, what we've
5 been doing here is putting a particular filter to
6 the surface and getting the minimum points of the
7 filter.

8 Again, there are endless filters that
9 you could use for doing this, but having got -- the
10 key thing is, you have the raw data here, the solid
11 line, as accurately as you can get the data.

12 Okay. So that's really all I'm going to
13 say about the technical aspects. I can talk, as I
14 said, if you have any questions, I can go into all
15 the details if you want. What I'd like to do is just
16 focus on some of these, which I hope you find
17 interesting. I'm going to jump the first one and
18 not bother with that.

19 There are lots of cylinders that we
20 scanned as part of this project. They're all
21 available on the Web site, so if you want to listen
22 to them, you can. Just Before the Battle, Mother,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 is, I think, the first one we did, Carl. That was
2 a collaboration with Carl Haber, with Bill, the
3 cylinder supplied, so we did that, probably, 2005,
4 I guess. Something like that. So there are lots
5 of other examples on here.

6 This is quite interesting. We saw this
7 partly this morning. We actually found a character
8 in the U.K. who made test cylinders, and this is
9 one of the cylinders that he made for us, so these
10 have fixed frequency sounds embedded in the groove
11 so that we could do a way of testing the quality
12 of our sound reproduction from these scanning
13 methods.

14 I think you can just about see that. If
15 you look across the cylinder here, you can probably
16 just visually see. I haven't got it very clearly
17 on this monitor, but on here, I think you can just
18 about see the different regions, and then we've
19 scanned the cylinder. And what I'm going to show
20 you, so here's a groove structure from the cylinder.

21 Let's just jump on and listen to some
22 of the sounds. So I'll just take one example here.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 If we look at the 1 kilohertz. I'll play the stylus
2 first, so this is the stylus playback of the cylinder
3 we had made. What do you hear? Can you hear a
4 single frequency? It's modulating. Yes. There's
5 some variation. Let's listen to the optical. Same
6 frequency.

7 So we've written a paper on this. So one
8 of the publications, I think it's JASA, the Journal
9 of the American Acoustical Society. It's one of the
10 top acoustic journals in the world. There's a paper
11 published on this work. So we created the artifact
12 so that we could test the quality for the methods
13 that we were using.

14 And actually, from this work, we
15 actually determined some quality parameters that
16 you could use in going back to the measurement and
17 to the way in which we process the surfaces
18 afterwards. I could go through all of these, but
19 let's just jump on.

20 Okay. So these are frequency analysis
21 diagrams of the same data. If you aren't used to
22 seeing this type of stuff, this is the one that we've

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 just been looking at. I think the 1 kilohertz,
2 around here. So you've got the stylus scan. So
3 this frequency here, where the arrow is pointing,
4 is 1 kilohertz, and then you've got the harmonics,
5 which is probably the sound variation that you were
6 hearing, and if you compare that to the optical,
7 there's more noise on here, you can see slightly
8 more noise, but the primary sinusoidal signal is
9 well represented there.

10 As I said, you could read the technical
11 papers on these if you want. This is one that is
12 really interesting. I have quite a few slides on
13 this one. So this was scanned, I hope you can
14 imagine, Queen Victoria is quite an important
15 character. It is for the British. And there was
16 a book, I think, written around the recording of
17 her voice.

18 But at the time, it wasn't sure if it
19 was -- it hadn't been authenticated, and I'm going
20 to show you some evidence later about the
21 authenticity of this. So this was scanned at the
22 Science Museum in London back in 2005. I just

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 really want to focus on -- there's the cylinder.
2 This is a graphophone cylinder. I think you can see
3 three dams, one here, on the left, one in the middle,
4 one on the far right.

5 And I hope you can see it. From here,
6 I don't have it very clearly on here, but I know
7 the slide pretty well, and you can see the groove
8 structure. And what you can also see is this
9 artifact on the top here. You can guess what that
10 is. That's the stylus. So essentially, what has
11 happened, this artifact has obviously been deemed
12 to have been important. It was in the vault of the
13 science museum in a huge safe.

14 And I think, probably, at some stage in
15 its history, it'd been played, probably played with
16 the wrong stylus with the wrong force, and what this
17 has done is, essentially, damaged the bottom of the
18 groove here. That was our interpretation of that.

19 Let's see the consequence of that.
20 Well, this is the consequence right here, so this
21 is a cross-section from the data. This would be the
22 top surface here of the cylinder, these are the

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 grooves, and you can see, I think what you can see
2 here, this may have been done in the distant past,
3 in fact, because it looks like a piece of wire has
4 been used to try to play this.

5 And I think, Bill, you were saying that
6 piano wire was one of the early stylus that you --
7 steel wire? Yes. And you can see what this is. You
8 see this repeated shape on the bottom of the groove
9 is essentially like a piece of wire that's been cut.
10 And what that's done is, essentially, removed, of
11 course, some of the key content of the material here.

12 But that's one of the great things about
13 doing three-dimensional scanning. If you look at
14 the -- there's a virtual stylus. That's what's been
15 removed. But if you look to the left and right of
16 that, let's just go back, okay, this should be, okay,
17 here you go. So you've got the left-hand side, the
18 right-hand side, stylus in the middle, but you've
19 got this whole region to the left and right of the
20 virtual stylus, which is essentially where the sound
21 would have been carried.

22 And because this is all in the digital

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 domain, we scanned the surface, we can then,
2 actually, decide where we run the tracking for this
3 to get the sound from the groove. It was quite
4 damage, so we're not going to hear anything, but
5 I'll play you something and you can judge. So this
6 is pretty much what we saw this morning as well.
7 If you want a binary indication of the surface, so
8 the red is the left side, the right is the blue side,
9 and there's the green, is the track of the stylus,
10 and then all we're going to do is we're going to
11 move that to left and just track to the left.

12 Okay. So let's listen to something.
13 I'll actually go straight to the end. I won't go
14 into the complexities of this, but I've kind of kept
15 this secret. I've played it a few times in the U.K.
16 at sound archive conferences, but we've never
17 released this, and it's certainly never been played
18 outside of the U.K. Listen to it first and then
19 we'll go to the words.

20 Okay. So what we've tried to do here is
21 just interpret what we think is being said. So
22 greetings is quite clearly stated. We can hear a

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 spoken word, but we can't hear what's being said,
2 "the answer" is very clear, and it's said with a
3 very high-class British accent. "Can be" is also
4 clear.

5 This, we're unsure of, "Lord
6 Granville", I think, was the prime minister. I have
7 to go look in my history books. "Absolutely", is
8 very clear and "has never forgotten" is also clear.
9 Let's play it again. Okay. There was some
10 uncertainty about who was speaking. And actually,
11 the book that was published on this, left the
12 question open.

13 But then, since the book was published,
14 and I think that should be my next slide, yes, here.
15 This is a letter I wrote to the Royal Archives, this
16 is April of 2007, and I've just highlighted part
17 of this text here, which is the next bit, so the
18 first bit in yellow is referring to Paul Tritton's
19 book.

20 And it's talking about a letter from the
21 Queen's private secretary, Henry Ponsonby's wife,
22 Mary, which came into the archive after the book

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 had been published, and essentially, this is the
2 key bit on the bottom here. "HM", Her Majesty,
3 "spoke into it." So this was on the letter from
4 Henry Ponsonby to his wife. So we know that she
5 spoke into this device.

6 And I think if, I may get the dates wrong,
7 but sometime -- here we are, 29th of August 1888,
8 so we know that she spoke into this. And actually,
9 she said didn't want to -- I think what she said
10 was, she didn't want to -- yes, here we go. "But
11 we told Mr. Morse he must not go around the country
12 producing the Queen's words." It's a very early
13 piece of history on sound recordings.

14 I think actually, the machine was a
15 graphophone. It was one of the artifacts we saw in
16 the museum yesterday at the Smithsonian. It was
17 actually taken to the U.K. from the U.S., and then
18 taken to the Queen so that I think her and her husband
19 were keen advocates of new technology. Okay. So
20 a bit of history.

21 This is another particular piece of
22 history, if you're Welsh, or if you've got Welsh

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 ancestry. I don't know who this person was. I have
2 to go back and remind myself, but this came from
3 the Welsh National Archives. And essentially, this
4 was Evan Roberts, who was a preacher, 1905, but the
5 artifact had been broken.

6 So it wasn't the one that was in the movie
7 that we saw in the beginning, but this is the casing
8 that held the artifacts of the broken cylinder, and
9 this was held in the museum in Cardiff, I guess,
10 in Wales, and it was sent to a dentistry expert in
11 Los Angeles who did restorative dentistry, yes, and
12 this is what came back.

13 This is before we got involved, so this
14 is actually what we were presented with. Again, I
15 can't see this very clear on here, but I know the
16 image pretty well. I think what you can see is areas
17 of filling, essentially, and I think you can see
18 the damage and I think you can see regions of growth,
19 mold growth, around the cylinder.

20 But again, with this type of artifact,
21 we're able to, basically -- ah, here. That's a
22 better picture. Were basically able to, like we saw

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 with the previous presentations this morning, we've
2 got part of the groove structure, and then we can
3 do interpretation, so there's no groove here, but
4 we can then add the time period in as a blank and
5 actually get the sound.

6 And here's a good example. So here you
7 can see, let's get the trajectories, so the blue
8 line would be the conventional line, and then you
9 can see, this is the broken surface here, and then
10 we've just changed the trajectory of the stylus.
11 You can see where these things -- I can't see it
12 very well on here, but I think you can. Hopefully
13 you can see, to the left, you can see the structures
14 basically follow, and the green line becomes the
15 virtual stylus.

16 So this is a great advantage with this
17 technology that you can use by software and
18 techniques, basically, to interpret the data.
19 Let's just play one of these. This is optical. So
20 I think I recognize that. It's a song and I think
21 which used to be like a church song, For Those in
22 Peril on the Sea, so that's recognizable. This is

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 the stylus.

2 So at the time we were doing this
3 project, it was quite frustrating because we
4 developed the technology, but everybody who came
5 to us came to us with an impossible sample to
6 measure, so this was an example of something that
7 was really difficult, like the Queen Victoria
8 recording and this one.

9 And then we were presented tinfoil
10 recordings, came our way. This is the U.K.'s oldest
11 recording. So it's an Edison tinfoil. We were
12 presented with this to see if we could scan this.
13 Because we did that, actually, the sound from this,
14 I think I have it here, but because we've done this
15 piece of work, we were then contacted by the
16 Norwegian Archive. I don't read Norwegian. Can
17 you read it?

18 It's 1879. I can read that. Okay. We
19 have the date up here, so 1879. This was quite
20 interesting. I think I have this one. First, this
21 is the system we used. Sorry. This is the U.K. one.
22 This is the Edison sample from the British Library.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 I think this is on an exhibition at the British
2 Library in London, and actually, the sound from this
3 is also at the British Library.

4 Okay. So you can see how that worked.
5 This piece of equipment is an air bearing. Very
6 expensive piece of equipment, but it's basically
7 used for silicon manufacturing. It gives you a very
8 flat surface, very high speed, and it's that type
9 of technology we were using for doing these
10 surfaces. Oh, this is one I actually was presented
11 with in the U.S.

12 This is from, I'm not sure that we can
13 see it very clearly on here. We didn't do this one
14 because it was so badly damaged. This is held in
15 a museum, I think, in New York, and it's very
16 damaged. I don't know if you've seen this, but they
17 were asking -- you did. Okay. Did you manage to
18 get the sound?

19 DR. HABER: Yes.

20 DR. MCBRIDE: Okay. That's great.
21 Because we looked at and thought, gosh, this looks
22 too bad. So this is the Edison recording, 1877,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 badly folded and ripped. Again, the sound is on the
2 Web site. This is the Norwegian one. So I think
3 it's somebody playing a Norwegian horn. Yes? Is
4 that right? So this was presented at an archive
5 conference in Oslo at the end of this project and
6 I think it's in the science museum in Oslo with the
7 sound.

8 But this particular artifact was in very
9 good condition compared to the British one. Okay.
10 I'm just going to come towards the end here. I'm
11 going to jump the 78 disc and just go on to the
12 Berliner. So the EMI archive is right next to
13 Heathrow Airport in London, and they have a museum,
14 which is not open to the public, and it has some
15 of the most incredible artifacts I think I've ever
16 seen, just around this room, and some of you may
17 have seen it. It's just incredible.

18 Everywhere you look there was some
19 incredible piece of history from sound recording,
20 and it was just in this vault, and they gave me this
21 to play with, which is a Berliner. I think this is
22 one of the earliest examples they had. I'm not

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 quite sure of the history of this. I was looking
2 online just to remind myself where this came from,
3 but this was a metallic master used for printing,
4 probably, on rubber.

5 We actually scanned it, but we never
6 actually got around to finishing this piece of work
7 off, so we have the surface and we just haven't
8 really got around to finishing it, but I just wanted
9 to show that to you as an example. And I'm just going
10 to finish with, I should say thank you, actually,
11 to all of the people who have helped me with this.

12 There were too many people to mention,
13 but one of my PhD students, Anthony, who did his
14 PhD in this, went on to work in the film industry,
15 so he did a PhD with me on early sound recordings
16 in engineering. He now works for a software company
17 in London doing CGI. And he sent this to me, this
18 movie, which I like.

19 Okay. So what he's done is taken raw
20 data from a scanned surface, put it into CGI
21 software, and it recreated the surface. So you
22 could fly through this surface, you could do

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 whatever you want on a movie with this. You could
2 actually follow the grooves or whatever, so he just
3 sent this to me as an example.

4 Just actually, by coincidence, I said,
5 oh, I've got to go present something in the U.S.,
6 and he sent me this. I thought you'd like to see
7 it. I really like it. What it says is something
8 about the future of what we could do with some of
9 this scanned technology. This is maybe trivial,
10 but it could be something that would be good in a
11 museum as a demonstration of what these things are.
12 And this data is raw data. He's just created it as
13 a movie as part of a CGI. I can't remember the name
14 of the company, but it's quite a well-known CGI
15 company in London. He's probably working on the
16 next Batman movie, or James Bond, or something.

17 I think that's it. I hope you've
18 enjoyed it. Thank you very much.

19 MR. ALYEA: So now we have Stig
20 Molneryd. Did I get close?

21 MR. MOLNERYD: Yes. It's nice to be
22 here at the conference. I want to thank the

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 organizer for this conference at the Library of
2 Congress. It has been very interesting days for me
3 and to participate in the conference, and I also
4 made some other visits here in Washington, D.C.
5 I've been up in Boston and Andover seeing the IRENE
6 technical part of it. It was very interesting.

7 I've also been in the National Archive
8 and seen how they have been working there. And
9 yesterday in the Culpeper, it was very interesting
10 to be there also, and heard the discussions about
11 all the preservation problem they have, as we also
12 have in our archive.

13 And there are many things to go on with
14 together, I think, to manage all these problems.
15 And this is the new possibility to make imaging of
16 analog mechanical records and we have just started,
17 just started, we are just finish it in the end of
18 August this year. And I will have a short
19 presentation of how we have worked and what the
20 results so far have been done.

21 Yes. And I'm going to do brief
22 presentations of the innovations and development

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 project started at the National Library of Sweden
2 called KB, it's Kungliga biblioteket. The project
3 is on innovation procurement, PCP, entitled,
4 Development of Contactless Playback of Analog
5 Records to Digital Sound Files.

6 And about myself and my background, I've
7 been working at many different types of media and
8 carriers, film, song, video, and I started in the
9 early '70s. And I have some experience about these
10 fields and preservation of these things.

11 And I've also been interested in
12 technical developments in all different fields, and
13 this project is one of these. And now, yes, here
14 we are. We have, in the archive in KB, and I will
15 show you how it all started. We have a total amount
16 of about 146,000 Swedish records and of these, there
17 are 80,000 78s and 60,000 vinyl records, EP, single,
18 LPs, and so on, and about 6000 lacquer discs.

19 We are doing some cooperation with
20 Swedish radio and they have 285,000 records, and
21 they also have foreign records. And depending on
22 our expansive archive of records, we want to

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 investigate whether it's possible to find ways to
2 transfer these faster than real time and examine
3 the sound quality that can be achieved.

4 It all started when we were contacted
5 with a company that showed a demonstration of
6 optical playback of a 78 record. It was
7 surprisingly good quality. And the technical
8 development for image scanning used by this company
9 was taken directly from the shelf and had not been
10 optimized for the applications we requested.

11 We had discussions with the company and
12 described our needs to be able to transfer the disc
13 faster than real time and at the best possible sound
14 quality. They also indicated that image scanning
15 operations and transfers could be faster than normal
16 playback and the possibilities to edit and optically
17 clean the discs.

18 After that, we were looking for sponsors
19 to investigate if it is possible or the technology
20 could have what we asked for. With this material
21 and proposals for the development project, we ended
22 up finally to the innovation agency in Sweden,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 VINNOVA, and we made the application with a project
2 description of VINNOVA, and got it granted as
3 innovation procurement, PCP.

4 We had no previous experience in
5 innovation procurement, and it has been very
6 interesting to run the project with the development
7 process that applies to this type of pre-commercial
8 procurement. During the whole project, there had
9 been a form of cooperation and dialog, but also
10 involved clear boundary issues of secrecy for the
11 companies.

12 These connections have created a good
13 and challenging development and exchange of
14 information. And when we started and we got this
15 information, you can see the picture. It was this
16 type of just turntable and I have the lights, and
17 the camera, perhaps I have it better on the other
18 pictures. It's very dark here.

19 And it listened like this. And with
20 this, we went on and we will make a short description
21 how the PCP project have been working on and the
22 result we want to achieve that we were running at

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 KB. It's an innovation project with funding from
2 VINNOVA and also from KB that started the 1st of
3 January 2014, and will be ended in the last August
4 this year.

5 VINNOVA is a governmental institution
6 in Sweden that provides financial resources and
7 opportunities for companies and governmental
8 institutions to apply for funds with development
9 of various innovations and investigation project.
10 And I can say today, in EU, it's focused on
11 innovation development, and has dedicated enormous
12 financial resources that can be applied for
13 development and innovation proposals today.

14 It's a special program they have
15 announced. We run the development project
16 ourselves at KB, but have, in our reference, an
17 expert group participants from the development
18 department at the Swedish radio and also external
19 experts in optical scanning and image recognition.

20 It is an innovation project, which means
21 that the result will be presented as a description
22 of how far the technology has come today and can

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 achieve with picture scanning and with development
2 in image processing. The project will demonstrate
3 the results and the possibilities that exist to get
4 audio files from analog records.

5 Our goal is to get results which show
6 faster than real time with best possible sound
7 quality. And so we started this procurement and we
8 received a total of eight proposals from different
9 companies. They had new, earlier professional
10 experience in audio recordings and were more
11 oriented in the industry in picture recognitions.

12 In Sweden, typically, an example of
13 sorting and selecting knot-free woods, planks,
14 metal industry, productions, detections, robotics
15 selections, failure in productions, and so on. And
16 we selected all these five companies with innovation
17 solutions that processed to phase 1.

18 In the continuing work in phase 1
19 includes producing a report on a preliminary study
20 and detailed description of its prototype
21 solutions. After examination of these phase 1
22 reports and the associated round of interviews by

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 these companies, we made a second new selection and
2 we selected three companies, which now are
3 participating in phase 2 of development and
4 production of prototypes.

5 They have to make and produce audio files
6 faster than real time from 78s and vinyl records.
7 In phase 2, includes a number of goals that should
8 be reported and be checked before the final report.
9 And we have to note something about the result and
10 we have give them these two records, test records
11 and reference records, and it's important that we
12 have good reference and test records to compare with
13 normal turntable playing or with ELP laser
14 turntable.

15 They had got these records to work with
16 and compare the bandwidth and other parameters.
17 It's the way for us to just compare the result we
18 get from these companies. The three companies now
19 participating in phase 2 have different proposed
20 solutions and prototypes that make it very
21 interesting to see how the results may differ.

22 They're working with 2-D and 3-D

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 scanning methods, laser or LED lights, and other
2 tools that they have in their prospect. And so far,
3 I'm not an expert in picture recognition or image
4 scanning, and so on, and they have to proof and show
5 the result for us. And we can only see the potential
6 for the results when they have delivered the final
7 report.

8 And I have understand so far it's much
9 about the lighting, high light intensity and the
10 brightness, and the problem with unfocus and blur,
11 and also, the pixel size in the cameras, and so on,
12 have limitations for this work with imaging. And
13 one company have used laser and there was a problem
14 with speckles, that I have understand it's a
15 problem, also, about the quality.

16 And we go on and there are three
17 companies, and the first one here is MBV Systems
18 AB in Sweden, and they use a 3-D laser triangulation,
19 and they use also, what they call Scheimpflug
20 techniques, and it's for 78 records. And if you
21 have questions about what the technique is, I can't
22 answer it. You'll have to contact this company.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 Perhaps in the end of this project, I
2 can more about how the results will be describe and
3 so on, but this is the hardware, you can see here,
4 and they have a laser and they have done these
5 things. And I must also say that these sound
6 recordings and so on I got is from some months ago,
7 and I can say that it's a rather short barrier for
8 them to manage and give us. It's almost half a year.

9 And I think they were very glad when they
10 -- and they have built-up this hardware and get the
11 sound from it, and you may listen to what it looks
12 like and hear. This is from the MBV Systems. Very
13 short, but you hear something. And the next one,
14 the second, is InVite Vision AB, they use 2-D, and
15 they have mostly worked with LPs, vinyl discs, so
16 far, and this is the hardware, you can see.

17 They also have -- I'll go on here.
18 InVite Vision is another company working with
19 through the years, and have focused on primarily
20 vinyl records. Pictures of the whole equipment
21 with label camera. This transmitter will display
22 LED light camera and servo motor adjusting the

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 distance. The disc is moving with an air bearing,
2 compressed air, and rotate. About 20 grooves in the
3 detection picture.

4 I forgot to say something about this I
5 have right down here. They are working with the 78
6 records and their plan is to make it ten times faster
7 than real time and catch 20 to 100 grooves in a
8 picture. That was what they have told me so far.
9 And third one then is -- we can listen to the InVite
10 Vision also.

11 This was vinyl disc. And I think they
12 have the same recordings. And this, what we were
13 listening to, was in a vinyl recording; vinyl disc.
14 And then third one then is Joroma AB, and they called
15 this system Optofon. Joroma is another of the
16 companies working with 2-D. Reported so far about
17 hardware competence and improved algorithm for
18 pictures of the grooves, et cetera.

19 They are building the prototypes, and
20 are doing tests, and are planning to do tests with
21 broken and cracked discs. The plan is to make 20
22 times faster than real time and to catch more than

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 40 grooves in a picture. Joroma AB is a Swedish
2 company with experience in developing automatic
3 inspection system for industrial applications.
4 Theoretically, able to capture all grooves in two
5 revolutions.

6 New processing tools can be reapplied
7 on already scanned records. Individual grooves are
8 extracted and tracked, as you can see in the picture,
9 and the image processing, sound is extracted using
10 machine learning, I'm not sure about it,
11 probabilistic methods to avoiding defects in
12 grooves. It's only something I'm not common with.

13 And then sound processing, sound can be
14 post-processed used in signal processing. Raw data
15 is always preserved; untouched. Can be useful to
16 apply noise filtering. And they can be contacted.
17 The man who has been working with this is Josef Grahn
18 in Sweden in this company. And I have no audio to
19 replay from them so far.

20 And the next one is then to talk about
21 is the, as we call, same question, different
22 solutions technical limitations. The companies

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 shall submit the final report on the 24th of August
2 with presentations on results achieved and suggest
3 future technical solutions that they have
4 developed.

5 After the project has been finished, we
6 are going to evaluate the final result to see if
7 and how we will proceed in our continuing work with
8 optical playback and digitalizations. Depending
9 on the results achieved of sound quality and how
10 quickly and efficiently they may transfer the
11 records, we evaluate how realistic the applications
12 and automatically those digitalizations could be
13 designed.

14 It should be clear from the companies'
15 final reports that their optical playbacks and
16 technical solutions have the potential to achieve
17 the quality we demand. There can be results that
18 we get from the optical playback of 78s that will
19 achieve the quality we demand, but not for
20 transferring the disc and so on.

21 If the results are not going to be what
22 we want and be good enough, should the reasons be

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 described what it depends on. It may be that new
2 technical possibilities of optical scanning
3 technology will be further developed in the near
4 future and provide new solutions. It can then,
5 perhaps, create opportunities for optical
6 transmissions and image processing, and provide the
7 results we are demanding of full quality during
8 playbacks, even faster than real time.

9 And this is what we hope and perhaps we,
10 in the future, have some robot to make this to work.
11 And we are planning to work, depending on the results
12 obtained and the potential that may exist, we plan
13 to organize a workshop during the late autumn this
14 year, where presentations of the results that emerge
15 from the participant companies.

16 And all these information we have heard
17 here from IRENE project and so on, we will take with
18 us and add to all the result we get from our project,
19 and see what we can do with all these results for
20 the future, and we never know. We hope it will be
21 what we want.

22 And I'm eager to -- I don't know the

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 results from the companies. I'm eager to see what
2 they can present in the end of August this year,
3 and see what we can go on. And that's what we are
4 working with and so thank you very much.

5 MR. ALYEA: Now we have Carl Haber
6 again.

7 DR. HABER: Okay. I said good morning,
8 now I say good afternoon. So this presentation will
9 focus more specifically on the IRENE technology,
10 which is a high resolution direct imaging, meaning,
11 we image, directly, the media. So IRENE is a set
12 of tools, it's not one thing, for non-invasive
13 preservation, restoration, and transfer of
14 mechanical sound carriers.

15 It employs direct imaging of the surface
16 in both two dimensions and three dimensions. It
17 consists of hardware, which you can call the
18 scanner, and a variety of software. There's
19 software that controls the collection of data and
20 the control of the scanner itself. And then there's
21 software that is used to analyze the data.

22 There are five installations of this

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 equipment in one form or another, in Berkeley, there
2 are two at the Library of Congress, there's a system
3 at the Northeast Document Conservation Center, and
4 at the Roja Muthiah Research Library in Chennai,
5 India.

6 The IRENE project is a collaboration
7 between Lawrence Berkeley Lab, the Library of
8 Congress, University of Applied Sciences in
9 Fribourg, Professor Johnsen and the Smithsonian,
10 and something like 40 students have participated
11 in aspects of this work, and then all the different
12 agencies and mostly public, and some foundations,
13 that have supported the project are indicated here
14 as well.

15 So this is a picture of the IRENE system,
16 which is actually at NEDCC now. So it's kind of what
17 you would expect. You've seen similar pictures in
18 the other presentations. There's a vibration
19 stabilization table, which is on air, there's,
20 essentially, an arch that contains both the confocal
21 probe for doing the 3-D imaging, and a camera for
22 doing micro-photography for the 2-D imaging,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 there's a turntable that holds disc media, there's
2 a mandrel that holds cylindrical media, both of
3 these probes can be moved around under the control
4 of a computer.

5 There's some lasers that are used to
6 control focus and there's other stages that are used
7 to move the cameras and the probes up and down. This
8 is a picture that's supposed to evoke the
9 three-dimensional data where you get the full
10 topology of the surface and this is a picture that
11 should invoke the two-dimensional imaging where
12 you're just looking at the light that's directly
13 reflected back at 90 degrees.

14 There's various control computers and
15 so forth, illuminators, that are part of the
16 infrastructure. So this system is quite general
17 and it images a whole variety of media and the
18 characteristics of that media affect the quality
19 of the sound that you get. And there's no one
20 number, there's no one result, that describes how
21 this system works because there's so many different
22 variations that occur.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 Just to group a couple of prime things
2 here to point out some of the differences that you
3 have heard this morning and you'll also hear when
4 I play a few samples later. So lacquer discs have
5 a very, very fine-grained material that makes up
6 the surface, and when you image them, so this is
7 a blown-up region of the groove, the appearance is
8 very smooth.

9 Shellac is made of, you know, crushed
10 beetles, and a bunch of other things, it's a highly
11 composite material which has a lot of texture, and
12 particularly, as it gets worn down, different
13 elements of the matrix wear at a different rate.
14 So you have, instead, when you image shellac
15 material, you see already a much more irregular
16 structure.

17 And when this gets turned into audio,
18 there's going to be more hiss, the broadband noise
19 that you heard some examples of earlier, in shellac
20 materials than lacquer materials. And it goes back
21 to, essentially, the quality of the material and
22 how that represents itself in an image.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 When you're in the 3-D domain, now, this
2 is not a photograph anymore, I remind you from what
3 we saw this morning, it's a depth image, so dark
4 is deep. This is a curve that shows how the surface
5 is modulating. Here you've got many, many points
6 that describe this surface. It's not simply an edge
7 transition anymore, and so you're able to do a lot
8 of averaging when you have that much data, and that,
9 itself, has an effect on the noise.

10 And you heard some examples of cylinder
11 data this morning when we looked at the Rakoczy March
12 in the context of talking about frequency. This is
13 another type of three-dimensional imaging. This is
14 an aluminum transcription disc, so it's a groove
15 that's actually a lateral groove, but it's embossed.
16 So you have soft aluminum and the cutting tool
17 doesn't remove material, it just moves it.

18 And so what the groove looks like is,
19 it's a depression, like a groove, but it's always
20 got these horns on it. Okay. The horns are the
21 material that's been pushed up out of the aluminum
22 and now sit up on the side. If you shovel snow, you

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 know, it's the stuff that you pile up along the
2 sides.

3 So the audio is stored down in here where
4 you have many points that you can use to characterize
5 the groove and then that has an effect on the sound.
6 There's a lot of software that's come about, both
7 control, data acquisition, and analysis. So just
8 to give you an example of what the operator might
9 encounter, this is the analysis software that's used
10 to process 3-D data, so it's one of the software
11 tools.

12 So what you've got here is a panel with
13 a bunch of controls, and this is a summary of the
14 data that was taken from a cylinder, so imagine the
15 cylinder, you slice it and you unroll it, a cylinder
16 becomes a rectangle when you do that, so these
17 stripes indicate the groove and the top of here would
18 go down, and then it would join again, so this is
19 a mapping of a cylinder to a rectangle.

20 If you zoom in, and you can see this,
21 and you can see a lot more detail of the surface,
22 and you can see all this mold damage. I had talked

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 about that this morning when we looked at the Ishi
2 cylinder, and so this gives the operator a much,
3 kind of, more detailed understanding of what's going
4 on.

5 And here, along this line, you see the
6 actual up and down profile that, roughly speaking,
7 tells you where that stylus would be moving and how
8 it would be moving. So there's many, many options
9 that this gives you and I realize that somebody this
10 morning was saying, there are too many options. And
11 in fact, that is an issue, and what you would imagine
12 in some context is that this thing converges on a
13 much simpler set of presets, and controls, and even
14 becomes an application that can be distributed and
15 used along with the image data that, clearly, people
16 said that they would like to have.

17 This is another view of another aspect
18 of the software. This is a chart which explains all
19 the software tools that were created with the design
20 and specifications of the Library of Congress to
21 enable them to operate the IRENE machine that some
22 of you saw when you went down to Culpeper, in a

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 production workflow sort of environment.

2 So the red flowchart is sort of what the
3 operator would be doing when they're scanning a
4 disc, and the grey stuff here is the analysis of
5 the data that's being created that's happening
6 automatically in the background. So here's some
7 database and the operator would enter some
8 information, and some information would then be
9 pulled in from a database through this front panel,
10 and then there's some automatic, kind of,
11 calibration procedures that go on that quickly
12 figure out where the disc begins and ends, and
13 exactly where to focus to start off the acquisition.

14 IRENE, as I said, uses direct imaging
15 and so focus control and depth of field are technical
16 specifications that are much more detailed and
17 important to the way it functions. And when you
18 have a lot of depth of field as you might have, for
19 example, in visual audio. So it automatically
20 finds the record, this is sort of the beginning and
21 the end, then it figures out what the focus is, and
22 then it, basically, gives the operator an image

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 quality feedback, and then the person executes the
2 scan, the data gets written into a file, and there's
3 a program that's sitting there looking for new data.

4 When the new data comes, it analyzes it
5 by pulling in all the images, edge detecting,
6 tracking the position of the groove, cutting out
7 the noise, if necessary, and creating an audio file.

8 So this is, I guess, with some, probably,
9 improvements, because this is an older slide,
10 similar to what NEDCC is using. This kind of tool
11 set. Okay. So let's listen to an example. So this
12 is a laterally cut shellac disc, circa 1930, which
13 was in pretty good -- no, I would say moderate
14 condition, so it's imaged using the two-dimensional
15 aspect of IRENE.

16 So you're basically ending up with
17 pictures like this and those pictures have high
18 contrast. They're kind of like the pictures you saw
19 in VisualAudio, but they're directly imaged.
20 There's no film, intermediate film, step, and edge
21 detection is applied, in this case, just to the
22 bottom of the groove, not to the top of the groove,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 which we view as more worn and potentially more of
2 a source of noise.

3 So if you play this record with a stylus,
4 it sounds like this. So I don't know if you can
5 notice with the sound system, but there's,
6 obviously, the audio and then there's some crackle,
7 which is kind of a, not a broadband hiss, but a kind
8 of campfire kind of sound, with a lot of repetitive
9 small impulses.

10 The frequency spectrum that is
11 represented by the playback here is the light blue.
12 And you can see that the audio is kind of dying out
13 somewhere between, I don't know, 6 or 7 kilohertz,
14 and then what's left is just a noise, a higher
15 frequency noise, represented here. Now we're going
16 to listen to the IRENE version of the same disc and
17 what you're going to -- I believe what you're going
18 to hear, if this would stay on the page, is obviously
19 similar audio, less crackle, more hiss.

20 And that, I believe, you heard the hiss,
21 right? So the additional hiss that you're hearing
22 is represented in the difference, essentially, but

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 qualitatively, between the high frequency tail
2 here, which is also hidden under here, obviously,
3 and the lower noise of the shellac stylus version.
4 And, you know, that maps back to this picture that
5 I told you, that you've got this texture, and that
6 texture is in the material.

7 So let me talk a little bit about the
8 technical aspects. So sound carriers, discs,
9 cylinders, they're never really flat and they're
10 never really round, and in some cases, they're way,
11 way off that. And so this is this notion of warpage
12 and depth of field. The 2-D and 3-D systems that
13 directly image, they have limits, just like cameras
14 do, to the effective depth of field that they can
15 achieve.

16 For 2-D, we're limited to about 30
17 microns, so it's a really, really small depth of
18 field, but having that small depth of field gets
19 us these very high resolution images. The 3-D
20 systems are limited to about 200 to 300 microns of
21 depth of field. That number is typically smaller
22 than the out of roundness and out of flatness that

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 you often encounter in these things; even that
2 number.

3 So both of these situations lead us to
4 have active focus control systems. That's the way
5 we deal with depth of field. We follow the surface
6 as best we can using an additional measurement tool,
7 let's just say, for example, a laser.
8 Additionally, the probes that we use have sub-micron
9 resolution, particularly the 3-D probe, this
10 requires a very, very stable mechanics and really
11 good vibration isolation, because it's not hard to
12 get two things to move apart at the 100 nanometer
13 level.

14 The images that we take are built up by
15 a scanning and/or stitching method, and so when you
16 do that, you have to have really good control of
17 the data collection and the systematic so that
18 everything stays in phase and things don't slip with
19 respect to each other.

20 And in 2-D imaging, the illuminators
21 that we use, they need to be DC, and I mean really
22 DC, okay, because if they're flashing or flickering

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 at 30 hertz, or something, that shows up as striping
2 in the images that gets misinterpreted by the edge
3 detection as small shifts and that shows up as
4 spurious tones.

5 So those are the kind of issues that you
6 really actually spend a lot of time dealing with
7 once you put the thing together. So, you know, I
8 can re-express these things as challenges. The
9 intrinsic characteristics of mechanical sound
10 carriers, so old, yet so precise, and one of the
11 other speakers alluded to that, they really drive
12 these technical requirements.

13 And failure to control the technical
14 aspects can lead to artifacts in the data which are
15 really easy to see, and, you know, we've encountered
16 these things and we've learned how to deal with them.
17 Vibration, AC components in the illuminator lead
18 to spurious auto tones, poor focus control leads
19 to crosstalk, noise, and distortion, scanning phase
20 shifts lead to misaligned data sets, and none of
21 these issues are fundamental in that they're
22 impossible to solve, but they have to be handled.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 And dealing with them and learning how,
2 and they reappear when we build new systems, and
3 they have to be worked out. That's an important
4 part of the R&D and you shed some blood and sweat
5 to deal with those. Okay. So the IRENE hardware
6 and/or software has processed, already, a variety
7 of historic materials, a number of pre, say, 1890s
8 audio milestones, personal novelty recordings from
9 World War II, things that soldiers sent back,
10 aluminum and acetate transcription discs from the
11 '30s and '40s, wax field and dictation recordings
12 from the late 19th and early 20th century, the Edison
13 talking dolls that the New York Times seems to be
14 so in love with, Berliners, items from the
15 Smithsonian Institution Volta Laboratory
16 experimental collection, Edison tinfoils, the
17 Schenectady foil that John McBride showed was
18 eventually scanned, and finally, the paper
19 phonautogram.

20 So I'm kind of going back in time. The
21 present efforts and the projects as we kind of go
22 forward include, obviously, an ongoing partnership

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 with the Library of Congress, tools to process large
2 collections, workflow, and diverse materials,
3 along the lines of some of the work that I already
4 showed, but obviously doing better at that.

5 Making the hardware faster and robust,
6 and similarly, the software. We have not targeted
7 faster than real time, but obviously, we want things
8 to go faster. A lot of work on at-risk items,
9 broken, and delicate materials, and how to handle
10 them. There's an installation, recently, at the
11 Northeast Document Conservation Center, these guys
12 are going to tell you all about that, and then pilot
13 projects, and even major initiatives to digitize
14 important collections and develop best practices
15 and specifications, and I'm going to tell you about
16 a few of those.

17 So we've worked, our group, the Library,
18 and the Smithsonian focused together on the Volta
19 Lab collection. Some of you had the opportunity to
20 go for the tour. If you haven't, I encourage you
21 to go over the National Museum of American History
22 and listen to -- or go and see Hear My Voice,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 Alexander Graham Bell and the development of sound
2 recording.

3 In the 1880s, Bell establishes the Volta
4 Lab here in Washington, and an instrument builder
5 named Charles Sumner Tainter, to conduct research.
6 They experiment with an astounding variety of
7 materials and formats, and produce numerous patents
8 before settling on the wax cylinder, which becomes
9 so important. Victoria's voice gets recorded on a
10 wax cylinder.

11 Right now, I think the collection is
12 something like 400 experimental recordings and in
13 the recent years, we had the chance to scan and
14 process just a dozen of them, and that's what focused
15 on the exhibit there. And I think it's been an ideal
16 application for IRENE and a significant future
17 opportunity to go and actually try to do this entire
18 collection if we could ever organize that.

19 Carlene and Shari are the SI curators,
20 staff folks that, I think they're going to talk to
21 you later. I think tomorrow. I'm going to play you
22 one record from that collection, because it's my

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 favorite, and it's kind of the most unusual one that
2 I've come across. One of the things that Bell did
3 was, and this is a little off-topic, because we're
4 talking about grooved carriers, was actually an
5 optical recording.

6 He took a glass plate, coated it with
7 emulsion, and they brought light in the mirror
8 through a lens, and down this tube, and they exposed
9 a light path, a light track, a spiral track, on this
10 photodisc. And they modulated the light by
11 spraying a jet of ink, or dye, and then causing that
12 to move in sympathy with the sound, which varied
13 the intensity of the light, which varied the
14 exposure, and they wrote this exposure track.

15 So it starts in the middle and it spirals
16 until it gets to this blotch here, which is an
17 overexposed moment where, clearly, things stopped
18 turning and the light just burned into the surface.
19 And, you know, they're doing the usual nursery rhyme
20 thing, and suddenly, something goes wrong, and they
21 say something spontaneous, which I believe is a
22 really bad word. Some people say it's not such a

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 bad word, but I just think it's really
2 inappropriate, so I'm going to play it.

3 Okay. Only in Washington. Okay. And
4 then of course, there's a gap and they pick right
5 back up again. And that high pitched thing was a
6 trilled R, which was then chirped because they were
7 speeding up. Anyway, go over to the exhibit and I
8 think it's a great exhibit. Okay.

9 So a major new initiative for the project
10 is happening now at the University of California
11 at Berkeley, and it's called Linguistic and
12 Ethnographic Sound Recordings from Early
13 20-Century California, Optical Scanning,
14 Digitization, and Access, and it's a collaboration
15 of the Department of Linguistics, the Phoebe Hearst
16 Museum of Anthropology, University of California
17 libraries, people from the Berkeley Physics
18 Department, and the Lawrence Berkeley National Lab.

19 And it's a three-year project to scan
20 a collection at the university of 2700 wax cylinders
21 from 1900 to 1938, which were collected by Professor
22 Alfred Kroeber and T.T. Waterman, and document

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 California Native American language, culture,
2 song, and story.

3 The project is supported by the National
4 Science Foundation and the National Endowment for
5 the Humanities through a program called Documenting
6 Endangered Languages. It's something that we're
7 really excited about because it's going to be this
8 very focused concerted effort that's going to run,
9 basically, 24/7 for three years, just scanning
10 cylinders.

11 And some of the technical innovations
12 that we're trying to feature is part of this is a
13 more efficient scanning method, so the mandrel
14 becomes longer and we plan to stack multiple
15 cylinders, like a shish kebab kind of arrangement,
16 and then have a control system that can, overnight,
17 work its way through, you know, the entire skewer.

18 Also, there's fixturing to hold
19 individually broken off pieces and scan them. So
20 I think this is going to be a really great project.
21 Another project we're doing with Harvard is looking
22 at aluminum discs. They were prominent in the

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 mid-'20s to '30s as an improved material, relative
2 to wax, for field recording, however, they have a
3 very shallow and irregularly embossed groove.

4 I talked about aluminum grooves a moment
5 ago. The groove is only 5 to 10 microns deep. The
6 groove on a regular commercial shellacked disc is
7 like 75 microns deep, so these are tiny grooves.
8 These are electrically recorded, so they're
9 typically quite loud and there's a problem to keep
10 that needle in that groove and not fly off. So the
11 tendency is to want to weight it down, but the
12 aluminum is really soft.

13 So you're in a spot there which is not
14 comfortable, and so optical scanning is potentially
15 a good choice for this. And Harvard, through IMLS,
16 has a grant for a feasibility study that we're doing
17 with them which could eventually lead to a future
18 initiative. This is an amazing collection from the
19 historical point of view. It's called the Milman
20 Perry Collection of Oral Literature.

21 So Milman Perry was a classicist who went
22 to what was called the South Slavic Kingdoms at that

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 point with this aluminum recording machine,
2 recorded people like this individual, who were
3 reciting poetry and stories that went on for a long
4 time, that they learned through an oral tradition.

5 He analyzed the structure and the
6 superstructure behind this that created the ability
7 for these guys to remember these things, and that
8 analysis of oral song led to the view that the epic
9 poems of Homer and others were also orally
10 transmitted because they featured the same
11 structures.

12 And so this is like empirical data driven
13 humanities work done in the 1930s looking at a living
14 laboratory and referencing it back to these
15 incredibly important historic -- I mean, the
16 beginning of Western literature. So there's Perry
17 aluminum disc and obviously, this is to evoke, I
18 guess, it's the slaughter that occurred when
19 Odysseus got back home and found out what the hell
20 was going on.

21 And this is what one of these aluminum
22 discs actually sounds like. They're striking when

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 they're optically scanned. So I mean, they're
2 pretty good; the quality of those recordings. Yes,
3 and here's actually a display off the software
4 package of what the aluminum data sets look like,
5 and actually, we saw that.

6 It's a lateral groove and you saw the
7 zoomed in. Interestingly, on this one, there was
8 a little burst of noise, kind of, right in the middle
9 of the playback, and we traced it down, and you can
10 see that the groove is actually deformed in this
11 one region and it corresponds to just this little
12 patch in the material.

13 So lacquer transcription discs, my
14 colleagues spoke about them earlier, they're a very,
15 very important region, area, for optical scanning
16 as well. There are significant collections of
17 radial transcription discs here at the Library, and
18 of course, elsewhere. This type of disc has a
19 number of chemical and mechanical pathologies
20 associated with it.

21 Typically, we see pretty good
22 performance when you scan these optically relative

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 to a stylus transfer. And a year or two back, 600
2 sides of these discs were done here at the Library
3 as a pilot study using the World War II Armed Forces
4 Radio Collection. So I'm going to first compare a
5 stylus to an IRENE transfer on a lacquer disc from
6 1950. It's a radio show and then I'm going to play
7 one of the armed forces optical transfer. So here's
8 the stylus version.

9 I'm dying to know why not. Okay. And
10 so here's the IRENE version. We still don't know.
11 I think you could hear that the hiss -- what? Five
12 minutes. Yes, no problem. It wasn't a question.
13 It was an order. Okay. I think you can hear that
14 the hiss is quite less as compared to what we heard
15 when we listened to a shellac, so I want to remind
16 you of the media comparison picture and the relative
17 smoothness of those materials.

18 Okay. So here's the armed forces radio
19 broadcasts. I only have the IRENE transfer for this
20 one. So one area that is really important for
21 lacquer and other materials are the broken discs
22 and how do you deal with them? So the point was made

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 that once they break, they're not in a plane anymore,
2 much even worse than sometimes you have just with
3 warpage, and for a direct imaging system, which has
4 such a narrow depth of field, 30 microns, it's an
5 issue; how do you image something that has so much,
6 now, vertical differencing?

7 So here's a picture taken with the IRENE
8 scanner of this disc, which is just broken into four
9 pieces. Even if you break it, because the stresses
10 change in broken things, the surfaces just move even
11 a little bit, and with 30 microns depth of field,
12 once you cross the crack into the next region, you're
13 not in focus anymore.

14 And it's very hard for focus control to
15 follow so quickly across such a sharp transition.
16 So one approach that we've taken to this is to
17 develop a special version of the scanning software
18 that actually scans the disc in both directions.
19 And can accommodate the crack in this way, getting
20 one side in focus in one acquisition, and the other
21 side in focus in another acquisition.

22 So with that data, we can actually tell

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 the control system how to end up in focus when you're
2 here, and how to end up in focus when you're here.
3 And now we have two images, and we have to merge
4 them, so a process was developed, essentially,
5 pixel-by-pixel to decide what is in focus and what
6 isn't, and synthetically create a super focused
7 image by merging these different images that
8 complement each other.

9 Now, that works in a case like this where
10 you're only broken into a couple of pieces, but when
11 the number of pieces encroaches infinity, which is
12 what often happens on lacquer discs, this doesn't
13 work. You just cannot get things moving around fast
14 enough.

15 So another approach has been under
16 development, and one of our students is writing his
17 thesis on this, is to take, actually, a stack of
18 images so that, in some image, everything is in
19 focus, you know, if you combine them, and then to
20 use a statistical method to merge, again,
21 pixel-by-pixel, to get a super focused image from
22 this big stack.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 So both of these use, kind of, some
2 related concepts, but we view them as good
3 strategies that I think are going to work out for
4 bringing broken discs together again. See, I
5 didn't put the bad part in. Okay. So the last point
6 I want to make is about the fundamental technical
7 performance of 2-D and 3-D sensors as used for direct
8 imaging.

9 Sensors have spatial resolution which
10 is well-matched to the kind of sub-micron size of
11 groove modulations, and John talked about this, and
12 Ottar talked about this, you know, the scale of these
13 things. These sensors easily sample to in excess
14 of 100 kilohertz if required, but the scan speed
15 is proportional to sampling.

16 So if you want to sample at 96 kilohertz,
17 it takes twice as long as sampling at 48 kilohertz.
18 That's the way it works. The groove profile, okay,
19 the amplitude, is measured on many points and the
20 position is averaged. In 2-D, you measure a couple
21 of edge transitions, but in 3-D, you measure a dozen,
22 two dozen, 30 points across a groove and you can

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 combine those.

2 So on a per-point basis, one of these
3 3-D probes, for example, confocal probe, it can
4 measure the surface with a resolution, if you like,
5 of something like 12 or 13 bits, just in terms of
6 the measurement of the surface, but the measurement
7 of the surface is not the audio. It's just the
8 measurement of the surface.

9 The audio results from averaging many
10 points and then differentiating the result, because
11 the audio is not the surface of the record, it's
12 the speed over which a stylus will move. So simply
13 asking how many bits of surface height I have is
14 not the same as what the audio is. It's, in fact,
15 difficult to uniquely specify an audio bit depth
16 in the same way that people do when they talk about
17 a simple voltage converter of this synthetic result.

18 The real question, and I believe the
19 focus should be on this question, is whether the
20 measurement at the end is well-matched to the
21 effective bit depth of the data itself. How much
22 information is actually in this audio that we're

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 recovering? When we're talking about historical
2 and old materials, they're noise dominated.

3 There's a limit to the amount of
4 information that's present in the vicinity of so
5 much random noise. So what does it mean when we say
6 that we're digitizing an audio recording to a
7 certain bit depth? Regardless of the bit depth of
8 the ADC, 24 bits, 16 bits, the effective bit depth,
9 the real bit depth, is always limited by the
10 intrinsic noise of the recording relative to the
11 size of the signal.

12 So we studied, for example, recent
13 stylus transfers that were done from field recorded
14 wax cylinders like the Jesse Fuchs collection.
15 When we compared the signal and the noise, we found
16 that the available bit depth in a stylus transfer,
17 even if it was digitized with a 24-bit ADC, is only
18 either 7 or as little as 4 bits when you compare
19 the noise level in those recordings to either the
20 maximum or the average signal.

21 So you can use a 100-bit ADC, it doesn't
22 exist, but when you have random noise present and

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 a small signal, there's a limit to the amount of
2 information that's actually there. And also, when
3 you talk about bit depth, it also depends upon the
4 frequency, and the distribution, and the bandwidth
5 over which you make the measurement.

6 The IRENE optical scans in 2-D and 3-D
7 are more than sufficient to measure these
8 signal-to-noise levels as well as even lower noise
9 levels on newer cylinders, and are, in fact, flat
10 to 100 kilohertz if required, which I argued in this
11 morning's presentation. The thing to keep in mind
12 is that any measured data set has an effective number
13 of bits, and that effective number of bits is limited
14 by the relationship between the signal and the
15 noise.

16 No matter how many bits your ADC has,
17 the effective number of bits is limited by the random
18 noise in the data. Okay. Finally, I want to talk
19 a little bit about education and training. I
20 mentioned that this morning. We have had a very
21 significant student participation in this project.
22 There have been about 20 University of California

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 undergrads and about 20 thesis students from the
2 University of Applied Sciences in Fribourg and also
3 from Sohar and Zurich.

4 They have been in fields like electrical
5 engineering, mechanical engineering, physics,
6 anthropology, they've worked on measurements, on
7 data analysis, on co-development algorithms, and
8 it's been a great opportunity to expose students
9 in engineering and physical sciences to problems
10 in preservation and conservation.

11 Olivia Dill, she just graduated from Cal
12 as physics and art history double major, and she
13 received an undergraduate research prize that's
14 supporting her to work on this big cylinder transfer
15 project over this next year. So I don't know, I'm
16 hoping that maybe she's going to go on to do
17 preservation science with this great art history
18 and physics background, but we'll see where it takes
19 her. She's brilliant.

20 So to conclude, I hope that in the
21 greater context, and also specifically, with IRENE,
22 I've given you the idea that digital technology of

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 today provides a window in this great period of sound
2 recording that we've been focusing on.

3 So Bill Veillette from NEDCC, he told
4 me, your ultimate audience is posterity. I don't
5 know if he tells everybody that, but I like that,
6 so I keep it in mind top. irene.ibl.gov is the
7 project Web site and there's some --
8 phoebeahearstmuseumofanthropology.html has a more
9 focused discussion of the importance of having a
10 high resolution flat frequency distribution for
11 capturing vertical media, so I draw you to that if
12 you're interested. Thank you.

13 So Peter's running away. So we're going
14 to do questions, right, for all of us? Okay. So
15 I can sit down.

16 MR. JACOBSON: Question for Carl. This
17 is Martin Jacobson from the U.S. National Archives.
18 You may have said it, I may have missed it, but I'm
19 assuming that the IRENE system uses a laser light
20 which is close to a sine wave, is that right or are
21 you using a frequency spectrum?

22 DR. HABER: I'm not sure what you mean

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 by a --

2 MR. JACOBSON: What is the wavelength of
3 the light that you're using for reading the
4 information off the disc?

5 DR. HABER: For the 2-D or the 3-D?

6 MR. JACOBSON: Either one.

7 DR. HABER: Okay. Basically, we're
8 talking about white light. In the case of the 3-D
9 sensor, the light source is a xenon arc lamp, which
10 has a fairly broad frequency spectrum, and because
11 the 3-D sensor uses this color-based confocal
12 imaging, in fact, that's what you want. You want
13 a lot of color so you can disperse them and then
14 use them individually to find the light.

15 For the 2-D imaging, we've used a variety
16 of white light sources also, some LED-based, some
17 xenon-based, but some of these applications are
18 light starved, and so, you know, having bright light
19 illuminators that are flat in time is really
20 important.

21 MR. JACOBSON: Okay. Thank you.

22 DR. HABER: Sure.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 PARTICIPANT: We were talking about
2 preservation and so far I don't think I've heard
3 anyone talk about stampers. This would be the
4 equivalent of going back to an original printed book
5 as opposed to a photocopy book if you wanted to make
6 a microfilm preservation copy in the old days. Why
7 is it that, aside from the absence of stampers, and
8 of course, many of those were melted down for the
9 base metal, why is it that there isn't a greater
10 degree of emphasis on going back to the ur-text,
11 if you will, the basic text, which is the original?

12 And is IRENE or are the other systems
13 that have been brought forward capable of handling
14 stampers?

15 DR. HABER: Okay. There's no reason
16 that you can't scan a stamper. It's just upside
17 down, or depending on how you look at it. One of
18 the items from the Volta Lab collection that is on
19 display at the Smithsonian is actually an
20 ur-stamper, I guess. It's like the first, I don't
21 know, Patrick, tell me if I'm wrong, but I think
22 it's like the first stamper, right?

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 It's a little laterally-cut disc that
2 is a copper electrotpe taken off wax that they must
3 have sacrificed. So it initially worked really
4 well. I have it if you want to hear it, but from
5 time to time, stampers have come up. We, as you
6 know, there are galvanos, and we did scan, I didn't
7 have time to include it, but we scanned a galvano,
8 which was a sacrificial galvano, so it opened up,
9 but it's certainly possible to get a probe now that's
10 small enough to fit inside a galvano and scan the
11 inside surface.

12 So for folks that don't know, at the
13 Berlin Phonogram Archive, the mode of operation was
14 to bring in the new wax cylinder and immediately
15 make a copper electrotpe of it, and then sacrifice
16 the original, and then cast duplicates for the
17 customer or the user or the scholar, and they've
18 recently revitalized that process, but they have
19 20,000 galvanos, or something, in Berlin, so that
20 would be the cylinder version of a stamper.

21 But yes, there's even a little control
22 in the 3-D analysis program for, if it's a stamper,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 flip it, you know?

2 DR. MCBRIDE: So I showed a Berliner
3 disc, which is a sampler. They're actually easier
4 to measure in some respects than the other discs.

5 DR. HABER: Right, but they're not
6 stampers.

7 PARTICIPANT: But you introduced the
8 social aspect, the university social aspect, in your
9 slide on educational factors, and I noticed that
10 you claimed 40 students involved with these
11 projects. And at Berkeley, all 20 were
12 undergraduates and no Master's or PhD students, and
13 the thesis students were all in Fribourg.

14 DR. HABER: Very observant.

15 PARTICIPANT: Does that imply that you
16 were not able to interest regular faculty members
17 and departments at Berkeley in these projects?

18 DR. HABER: That's a great question.
19 We've been very successful in our collaboration with
20 our colleagues in Switzerland, and we've had many
21 Master's and Bachelor's students. By the way,
22 these Swiss Bachelor's students are essentially

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 like American Master's students in terms of what
2 they have to do. They have to write a 150-page
3 thesis, which is original.

4 And we actually have had twice as many,
5 because they also come and work with us on
6 high-energy physics projects, so we've had a number
7 of Master's students and Bachelor's students that
8 all do major thesis work that take months and months.

9 We have never had any Berkeley professor
10 in a technical field, you know, kind of say, let's
11 work together or something like that. With this new
12 project with the libraries and linguistics
13 department, we are linked up now with professors
14 and faculty who have a research interest in the
15 materials.

16 But for example, no engineering
17 professor has, and I think the reason why is because,
18 you know, in major research universities, you know,
19 people have big programs that they're already into.
20 That's why they're there at those universities and
21 they're typically not looking for some cool little
22 project to, like, donate their students to.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 And it's different in Europe. Europe is
2 maybe more open-minded to this, but I think it's
3 hard in American universities to make this kind of
4 change.

5 PARTICIPANT: Two seconds. I just
6 wanted to say that I worked in the U.K. until a few
7 years ago, and I was actually able to get funding
8 for PhD students to work on audio preservation,
9 specifically, bring in doctoral students to do audio
10 preservation projects, which, you can't do the same
11 kind of thing here. I mean, I got an AHRC funding
12 specifically to do that, and that's just a different
13 way that the funding works.

14 DR. MCBRIDE: Well, that's humanities.
15 In engineering, it's quite difficult. I think I did
16 one.

17 DR. HABER: I mean, I've thought that
18 there's a bunch of projects would have been great,
19 PhD projects for students in electrical
20 engineering, information technology, library
21 science, it would be great to collaborate with an
22 American colleague, but it has not happened. And,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 you know, I've presented this work on campus
2 numerous times, and the statistics department, the
3 engineering school, and so forth.

4 DR. JOHNSEN: I would maybe complement
5 what Carl said. I had, in Fribourg, maybe 20
6 students working on their Bachelor's thesis or
7 Master's thesis and one PhD thesis also, and in
8 addition, yes, many of my students went to Carl.
9 It's very easy to convince them, Berkeley,
10 California, San Francisco, and that's why our
11 students, some of our students, they go to Berkeley
12 to do work for Geneva, you know, for the LHC, so
13 I believe it's sometimes due to geography, and
14 probably, maybe I would be more successful than Carl
15 in trying to get Berkeley students because coming
16 to Switzerland could be interesting for them.

17 PARTICIPANT: Yes, I have a question
18 regarding, has there been any discussion about
19 establishing a business model. In particular, if
20 we get the universal player, the ideal thing that
21 we have the center of excellence, and we can now
22 go from one institution to another, and everybody

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 is capturing the data in one way, everything you've
2 been talking about so far is very, very heavily
3 dependent on software. Very different than buying
4 the needle and buying the turntable.

5 Once you get into the realm of software
6 dependency, who will have ownership of the source
7 code, and how will we preserve that and allow this,
8 if we develop this universal tool, to continue for
9 posterity's sake? Because if we don't have access
10 to that, we are going to become obsolete in a very
11 different way.

12 I appreciate the brilliance on stage,
13 but like records, we all don't live forever, so how
14 do you do that?

15 DR. MCBRIDE: Can I take this one?
16 Actually, a lot of the clever software is actually
17 in the control system for the measurement. And if
18 you have a system, and it's doing the measurement,
19 and you're producing the data, and then circulating
20 the data, the data's actually quite easy to
21 interpret. Most people with an undergraduate
22 degree in physics or engineering could just write

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 a piece of code, probably first year, to get the
2 sound off.

3 There's nothing particularly clever in
4 the way the sound is taken from the
5 three-dimensional data.

6 PARTICIPANT: Isn't there any
7 algorithms that are then applied? So by
8 definition, if you're capturing raw data, level 1,
9 think like a Photoshop model, you've got the raw
10 data, the very next thing that happens, and you say
11 it very casually, okay, and then we get rid of some
12 of the noise. That's a decision someone is making
13 and defining what particular algorithm they're
14 going to use versus the algorithm somebody else
15 might choose to get rid of the noise.

16 DR. MCBRIDE: You can download Audacity
17 for free, and Audacity is an incredible sound system
18 for actually taking the noise out. You can control
19 everything. It's free on the Internet.

20 DR. HABER: So I agree with John that,
21 conceptually, a lot of the transformations are not
22 actually that complicated; however, I definitely

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 appreciate the sentiment of your question and your
2 comment. And I have to say that when we started down
3 this road, because the Library of Congress engaged
4 so early and so strongly to this, we felt like it
5 was a good -- that was a good way to go, because
6 here we were working with an institution that has
7 this important leadership role, at least nationally
8 and as a collegial institution with the British
9 Library and the others internationally.

10 And frankly, mostly, we're in service
11 to public collections. So I think that this thing
12 really belongs in the public domain and that
13 institutions like the Library of Congress should
14 be the places where these tools have their ultimate
15 home and that they're validated, and they're
16 specified, and they're maintained, and they're
17 distributed freely and openly, so a business model
18 of, basically, a not-for-profit, free, open source
19 community of users and developers guided by the
20 Library or some international consortia of research
21 libraries, whatever, but I think it belongs in the
22 central, publicly-controlled, and

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 publicly-spirited place, but yes, I think there
2 does need to be a stability to it.

3 But I don't think that belongs at Apple
4 or Google or some company that has its own agenda.
5 It belongs in the public institution like the
6 Library of Congress.

7 PARTICIPANT: First of all, I love to
8 hear democratization of access talk. That's really
9 great. But in general, when you start talking about
10 archival and, you know, sharing these data sets and
11 things like that, one of my concerns is always size
12 of the data set. So could you provide, I know it's
13 completely dependent upon sampling and the original
14 source material, how quickly you have to sample
15 along that, but could you bracket, perhaps, you
16 know, what size of data sets we're talking about
17 for these image sets?

18 DR. HABER: Yes, for example, maybe Bill
19 or Mason, because you're producing these data sets
20 for your customers. I mean, I can toss out some
21 numbers, and they're going to have the gigabyte
22 connected to them, but I don't know, is Mason and

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 Bill here? What are you giving out to your
2 customers?

3 MR. VANDER LUGT: Cylinders are
4 typically about a gigabyte for a 4-inch cylinder
5 or one and a half for 6-inch.

6 DR. HABER: For the raw image data.

7 MR. VANDER LUGT: For just the raw image
8 data. And then discs are 30 to 80, depending on the
9 speed and size.

10 DR. HABER: But if you sample at twice
11 the speed that you're doing, they're just going to
12 grow linearly with sample speed.

13 MR. VANDER LUGT: That's right.

14 DR. HABER: So we're talking gigabyte,
15 kind of, you know, data sets.

16 PARTICIPANT: When you're talking about
17 the commercial or the workflows and you're trying
18 to not so much commercialize, right, but send it
19 off to production, right?

20 DR. HABER: Yes.

21 PARTICIPANT: How do you quality
22 control? So if you're reading at three times the

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 speed, do you still have someone that has to listen
2 to all 15 minutes, or what do you do on that side?

3 DR. HABER: Okay. When you say reading
4 at three times the speed, you're saying you're
5 having, like, many parallel lines running at the
6 same time?

7 PARTICIPANT: I guess I'm saying, once
8 you scan it, right, somewhere you're going to scan,
9 you're going to create the sound file, right? Who
10 quality controls the sound file so that you can gain
11 efficiencies?

12 DR. HABER: So, Peter, you pretty much
13 designed the workflow that was used, so do you want
14 to field that?

15 MR. ALYEA: As you turn your image file
16 into an audio file, the way Carl and Earl designed
17 the IRENE software, it effectively does what is
18 called tracking, so you can visually see that it's
19 lining up with the actual grooves in the media. So
20 the biggest problem in terms of a general sense of
21 getting an unacceptable kind of output would be when
22 it mistracks.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 Now, if you want to get into the weeds
2 and say, you know, the absolute best you could get
3 out of the image, that is more of a, you know, you're
4 going to have to get in there and listen to it and
5 tweak parameters and things. But we were trying to
6 do it more in what would be a high throughput mode,
7 in which case you wouldn't necessarily listen to
8 everything, and in that case, if you get the tracking
9 right, the audio, generally, falls into place.

10 And that's a fairly easy failure to
11 detect, and so that's the way we approach it.

12 DR. HABER: Right, so the IRENE analysis
13 code keeps track of how many defects, how many dust
14 particles, how many scratches, how many conditions
15 that fail various cut levels, threshold levels, are
16 accumulated throughout the analysis, so you can also
17 have a report, a histogram, a bar graph that comes
18 out which is telling you about the data quality.

19 But I mean, yes, these issues, I mean,
20 working, like, in physics, we do an experiment,
21 we're taking data day and night, producing huge
22 amounts, and there's, you know, quality reports that

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 just stream out, and somebody watches and looks for
2 outliers and things, and yes, so there's many, many
3 approaches, and I'm sure in industrial settings,
4 they have all kind of statistical process stuff that
5 they do.

6 PARTICIPANT: I was just wondering, in
7 the Swedish, you're very close to getting to the
8 point of where you're going to see the results, and
9 given what we heard, what is your, sort of, prognosis
10 for the outcome?

11 MR. MOLNERYD: Yes, we have first to see
12 the result from them in the end of August, and we
13 have to evaluate the result that we've asked them
14 for --

15 PARTICIPANT: I think the reason I asked
16 is the second one, which was the LP?

17 MR. MOLNERYD: LP. That's right.

18 PARTICIPANT: It seemed a bit, I don't
19 know, not exactly like I would have expected for
20 an LP recording.

21 MR. MOLNERYD: They have a bit, a way,
22 ongoing, and this is the first result they have

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 managed, and they have, in the beginning, told us
2 that 78 is much easier than LP, and they have started
3 with the LPs, and when I come back here to Sweden,
4 they are going to make a demonstration of the project
5 so far, more precisely, and I don't know, the other
6 two say that vinyl discs are a big problem.

7 And I can't say more about it, and I am
8 very surprised from one of the companies that are
9 very promising, and they think, oh, this will be
10 for 78s, enough good results in the reports they
11 will give us at the end of August. I am eager to
12 hear. I am not expert in the -- and I take all this
13 information with me to Sweden from Carl and all
14 others, and mix this information together, and give
15 the next step for us. I think so.

16 DR. HABER: You know, listening to the
17 examples that you played, I was thinking that maybe
18 they were not applying a derivative to the data that
19 they were taking. They were just playing the shape
20 of the groove. That's the way it sounded, and it
21 didn't sound like they were also then applying the
22 normal EQ curves to them, so that will definitely

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 change the sound if they do.

2 MR. MOLNERYD: And what they also said
3 is that if you have the picture, you can go on and
4 use the picture for pre-processing, after
5 processing the picture, and that's what I know about
6 imaging and so on. I really don't know much more
7 about the process to make these pictures and
8 imaging, and I hope they have the competence to make
9 the best result they can do with our project. We'll
10 see.

11 PARTICIPANT: This is a good segue to my
12 question, which is about, what is the bottleneck
13 for getting faster than real time transfers? Is it
14 the size of the light beam, or why can't it be done
15 much faster than real time?

16 DR. HABER: So the 3-D probes, the best
17 ones take nearly 200 points at once, and they take
18 them at a certain exposure time. They just need to
19 expose, and so they can run as fast as 2000 exposures
20 a second for the nearly 200 points, and if you just
21 do the arithmetic of how many exposures you have
22 to take to cover the surface area of whatever it

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 is, that works out to more than real time, depending
2 upon the density.

3 It could be for, say, a 4-inch cylinder,
4 could be 20 minutes or it could be a few hours,
5 depending on what you want. So you need either faster
6 probes, and that's going to mean they have to be
7 brighter, basically, or probes with more points,
8 you could imagine that. I mean, when we started,
9 it was a 1-point probe, and then suddenly it became
10 a 180-point probe. There's now a 190-point probe.
11 I've heard them talking about a 1000-point probe.

12 But once you get to 1000 points, you
13 start to get into issues of, you know, it becomes
14 big and systematic things pop up. You could run
15 multiple probes in parallel, okay, but your cost
16 goes up by a factor of two when you start adding.
17 Probes are like \$50,000.

18 For the IRENE and VisualAudio 2-D
19 scanners, one of the bottlenecks is just like
20 disc-writing speed for the data you're generating.
21 So that's -- the 2-D scanning, I think, has the
22 greatest, because right now, it takes like 15

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 minutes to scan a 3-minute disc. I think there's
2 hope along those lines of pushing that, but maybe,
3 Earl, you want to comment because you've thought
4 about this a lot. Where do you see it going?

5 MR. CORNELL: So we're definitely, sort
6 of, a factor of two speed limited by disc writing
7 currently, and that's just because we haven't taken
8 the time to buy or research faster. And then of
9 course, we're still light limited as well. I mean,
10 once you get past that factor too, then it becomes
11 a light limiting factor.

12 DR. MCBRIDE: So can I make a comment
13 here? I mean, the only reason for increasing the
14 speed is throughput, but if you automate, then you
15 don't need it. You know, if you automate the system,
16 and you can just have the disc filed off and then
17 you can just feed them through, so actually what's
18 more important is actually automation, so you just
19 automate the whole thing.

20 MR. MOLNERYD: Our input in the
21 beginning was that we have -- will take more than
22 20 years for us to make these discs we have in the

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 archive for audio file, and we have it continuing
2 for five years if they put the records on and it
3 takes five years, and we have --- what can we do?
4 The material in the archive will be standing in the
5 shelves and no one has listened to it.

6 Is it possible to find a new method to
7 make this and listen to them? And this was the input
8 for us to see, is it possible, and they had to prove
9 it, the companies who's left in the project, and
10 so on. And one input is also, is the quality enough
11 just for listen? We know that vinyls and 78 records
12 are stable. You can take it back if you don't crush
13 it.

14 And you have a listening copy for
15 researchers and so on, not in the high-end quality,
16 but it's enough, perhaps, for --- hear what they
17 say, and so on. his is one of the results that we
18 have to manage in the end of it.

19 DR. HABER: So if you just want, I guess
20 you would call them access copies, for listening
21 purposes of 78s, you could take a scanner like the
22 VisualAudio scanner or the IRENE scanner, which are

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 essentially the same thing, you could put four or
2 six cameras on there and just --- you could just
3 scale it up and you could get it in. If you could
4 deal with the disc writing, or you have multiple
5 disc drives, and you just dump the thing.

6 I mean, that's like a brute force. If
7 your goal is really to read a three-minute record
8 in a minute, you probably could make that happen
9 by just throwing multiples of \$6000 at it until you
10 had enough cameras. I mean, at some point you won't
11 fit any more cameras. It becomes a packing problem.

12 PARTICIPANT: Question for Dr. McBride,
13 in your Journal of Acoustic Society paper, you note
14 that you are getting 10 nanometers of axial
15 resolution on a top to bottom groove dispersion of
16 10 microns, which is 1000 units of measurement,
17 which is about 10 bits, right? Simple math. And you
18 state that the digital audio bit depth is equivalent
19 to the image bit depth. Do you still hold to that?

20 DR. MCBRIDE: Have you got the paper
21 there?

22 PARTICIPANT: I do.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 DR. MCBRIDE: Okay. Well, when was that
2 published?

3 PARTICIPANT: 2008.

4 DR. MCBRIDE: Okay. Wow.

5 PARTICIPANT: The question is, as
6 advanced as -- is there -- I'm understanding from
7 Dr. Haber that the axial resolution in the image
8 domain does not translate to digital audio
9 resolution. I'm trying to resolve that.

10 DR. MCBRIDE: You need to take the
11 derivative of the surface to get the sample, but
12 you still need to have the raw data to start with.

13 PARTICIPANT: Of course. No, I've only
14 taken a couple college level classes in physics,
15 but --

16 DR. MCBRIDE: You still have to have the
17 raw data.

18 PARTICIPANT: You have to have the raw
19 data there, that's right, and if the raw data is
20 only there with a precision of, in your case, 10
21 bits.

22 DR. MCBRIDE: I don't recall. On that

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 slide I showed you, it was the 20 kilohertz harmonic,
2 oh no sorry, 2 kilohertz harmonic in the spoken word.

3 PARTICIPANT: Yes. If the image is
4 measured with the precision of 10 bits, is there
5 any transformation that you can do to increase
6 resolution?

7 DR. MCBRIDE: You cannot go --- you
8 cannot change the resolution of the sensor that
9 you're using. So that graph was actually about
10 defining -- it's about the quality of the potential
11 audio that you can get from this, and we were
12 interested in preservation, so we're at the first
13 stage of this. It's about preserving the raw data
14 for future use.

15 PARTICIPANT: Understood.

16 DR. MCBRIDE: And that's where that
17 measurement came from. It was a displacement
18 measurement against frequency. If you look at the
19 lower frequency, I think I pointed that out on the
20 slide, it was 600 hertz? And the magnitude of that
21 particular harmonic was much higher, yes? So this
22 is where I was focusing on the harmonics associated

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 with the spoken word, yes? So it's a very kind of
2 detailed scientific issue.

3 PARTICIPANT: I understand. I do
4 understand. But let me ask the question again,
5 perhaps in a way that we can all understand. If
6 something's measured with 10 bits of precision in
7 the digital optical domain. That's a level of
8 precision. Is there any transformation that can be
9 done, because that's the digital --- that's the
10 preservation master, right? Is there any
11 transformation that can be done to bring that up
12 to 16 bits.

13 DR. MCBRIDE: Okay, hang on. The 10 bit,
14 we didn't publish that. You've done a calculation,
15 which is the ratio of the 50-nanometer height, which
16 we've just referred to.

17 PARTICIPANT: No, you published it. I'm
18 looking at it right here.

19 DR. MCBRIDE: Does it say 10 bit?

20 PARTICIPANT: That's my point of
21 contention.

22 DR. MCBRIDE: I don't think that's

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 correct, because it actually -- is that comparing
2 the depth of the groove to the 50 nanometers? Is
3 that the number that you said?

4 PARTICIPANT: Yes. I'll read it to you
5 so we can have it.

6 DR. MCBRIDE: Yes, I don't think that's
7 correct.

8 DR. HABER: Okay. Here's the thing, you
9 measure the surface at a certain point, but you take
10 many points and you combine those points to get an
11 estimate of the depth of the groove at a certain
12 moment in time. So the depth of the groove at that
13 moment in time is synthesized from a number of
14 measurements. So by combining multiple
15 measurements through an averaging process, you can
16 improve, through averaging, your measurement.

17 So just taking the single --- just
18 interpreting what the resolution is on a single
19 point and then comparing it to some number, 10
20 microns, 20 microns, that you're sort of picking
21 out from someplace, is just the beginning of a more
22 complicated story that leads you to a certain

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 performance which comes out from combining all this
2 data, taking the surface, differentiating it, and
3 turning it into audio.

4 A statement that you can make, which is
5 very well defined, about the instrument that you
6 buy is that it has a range of a certain, say, 200
7 microns, 300 microns, depending on the instrument
8 that you choose, the optics that you choose, it has
9 a certain resolution inside that range, 50
10 nanometers, 10 nanometers, 120 nanometers,
11 depending on the one you use. And that ratio, between
12 its fundamental resolution and its range, that
13 defines its capability in bits --

14 PARTICIPANT: Theoretical bit depth.

15 DR. HABER: -- for a single point
16 measurement. Okay?

17 PARTICIPANT: Right.

18 DR. HABER: That's just the beginning of
19 a more complicated story.

20 PARTICIPANT: I understand.

21 DR. HABER: And there's no -- sorry.
22 It's very hard to see you, because Mason's blocking,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 it's a little disconcerting. I feel like I'm
2 talking to somebody behind somebody, but you know,
3 so that's why I went into this discussion because
4 there's no simple little answer, and frankly, when
5 people in audio engineering say, oh, I've digitized
6 this at 24 bits, that's, it's a big simplification
7 about what's actually going on.

8 PARTICIPANT: I understand.
9 Unfortunately, this conference --

10 DR. HABER: Just a minute, there has to
11 be a recognition that noise, random noise, is a ---
12 sets the scale that we have to base everything on.
13 If you want to measure the radius of a billiard ball,
14 I can give you a pair of precision calipers and you
15 can measure that radius to whatever you like. If
16 you want to measure the radius of a tennis ball,
17 the diameter of a tennis ball, it's a poorly defined
18 problem because a tennis ball is furry, alright?

19 So there's an arbitrariness due to the
20 random structure of the surface of a tennis ball.
21 That means that, fundamentally, you can't measure
22 it the same way you can measure a billiard ball.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 Audio engineers recognize this when they say, well,
2 a 24-bit ADC is really only 20 bits, or 21 bits,
3 because, you know, there's fundamental noise in the
4 ADC electronics that knocks, already, 4 or 5 bits
5 out of the game.

6 And I tried to build up that explanation
7 in just a few minutes. It could be the subject of
8 an hour-long discussion. You know, you can go in
9 Wikipedia and read pages and pages about -- you know,
10 you can -- if you want to run your clock at 3
11 megahertz, you could have a 1-bit ADC and digitize
12 audio at very, very high quality.

13 So there's no simple little -- I know
14 you're trying to get a simple little answer and say,
15 well, I can do 24 bits and you can only do 7, or
16 something like that, but it doesn't work.

17 PARTICIPANT: Carl, that's not what I'm
18 trying to do.

19 DR. HABER: Okay.

20 PARTICIPANT: I'm trying to get to the
21 bottom of a question that's been bothering me and
22 a lot of other people, and for which you and Dr.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 McBride seem to have very different answers.

2 DR. MCBRIDE: Okay. I wasn't, I said --

3 DR. HABER: Do we?

4 DR. MCBRIDE: Well, I don't think we do.

5 I mean, maybe a line that's in a paper which was
6 published, what, eight years ago?

7 PARTICIPANT: Okay. I understand. Okay.
8 That's fine.

9 DR. MCBRIDE: You should have given me
10 a prior warning. I'd have looked up the background
11 for it. The sensor -- I just did a calculation, the
12 sensor we use is actually 15-bit resolution. You've
13 got a 350-micron range and it resolves to 10
14 nanometers.

15 PARTICIPANT: Yes, and that's where
16 Carl got his 12 to 13 bits as well.

17 DR. MCBRIDE: I think what we were
18 saying is that, it's really important that if you
19 want to get the best quality audio from these old
20 recordings, you have to have a sensor that has a
21 minimum resolution of around, is it, 50 nanometers?
22 What does it say? You've got it there.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 PARTICIPANT: Yes.

2 DR. HABER: 50 nanometers, whatever it
3 is.

4 DR. MCBRIDE: Yes, 50 nanometers.

5 DR. HABER: And that's something in that
6 part. It depends on the material, but that's sort
7 of the --

8 DR. MCBRIDE: That's the key thing.

9 MR. ALYEA: We're cutting into the next
10 session and it seems like maybe we should deal with
11 this, I definitely want to deal with this, but maybe
12 John could look at the paper again.

13 DR. MCBRIDE: Yes, sure.

14 MR. ALYEA: We could pick this up. We
15 have a lot more time. At the end of thing we have
16 more time. We're cutting into other people's talks
17 right now, so I think we should have a very quick
18 coffee break, about five minutes, and move the new
19 talkers in and then --- sorry, David.

20 (Whereupon, the foregoing matter went
21 off the record at 3:48 p.m. and went back on the
22 record at 3:59 p.m.)

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 MR. ALYEA: Okay. So our first
2 presentation is, we actually have kind of, two duos,
3 this time. From NEH we have Joshua Sternfeld and
4 Jesse Johnston, so Joshua is speaking first, right?
5 Yes.

6 DR. STERNFELD: That's the best kind of
7 introduction. Jump right in. So, hello, everyone.
8 I am Joshua Sternfeld. I'm a senior program officer
9 with the National Endowment for the Humanities,
10 Division of Preservation and Access, and I'm here
11 with my colleague, Jesse Johnston, also from the
12 Division of Preservation and Access.

13 So today, we are going to talk about a
14 few things here. I think we're going to move from
15 the very technical discussions that we've heard up
16 to this point and perhaps in the course of just
17 talking about our grant programming and some of the
18 projects that we supported over the years, we will
19 begin to kind of see a way of answering some of the
20 questions that Carl was raising earlier this
21 morning, about where do we go from here, and how
22 do we take all of the research and technologies that

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 have been developed up to this point, and begin to
2 scale them upward and begin to apply them to more
3 and more collections.

4 So that will, for our purposes here
5 today, that will begin with a very, very brief
6 overview of NEH. Many of you are already familiar,
7 some of you are actually awardees, past awardees,
8 but I realize that there are some that are from
9 outside the U.S., so I will give you the 30-second
10 rundown of what we do. And that will kind of move
11 us into some of the projects that we have supported
12 along audiovisual-related projects, mostly through
13 research and development, but as Jesse will
14 indicate, we have had support through almost all
15 of our grant programs.

16 And so one of the things that I think
17 will become more and more clear as we talk about
18 those programs is that, perhaps we could look at
19 the suite of programs that we offer as a kind of
20 paradigm for how to begin to support these new
21 approaches to preservation and access going
22 forward.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 And Jesse will conclude with a little
2 bit of indication of how interested NEH is currently
3 with the topic of audiovisual preservation and
4 access. We are ramping up our interest more and more
5 as we speak and so we're delighted to be here and
6 we thank the Library of Congress for having us, and
7 hopefully we will be able to continue this dialogue
8 both in this panel and perhaps afterwards.

9 So a little bit about NEH. We're divided
10 into a collection of offices and divisions that
11 covers the scope of humanities activities, and that
12 includes everything from your very basic individual
13 fellowships, and research, and educational
14 opportunities, to development of public programs,
15 things like your documentaries, museum
16 exhibitions, and so forth, and what Jesse and I work
17 on, the Division of Preservation and Access.

18 So we actually are devoted to helping
19 to preserve humanities-related collections of all
20 types: audiovisual, archaeological, literary,
21 historical, et cetera. And so in the Division of
22 Preservation and Access we try to cover the entire

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 range of activities as best we can, that is, related
2 to the basic care and stewardship of humanities
3 collections, for all types of institutions, I should
4 indicate.

5 I know there's some, perhaps,
6 misperception that we're only geared towards the
7 high research universities, but that's simply not
8 true. We do our best to also reach out to smaller
9 institutions, things like historical societies,
10 public libraries, small museums, et cetera.

11 So that range of activities, of course,
12 can cover everything from your basic intellectual
13 control, things like cataloguing, arranging,
14 describing; things like your basic conservation
15 treatment, reformatting, of course, rehousing of
16 collections.

17 We do digitize. We have actually gone
18 into the academic world and heard that, well, NEH
19 doesn't digitize any longer. That's not true. We
20 love to digitize audiovisual collections and see
21 projects of that sort. We also do support the
22 development of databases, spatial tools, reference

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 resources, and we're increasingly, in the last, I
2 would say, five or six years, moving towards
3 sustainable solutions for preventive conservation
4 care.

5 And that --- those are projects to
6 address the entirety of a storage environment. And
7 we actually have a few, a handful, of projects
8 related to audiovisual collections on that front
9 as well.

10 So a little bit about where we come from
11 in terms of our support for audiovisual preservation
12 and access. And, mostly, my job here today is to
13 focus on the R&D side of things, since I think that's
14 kind of most in tune with what we're talking about
15 here today.

16 So we can go back all the way to the 1980s
17 and you probably won't find a program specifically
18 called R&D like you do today, but in various forms
19 over the years, we supported very kind of
20 specialized research projects. At the beginning of
21 the '80s and '90s, a lot of those awards went to
22 the Image Permanence Institute over at the Rochester

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 Institute of Technology. Many of you are probably
2 familiar with the great work that they do.

3 They began in the mid to late '80s
4 working on -- actually, early '80s, working on
5 photographic prints, and then it's almost like a
6 chronology of audiovisual formats and the projects
7 that they've worked on over the years, moving
8 through various formats, landing in magnetic media
9 in the '90s, and then in the early 2000s, we started
10 to see support for a number of other institutions.

11 Many of you may be familiar with the
12 Sound Directions project that was a collaboration
13 between Indiana University and Harvard, and those
14 were a series of projects that eventually developed
15 a set of audio digitization guidelines, so we're
16 very proud of that. One of the reasons we're proud
17 of that project is not only for the R&D work that
18 they did, but the fact that it had such an impact
19 on the wider cultural heritage field.

20 And so what we love to see are projects
21 in our other grant programs, particularly, for
22 example, in projects to digitize specific

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 collections that are referencing the Sound
2 Directions guidelines as the standard that, or best
3 practice, that they're trying to adhere to. So when
4 we see R&D work show up in footnotes in our other
5 grant programs, it gives us a little chill, or
6 thrill, if you will.

7 And also around this time, in 2004, we
8 got this kind of curious project called Developing
9 an Optical Method to Recover Sound from Mechanical
10 Recording Media. I was actually able to dig the
11 original file up and it's quite a massive collection
12 of documents.

13 You see here one of the original images
14 for what then became IRENE. So NEH was proud to be
15 at the kind of ground level for the work that Carl
16 and his team did beginning back in 2004. And
17 obviously, you can see the fruits of all that labor
18 here today. So I won't go into the history of the
19 project since we've already gone, you know, quite
20 extensively, but I thought, you know, seeing the
21 early 2000s kinds of technologies is kind of a fun
22 little trip down memory lane.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 But we do lunge the IRENE project as a
2 kind of exemplar of research and development
3 projects that we've supported. One is simply that
4 it responded to a real need in both preservation
5 as well as cultural heritage fields, and I think
6 all of the speakers that you've heard this morning
7 covered those needs quite well.

8 And then I think a true testament to the
9 success of an R&D project is that it then, of course,
10 leads to additional projects, additional research,
11 and that could be in, specifically, an R&D-type
12 setting, or it could lead to, as it did with
13 additional testing and evaluation, that could also
14 lead to reconsiderations of broader stewardship
15 practices, and as Carl was hinting at, shifts in
16 how we think about education and training as well.

17 So that leads me a little bit to talking
18 about a few of our main grant programs coming out
19 of the Division of Preservation and Access. And
20 again, for some of you, this might be of interest
21 directly in thinking about getting a project to us.
22 And of course, whenever we go out, we always love

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 to field questions, one-on-one types of questions
2 of a project that you have in mind, but I think also
3 for the purposes of this panel and for today, again,
4 the suite of programs that we have can also be
5 considered a kind of framework for considering how
6 to expand all of these great technologies going
7 forward.

8 So the way we -- this is one way of how
9 we look at our grant programming. The core of what
10 we do, the foundational program that we have, is
11 called Humanities Collections and Reference
12 Resources. And that's a program that is geared to
13 enhance the preservation and/or access of specific
14 humanities collections.

15 So we get, again, institutions of all
16 shapes and sizes come to us and say, we have a great
17 collection of X and we need to do work, whether it's
18 to rehouse them, to catalogue them, to reformat
19 them, et cetera. And for us, that really is our
20 wheelhouse, our humanities collections, because it
21 enables us to bring in humanities practitioners,
22 scholars, curators, folks in the cultural heritage

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 sector, into our panel and review setting, and
2 conversing with preservationists of all stripes,
3 archivists, librarians, and so forth.

4 But we realize that the work that goes
5 into preserving a humanities collection requires
6 a lot of other work that kind of encircles that work.
7 And I'll be talking, again, a little bit about the
8 research and development and the particularities
9 of that program, sustaining cultural heritage
10 collections, as Jesse will explain, tackles the
11 preventative issues as well, and of course, there's
12 education and training, and we're very proud to
13 support a few audiovisual-related projects in that
14 realm as well.

15 So, R&D. We underwent an intensive
16 review of this program in the last year and a half
17 or so. We just received --- and we restructured the
18 program and we just received that first batch of
19 applications a few weeks ago, so that's beginning
20 the review process. So in one sense, the good news
21 is that the next deadline is an annual deadline,
22 and you have until June 21st of 2016 to think about

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 getting another project to us.

2 Again, this program is designed to
3 address major challenges in preserving and
4 providing access to cultural heritage collections,
5 and it's also designed to support the development
6 of standards, best practices, methodologies, and
7 workflows. It supports applied research. And I know
8 some of the subsequent presentations coming up will
9 be talking about considerations for how to develop
10 best practices in this area.

11 So we have two tiers of funding, and I'll
12 give you the quick specifics around those, but I
13 do want to add, we are very proud to support
14 international collaboration. The only requirement
15 being that the primary applicant institution needs
16 to be a U.S.-based institution, but we have
17 supported and will continue to support projects that
18 show collaboration with institutions outside the
19 U.S., so again, something to consider going forward.

20 So I had mentioned that we have two tiers
21 of projects here. So a Tier I project is for up to
22 \$75,000, and what we notice -- this is really the

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 newest aspect of our R&D program -- what we notice
2 is that if we only had one tier, which was up to
3 \$350,000, that there can be a lot of great work at
4 these lower levels that are getting squeezed out
5 from the limited funding that NEH has.

6 These can be anything from surveys, to
7 case studies, to small-scale experiments. So
8 particularly in the field that we're talking about
9 here today, optical image preservation, I think
10 there could be a lot of cases where these kind of
11 small case studies could really develop valuable
12 data that could be fed back into the larger
13 community.

14 And one of the things that we're trying
15 to emphasize with this level as well, is that this
16 can be a great opportunity for graduate and
17 post-doctoral training and experience.

18 Secondly, we have a Tier-2 level. Again,
19 this is the higher level for up to 350,000 for up
20 to three years, and this is the whole enchilada,
21 if you will. We want to see projects that are really
22 striving to develop best practices standards,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 methodologies, workflows, that can reach a very
2 broad preservation and cultural heritage audience.

3 So one of the things that we changed with
4 this program, and this might be something that we
5 could pick up again during the Q&A and beyond, is
6 this notion of dissemination. So we have a lot of
7 great projects coming out of R&D over the years and
8 we always did require a short section that we called
9 dissemination, but over the last few years we were
10 getting dissemination plans that included, well,
11 we'll develop a blog that we will kind of update
12 every once in a while, and then we'll get on Twitter
13 and we'll tweet it every now and then, or on
14 Facebook.

15 And somehow, this whole community is
16 going to just magically pick up all this great new
17 knowledge and run with it. And in some cases, that
18 was true, and it worked, but I think in a lot of
19 cases the dissemination plan failed to match the
20 great work that was being conducted at the R&D level.

21 And if you are going to develop standards
22 and best practices, you have to really engage the

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 community, right? You have to really understand
2 what the community needs are and how they're going
3 to receive that knowledge, and work with it, and
4 begin to accept those best practices going forward.

5 So we tried to elevate our dissemination
6 plan a little bit. We require a one to two-page
7 appendix that -- and we're asking a kind of
8 demonstration that you understand how to reach your
9 community that you define within your application.

10 And of course, there's a whole suite of
11 possible dissemination activities that can fall
12 into a kind of creative plan, which can run from
13 workshops and training, to educational models,
14 publications, then we get into things like code
15 sprints, and conferences, and then of course if
16 you're looking at getting a standard accepted, of
17 course, that will require communication with a kind
18 of regulatory body in some cases.

19 So the bottom line is, we would love to
20 see R&D projects that are interdisciplinary. And
21 again, I think this came up in some of the earlier
22 discussions about ways in which the work being

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 conducted at a very technical level can link up with
2 some of the work of the cultural heritage field.

3 And I think the project that Carl
4 mentioned earlier, the linguistics project, which
5 I think Jesse will talk about further, is a great
6 example. So we're striving to see projects that are
7 interdisciplinary in nature. International, again,
8 that sort of merge the humanities and the sciences.
9 And of course, the sciences, when we're talking
10 about preservation, can run the gamut from the
11 information sciences, computer science, natural
12 sciences, of course, in many cases here today, and
13 conservation science as well.

14 So I feel --- I think I would be remiss
15 if I didn't actually give an example of an optical
16 audio project, besides supporting IRENE in its early
17 days. And so we've actually supported a project
18 coming out of the University of South Carolina,
19 which they call AEO-Light. Is anyone familiar with
20 AEO-Light? A little bit, yes. Okay.

21 So this is, again, why dissemination is
22 so important. This is actually related to the film

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 community, so I don't know if I'm committing heresy
2 talking about film in an audio media environment,
3 but I think it's applicable here. So as you can see
4 in this image here of a still from a film of Martin
5 Luther King, Jr., you see the optical track running
6 on the side.

7 So what the project director, who is Greg
8 Wilsbacher, he is collaborating with the
9 mathematical institute at South Carolina. What
10 they're doing is essentially very similar to what
11 we've been talking about here today. They are
12 creating images, digitized images, of 60mm, 35mm
13 film, which captures both the optical sound as well
14 as the image. They're even going so far as to go
15 all the way out to the sprockets, and I'll show you
16 why in a second.

17 And then what they do is run an algorithm
18 that extracts the optical sound and links it with
19 the digitized film images. Apologies for my email
20 going off like that. So why is this significant?
21 Why was it funded by NEH? Well, quite simply, what
22 they're hoping to accomplish, and I think they're

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 getting to it by their second phase of their project,
2 is essentially cutting, perhaps not completely in
3 half, but close to half, the time it takes to
4 digitize a film.

5 The process, traditionally, is to
6 capture the image first and then audio second, and
7 then link them up afterwards. They're saying by
8 capturing audio and image simultaneously, and then,
9 linking them through this mathematical software
10 program, you can digitize the film in half the time.
11 That's the hope, and I think they're getting along
12 their way.

13 So if you go to their site, you can
14 actually download their software program. It's open
15 source. I think they would be thrilled to hear from
16 a lot of you to get your feedback on that. And of
17 course, I'll play just a very short clip of a test
18 clip that they have on their website here, so bear
19 with me. Hopefully this will work.

20 And this is a film of Benito Mussolini
21 from 1931. I believe from their Fox Movietone
22 collection.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 (Video played.)

2 DR. STERNFELD: So you can see the audio
3 track on the left-hand side running simultaneously
4 with the image, and you can see, of course, the words
5 on the very far edges, and the argument goes that,
6 from a kind of digital humanities standpoint, this
7 is valuable information. This is, essentially,
8 providential information that certain humanity
9 scholars, media scholars, film scholars, might find
10 quite valuable to help date and locate the origins
11 of their film.

12 So with that, I'm going to turn off my
13 email and hand it over to Jesse.

14 MR. JOHNSTON: We rehearsed that. As
15 Josh said, he's talking more about the research and
16 development sorts of projects that we've supported
17 that are somewhat related to the IRENE and audio
18 imaging efforts, and I'm going to talk about the
19 rest of our programs and hopefully give you an idea
20 of some of the ways that they can support some of
21 the efforts that are happening in the audio
22 preservation world.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 The Humanities Collections and
2 Reference Resources Program, which is one that Josh
3 has already mentioned, is our largest program, and
4 it's really focused on advancing preservation and
5 access for specific collections, as Josh said, or,
6 also, the design of reference resources, like
7 dictionaries and grammars, and in both of these
8 areas, it's actually supported audio projects.

9 So as you can see, we're sort of
10 illustrating what these programs can do by way of
11 example, but if you have specific questions about
12 what sorts of activities are eligible or ineligible,
13 technically speaking, we can answer those questions
14 at the end. The examples, I think, are probably most
15 interesting, so I'll focus on those now.

16 In 2012, the University of North
17 Carolina received an award, many of you are probably
18 aware of the Southern Folklife Collection there,
19 and they're preserving audio recordings and
20 photographs that document traditional music and
21 musicians of Southeastern United States.

22 And that actually is a hybrid

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 collection, so there's a lot of audio there, but
2 this is an archival collection that also has
3 photographs and related field notes as well. And
4 so hybrid collections are certainly something that
5 we frequently see, given that our collections really
6 cross the broad range of documentation of humanities
7 activities.

8 In the reference resources category of
9 this program, we've also supported discographic
10 projects, including what's become the American
11 Discography Project, which grew out of the
12 Encyclopedic Discography of Victor Recordings at
13 the University of California in Santa Barbara, which
14 received an award in 2011 and a couple of other
15 times.

16 Recently, we've supported a new level
17 in this program called Foundations, which offers
18 support for, sort of, early-stage projects. So if
19 all of the technical details, perhaps, aren't set,
20 hoping to bring together, maybe, technical
21 preservation people with humanities scholars to lay
22 the foundations, as it were, for future projects.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 I also want to tell you about the
2 education and training program that we offer. This
3 is a program that also, like R&D has been a
4 longstanding priority at NEH and we've supported
5 preservation education and training in various
6 forms since the 1980s when we took over a program
7 of regional field services that was previously
8 funded by the National Endowment for the Arts.

9 And these now offer basic preservation
10 services, such as preservation assessments,
11 rehousing supplies, conducting emergency
12 preparedness workshops, and you're going to hear
13 a lot more from one of those services in a moment.
14 And they can sort of be the example.

15 But this program also supports other
16 things. It supports Master's Degree programs and
17 it also supports workshops that address
18 preservation and access topics of national
19 significance and broad impact. And we include there
20 in our guidelines that the preservation of and
21 provision of access to recorded sound and moving
22 image collections is one of the priorities in this

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 program.

2 I think that it would be accurate to say
3 that we hope to continue that priority, and also,
4 to give you an idea, we have supported that in the
5 past. For example, we've supported an award to New
6 York University in 2014 that provides scholarships
7 to students in the Moving Image Archiving and
8 Preservation Program for doing up to 24 internships,
9 that is 24 students doing internships, at cultural
10 heritage institutions in the New York Metropolitan
11 area.

12 The Center for the Conservation of Art
13 and Historical Artifacts also has offered audio
14 workshops through their preservation field
15 service, as have some of the other ones.

16 The Documenting Endangered Languages
17 Program is a unique program that we have. It's a
18 partnership with the National Science Foundation.
19 Carl has already mentioned the award that we
20 recently made in partnership with the National
21 Science Foundation to the University of California,
22 Berkeley. And this is, I guess, at least the second

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 award that NEH has made which supports an
2 IRENE-focused project.

3 And as you know, they're working on
4 digitizing cylinders of Native American languages
5 gathered in California. It's a very unique
6 collection with high value to the humanities for
7 documenting early history of anthropology as well
8 as, and this is why it came to the Documenting
9 Endangered Languages Program, documentation of
10 languages that are no longer spoken or they may be
11 in a sort of, what linguists call, moribund state,
12 and very few speakers, or an aging speaker
13 population.

14 We feel that these are invaluable for
15 scholarly research and broader purposes of cultural
16 and linguistic revitalization. And as you heard when
17 the project was mentioned earlier, this has actually
18 supported an internal institutional collaboration
19 between different units of the University as well
20 as Lawrence Berkeley Labs. And so we hope that is
21 an example that points to sort of the power of these
22 programs to help to encourage that sort of

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 cross-institutional and inter-institutional work.

2 I'll just say a few words in conclusion
3 about our priority on audiovisual preservation. You
4 have a better sense now, I think, of our division's
5 main grant programs and how they relate to
6 preservation and access of audiovisual materials.
7 And we have placed a priority on the preservation
8 of audiovisual content through various efforts,
9 some of which I've covered here, since at least 2000,
10 so for about 15 years.

11 And in 2001, I believe, we supported a
12 symposium here at the Library in cooperation with
13 some of the other agencies in the Library on
14 preserving folklore documentation. And that was
15 kind of a first step and we hope that we're
16 continuing in that vein.

17 Our hope in sharing with you some of the
18 audiovisual projects that we've discussed today,
19 and that NEH has supported over the years, is partly
20 to remind you that our grant programming can support
21 a wide spectrum of preservation activities, from
22 research and development, to education, to digital

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 reformatting.

2 We also want to communicate to you that
3 we are interested in exploring options for
4 participating and supporting this effort in better
5 ways. We have heard the need to consider areas as
6 far ranging as format and playback equipment
7 obsolescence, mass collection assessments,
8 selection and appraisal, cold storage for films,
9 professional training, and continued research and
10 development.

11 But we do hope to hear from those working
12 in these fields directly about how we could better
13 support that effort in a continuing way in the
14 future.

15 However, in a restricted resource
16 environment, we do hope that in the spirit of the
17 National Recording Preservation Plan, and other
18 calls to action that we're aware of as funders, we
19 do want to facilitate the maximum impact of what
20 is a small amount of financial resources by
21 fostering collaboration and coordination among
22 many stakeholder communities, which is why we've

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 stressed that theme of collaboration throughout.

2 Many people that we've spoken to have
3 reminded us that audiovisual collections are often
4 overlooked, whether that's for lack of funding,
5 preservation experience, or simply lack of interest
6 in relation to other types of collections, or
7 perhaps lack of technical familiarity among
8 collection stewards.

9 Given NEH's unique connection to the
10 scholarly and professional communities, we think
11 that one of our contributions as a funder can be
12 to bring together the voices of scholars and
13 researchers, and other audiences for these
14 materials, with preservation communities. That's
15 sort of one of the unique positions that the Division
16 of Preservation and Access occupies.

17 In fact, we believe that only with the
18 voice of content and subject specialists, including
19 many that are here and who may be speaking tomorrow,
20 can effective arguments be made for the significance
21 and importance for expending scarce resources that
22 are required to select, assess, reformat, and use

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 the many audiovisual resources that face uncertain
2 futures.

3 And finally, by reaching out to various
4 user audiences, including researchers and
5 educators, we hope to raise greater awareness of
6 the scale of the challenge of audiovisual
7 preservation, which, as many of you know, is great.
8 And we also hope to foster clearer understandings
9 of the various uses, approaches, and ways to make
10 choices that confront us as we face this audiovisual
11 preservation challenge.

12 If you're interested in our programs,
13 you can ask us questions after this. You can also
14 contact us on email, and here's our contact
15 information, so thank you very much.

16 MR. VEILLETTE: Hi everybody. I'm Bill
17 Veillette. I'm the Executive Director of the
18 Northeast Document Conservation Center. Very
19 quickly, we're, in some respects, the odd man in
20 the room because we're actually a paper and book
21 conservation center. And you might ask why are we
22 involved with audio preservation?

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 Well, back in the '70s, we started doing
2 microfilming and film duplication. And then in 2008,
3 because it was hard to get film, and I'm very
4 impressed that you can still get it in Switzerland,
5 we transitioned the imaging over from microfilming
6 and film duplication to digital imaging. And then
7 at that point, because there's so much involved in
8 post-processing with a digital transfer, it didn't
9 become a very big leap for us to start thinking about
10 audio.

11 And in fact, most of our clients are
12 archivists, not, obviously, audio engineers. And
13 many, many archivists are also charged with the
14 stewardship of audio collections, but they're
15 scared to death. So here we are as a non-profit
16 that's been serving them for over 40 years. They're
17 very comfortable with us and trust us, and they
18 started asking us to get involved with audio, so
19 that's why we are here today.

20 That led to a conversation with the
21 Institute for Museum and Library Services to take
22 a look at this thing called IRENE, this is a few

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 years ago, and we ended up writing a grant to do
2 a pilot to see if we could take IRENE from the lab,
3 successfully, to the market, so to speak, and use
4 it not as a scientific instrument, but as a
5 reformatting production tool.

6 So these were the principal goals of the
7 project, there were other questions as well, but
8 I thought those other ones wouldn't be as
9 interesting to this audience. But you can read them
10 here. We were curious about these kinds of questions
11 because these are the questions that go into whether
12 we could sustain a service and run it without losing
13 money and going out of -- going bankrupt.

14 So I'll just cycle us through these
15 questions. First of all, what we did find was that
16 it is actually quite easy to learn to operate the
17 IRENE system with a person of average intelligence,
18 however you want to define that. It starts to
19 become a little bit more difficult when there are
20 mechanical issues, so you do need some greater-than-
21 normal mechanical aptitude to do some
22 troubleshooting. If you are all thumbs and have no

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 mechanical aptitude, this is probably not the
2 technology for you at this stage in its development.

3 But in terms of the software, although
4 software is very stable, it's not particularly
5 buggy, which we felt very good about. We were
6 concerned that it might be crashing every ten
7 minutes and we can't get anything done. That is not
8 the case at all. But when you want to make an
9 enhancement, or a tweak, or something, that requires
10 a call back to Lawrence Berkeley National Labs.

11 So almost half-jokingly, but not
12 really, we have said that we need to take "Key Man"
13 life insurance out on Earl Cornell, which is a little
14 bit of a morbid thought, Earl, sorry about that,
15 but we haven't done it. Don't worry. Okay. In terms
16 of the hardware, we did receive this upgraded model,
17 you've all heard about that, you saw pictures of
18 it that Carl presented, it has the 2-D and the 3-D
19 on the same platform so that we could switch easily
20 to 3-D to scan a disc without having to go through
21 a lot of extra setup.

22 There are some issues though. As parts

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 get upgraded or replaced, there are some issues with
2 having to then potentially have to modify the
3 software and even the firmware, the OEM might have
4 to make some modifications, so we saw that with,
5 I think, our probe, you know, early on, where we
6 had to go back to the OEM and ask them to make some
7 changes.

8 But the good news is that it's very
9 reliable. Once it works, it works, mechanically and
10 in terms of the software. Now, there are some
11 challenges and we'll segue to Mason in a second here
12 where he'll talk about some challenges that had more
13 to do with the carriers themselves and having to
14 do some fussing because of the optical approach.

15 Okay. What I really want to focus on here
16 is some of the questions that were being asked early
17 in the day, which is, so, if you're trying to use
18 this as a tool, how practical is it? How much can
19 you get done? And in our case, our clients wanted
20 to know, what is this going to cost?

21 Now, there are three variables to
22 providing this kind of a service. One is your

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 efficiency, which is, how many billable hours out
2 of all the paid hours in the day your staff can work.
3 The next is the billing rate, which has more to do
4 with NEDCC's overhead. And then finally, the
5 productivity, which is, ultimately, the ultimate
6 measure, which is how many recordings you could do
7 per billable hour.

8 So, in terms of efficiency, it actually
9 has nothing to do with IRENE or any piece of
10 equipment that you're using. This is how many hours
11 out of the day you're able to bill your time out.
12 So you're taking out any administrative time and
13 you're reducing it down to, how many hours am I
14 actually serving a client?

15 So that really has to do with the
16 operator, you know, their work ethic in the
17 workplace. We start work at 8:30, are people serving
18 clients at 8:31 or are they kind of getting around
19 to it after a couple cups of coffee, right?

20 The billing rate also, nothing to do with
21 IRENE, has all to do with the costs of labor and
22 overhead, but productivity has to do with two

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 things. It has to do with the operator and their
2 workflow habits within the constraints of the tool.

3 So what are the constraints of the tool?
4 Well, in our case, one of the things we were trying
5 to test with the pilot was, you know, could we
6 operate multiple IRENE machines at once by one
7 operator? And our conclusion at this stage is, with
8 our current configuration of the machine, it would
9 be impractical to try to have more than one machine
10 per person, and you'll see why in a second. I have
11 a slide.

12 But, we need to be mindful that in the,
13 you know, 12 or 15 years of development of IRENE,
14 the focus has not been on its production capacity.
15 It's been on the science of imaging and analyzing
16 those images. So there's this now new phase of
17 opportunity to start focusing, in addition, on
18 productivity. So these are not "problems" with
19 IRENE, these are "opportunities" going forward.

20 There is a little bit of multitasking
21 you can do. Once you've scanned your first carrier,
22 you can be scanning the second carrier while you're

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 analyzing the first one. Okay. So there's a little
2 bit of 2:1 workflow for all but the first and last
3 recordings. And then because of that, the first and
4 the last recordings are 50 percent more expensive.

5 So all this means is that we say to
6 clients, look, if you have more than one carrier,
7 don't send them to us one at a time. Bunch them up
8 and help us help you by making these projects as
9 -- you know, scaling these projects up a little bit
10 because we can get you --- save you some money.

11 So, you know, here's what we can do with
12 our one machine. We can start a scan and then while
13 "Recording 1" is being analyzed, we can start
14 scanning "Recording 2," et cetera, until finally,
15 at the end of the day, you know, you're just kind
16 of analyzing the last one. Now, you could be
17 recording something for the next morning, of course,
18 but this is just an example of if you had an eight
19 carrier project.

20 Now, 2:1 with IRENE is not the same as
21 2:1 with a stylus. 2:1 in real time means that you
22 can't be doing two things at once, or rather, you're

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 attending to two things at once, so one of those
2 things that you're not paying attention to is not
3 being paid attention to.

4 But 2:1 with a scanning approach means
5 that the imaging can be unattended, which is okay,
6 because there's really nothing to do while it's
7 being imaged. I mean, you could watch it as it's
8 being imaged, but there's no point in doing that.
9 But the analysis is always attended. So there's not
10 this issue that people are concerned about, you
11 know, 12:1 workflows because the vendor's really
12 not paying attention to what they're doing, right?
13 They're just more focused on their own bottom-line.

14 So here's an example. At NEDCC, we strive
15 for 90 percent efficiency. In other words, we want
16 90 percent of the seven and a half hour workday to
17 be focused on clients and not on admin stuff and
18 things that would be distracting. So that translates
19 to 6.75 hours a day. Our billing rate's \$125 an hour,
20 and if it takes 90 minutes to scan and analyze a
21 single carrier, using that graph that I showed you
22 previously, we could do eight carriers a day.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 So if you do the math on that, it's \$105
2 per recording. Now, it can get quite a bit more
3 expensive if the recordings are broken, or cracked,
4 or dirty, we have to clean them, you know, there
5 could be added costs if they're large discs, bigger
6 cylinders, and those kinds of things.

7 So there is this opportunity, as I
8 mentioned, to, now start to --- now that we know
9 the technology works, and in some cases it works
10 better than the stylus approach because we can get
11 more signal, this is this opportunity now to start
12 focusing, in addition, on productivity. And you've
13 heard Carl talk -- he gave you the picture of the
14 shish kebab design, which we're very interested in,
15 but he also just verbally described some other
16 approaches you could do by just throwing cameras
17 at the situation.

18 I was talking with Earl about this at
19 lunch and, you know, we were talking about some of
20 the challenges and the bottlenecks, and what might
21 seem expensive in terms of equipment from our
22 standpoint is a cheap date, because when you're

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 billing out at \$125 an hour, you know, if you had
2 to spend \$500 for some component, or \$1000 for some
3 component, to cut the time in half, that's nothing.

4 You know, you can make that back within
5 hours, you know, or days. So really, this isn't a
6 physicist's math, it's a business person's math,
7 but I would not discount spending a lot of extra
8 money, frankly, to speed up the process because
9 you'll get it back in production. We just need to
10 do the back of the envelope math.

11 So at this point, what I'm going to do
12 is turn it over to Mason who's going to describe
13 some of the challenges with the physical carriers
14 that can throw a big-time wrench into that graph
15 I showed you where we could do eight units per day.

16 MR. VANDER LUGT: Hi, everybody. Can you
17 hear me? I'm Mason Vander Lugt and I operate the
18 IRENE at NEDCC. I'm going to try make this quick
19 because I've got a presentation of my own and we're
20 kind of going over. So none of us expected IRENE
21 to be ready off the shelf when we got it. We
22 understood we were kind of inheriting complicated

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 technology that was combining image sensors,
2 lasers, vibration isolation, networking, so we
3 expected a lot of that and had a lot of small problems
4 that were mostly kind of bug fixes, hours on the
5 phone with Earl and Carl.

6 But kind of one of the most standing
7 issues that we'd still like to tackle is making
8 lacquer disc scanning a little bit more reliable.
9 So the biggest problem with lacquer discs is that
10 they can be difficult to image, and we saw this
11 earlier in Stefano's presentation, that the groove
12 bottom isn't always visible and that's where we want
13 to get our audio from.

14 So this is actually a shellac disc on
15 the corner of the screen, and I'll explain a little
16 bit more about how these images work in my own talk,
17 but what you see is a number of grooves, the vertical
18 black lines, in the middle of each black line is
19 another white line, which represents the bottom of
20 the groove.

21 So, you know, when I see that I know I
22 can get good sound from these images. Lacquer discs

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 are cut instead of pressed, and so in the middle
2 of the groove instead of a clear groove bottom, we
3 often see nothing. If it's an angle, it's doesn't
4 really reflect light, so we see this, you know, just
5 a black line.

6 So this is an example of a lacquer disc.
7 One of the ways we've tried to get around this
8 problem is by using side lighting. So instead of
9 illuminating the imaging from the top, we bring in
10 a light from the side at an angle, and it gets a
11 different part of the groove, and these kind of
12 thicker white lines are the bottom corner of a
13 groove, I guess.

14 It can act as a groove bottom and we can
15 get good audio from that. The problem is that it
16 takes a lot longer. Another way to get around this
17 problem is to analyze the groove tops instead.
18 Again, this takes a lot longer and doesn't produce
19 the same kind of audio we expect from a groove
20 bottom. Let me go back a minute.

21 So these are things that we'd like to
22 see become more reliable, using either side lighting

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 or the groove top analysis, we can usually get
2 something from a disc. It's just not the eight
3 recordings a day we like to keep productive with.
4 Another problem we have with lacquer discs is a loss
5 of focus. Lacquer discs, the lacquer itself is
6 transparent or translucent instead of the kind of
7 opaque and textured shellac discs.

8 So the lasers we use to detect the
9 recording's surface and move the camera to stay in
10 focus sometimes see through the lacquer and read
11 the bottom of the disc or see texture in plasticizer
12 oxidation. And because IRENE uses such a small depth
13 of focus, even very slight misreadings in the key-in
14 system, which is the laser, can cause an instant
15 loss of focus.

16 And there are a couple ways we've talked
17 about working around this, like increasing the depth
18 of focus or using a different system to detect the
19 recording's surface. And then finally, one of the
20 ways we lose time frequently with lacquer discs is
21 a difference in tracking them.

22 As Carl described, tracking is telling

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 the computer software where the groove goes through
2 the image, so from, kind of, top to bottom of the
3 image and across. Lacquer discs can be a huge range
4 of different types of recordings, really. So from
5 commercial masters that are predictable and well
6 recorded to, basically, toys and paper-based
7 voice-o-graphs.

8 And I'll get into this more in my next
9 talk as well, but this is a shellac disc, and it's
10 a commercial disc, but often, we see something more
11 like this where I don't know if the recordist wasn't
12 trained or the machine wasn't calibrated, but the
13 grooves run together.

14 And then when the software is trying to
15 track this automatically, it doesn't have the same
16 information and kind of skips grooves or, you know,
17 reads in-between the lines and creates these kind
18 of blue things, and then I have to go through and
19 manually track. So this is the Fourier tracking
20 algorithm and the other automatic tracking we use
21 is new tracking and that uses the groove bottom,
22 and so often, if there isn't this groove bottom or

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 if there's texture in the top of the groove, like
2 we see in this scratch here, it just skips grooves.

3 And so again, I can work around this
4 using manual tracking, it's just one of the things
5 that cuts into our time if we're trying to keep any
6 kind of meaningful workflow. So I'll turn it back
7 into Bill and I'll be back up in a minute.

8 MR. VEILLETTE: So, you know, another
9 consequence of some of these issues with the carrier
10 that you don't know are there until you've scanned
11 it, is that, you know, one thing clients expect is
12 when you give them a proposal they want to know that
13 the price is the price. So, you know, we do have
14 some caveats in the proposal to say, you know,
15 sometimes we can't see the groove bottom, et cetera,
16 and so far, so good.

17 You know, people have been pretty
18 understanding that the technology is, you know,
19 still being developed. Okay. Just a couple slides
20 to end. We got a big grant from the Mellon Foundation
21 to do a study of the audio preservation market
22 because we're planning on getting further into audio

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 preservation by reformatting magnetic media.

2 And so we hired AVPreserve to do this
3 study and this is just a little data point out of
4 it where they found that there are 46 million
5 preservation-worthy grooved carriers that require
6 a specialized workflow. Now, this 46 million happens
7 to be the same number as the 46 million that the
8 Heritage Health Index came up with for all audio
9 back in 2005.

10 AVPreserve's number now is 250 million
11 audio items. And there's a paper where they can
12 defend that number that I'll just refer you to, you
13 could Google it. But, you know, this 46 million isn't
14 all rare grooved carriers like wax cylinders and
15 lacquer discs. But presuming we just use that
16 number, if we can do 1,720 cylinders or discs per
17 year based on 215 productive days in a best case
18 scenario, and you do the math over 50 years, you
19 would need 535 IRENE machines over 50 years to
20 complete all this work, if you did them all with
21 IRENE machines, which is not appropriate.

22 They're appropriate for certain

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 materials and less so for others. But the point here
2 is that prioritization and selection is going to
3 be critical. So our counsel to clients is that IRENE
4 is best used for delicate recordings that are
5 unique, rare, recordings that are rare formats where
6 the equipment may not be available to play them
7 otherwise, and then the obvious one that everybody
8 thinks of and we don't need to tell them, is damaged
9 and broken media.

10 But we specifically say to them, please
11 don't, you know, use it for commercial recordings.
12 We want to reserve our time on our IRENE machine
13 for people who have these other types of materials.

14 The final thing that we were charged with
15 in our IMLS grant was to figure out if there was
16 a way we could help sustain R&D for IRENE. And there
17 were lots of different things we were talking about,
18 but this turns out to be, really, probably the best
19 way we could help.

20 We had some focus groups that were not
21 part of the IMLS project or part of that
22 Mellon-funded study, but we kind of folded some

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 IRENE questions into it and found out that clients
2 are willing to pay up to 15 percent more for an
3 optical approach. And remember, most of these
4 clients are archivists, they're not audio
5 engineers, and, you know, these are people who don't
6 like anybody to touch anything.

7 So it's very appealing to them, the
8 non-contact approach, and they're willing to pay
9 a premium for it. The question is, can we use this
10 15 percent to support R&D and would that provide
11 enough money to move the needle. So if you do the
12 math on our billable hours, and our rate, and
13 whatnot, the bottom-line is it comes out to \$27,000
14 per IRENE machine that we could feed back to the
15 effort to develop the system further.

16 So that might seem like a small amount,
17 but really, the world needs more than one IRENE
18 machine providing services, and so it could end up
19 being a pretty good amount as you multiply this by
20 the number of machines that are put into production.
21 Finally, our study found out that 46 percent of
22 prospective clients could not proceed with their

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 audio projects unless they had a grant.

2 So if you do the math on that as well,
3 knowing that based on the previous slide we need
4 \$208,000 per year to sustain each IRENE machine at
5 full capacity, we need to make sure that there are
6 enough grant programs out there to the tune of
7 \$96,000 per machine to sustain the machine,
8 otherwise, we actually get starved of, kind of,
9 capital, which is the oxygen for doing any kind of
10 work.

11 So that's our presentation. If you want
12 to learn more, we have a very robust section of our
13 website where we describe the service in more
14 detail, what the deliverables are, you know, what
15 is part of the proposal, these caveats that I
16 mentioned, and we also have some very good examples
17 about how to clean discs that we put up there based
18 on LC's vetted approach and how to ship, and pack,
19 and all that kind of stuff.

20 So it's a very interesting website in
21 terms of, you know, understanding how an optical
22 scanning service is being presented to prospective

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 clients, so I encourage you to go there to look at
2 those kinds of things.

3 So at this point, I'm going to turn it
4 over to Mason, who has a really interesting
5 presentation. I know we're running late on time,
6 but let me just pull us here and get his up and we'll
7 get him going.

8 MR. VANDER LUGT: All right. So I want
9 to talk a little bit about using images for not their
10 intended purpose. What am I going to talk about?
11 So we use IRENE because it is contact free and
12 doesn't risk our recordings, but as an intermediary
13 step, we are left with these digital images that
14 are very high magnification and high resolution,
15 and I think these images are pretty useful if we
16 take a step back.

17 I think they can teach us about the
18 recordings themselves, the degradation of the
19 recordings, and also help us improve the operation
20 of our IRENE system. So I think, some of you ---
21 everybody understands this at this point. IRENE uses
22 a 2-D and a 3-D camera. They're both line scan

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 cameras and so we take a pass, the cylinder rotates,
2 and we move on to the second pass, and over time,
3 we're left with a complete map of the surface of
4 the recording.

5 So the natural proportions of the
6 images, their width is constrained by the image
7 sensors, but we want to take enough image samples
8 so we can get the desired audio sample rate. So this
9 long black line on the left is actually the natural
10 proportion of the image. If we zoom in at full
11 resolution, we're left with this middle image, and
12 then there's another kind of image, I'll show it
13 today, that is a little bit less easy to understand,
14 but we call them binned images, and this is what
15 we do when we're analyzing the software to help us
16 -- analyzing the data rather, to help us track.

17 And we sample the images vertically so
18 we can get a full picture of where the grooves go
19 without having to scroll through a long image like
20 this. So this is just a condensed, vertically
21 condensed image like the one on the left.

22 So what can we learn from the pictures?

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 One of the things is objective information, like
2 groove width. So this is a series of four different
3 types of disc recordings, organized in descending
4 order by their groove width. The top is a
5 Voice-o-Graph disc and the bottom is a microgroove
6 disc, so they range from 110 micrometers at the top
7 to 50 micrometers at the bottom.

8 We can also gain qualitative
9 information, so when I see these, I know what kind
10 of audio we'll get from the recording. On the left,
11 it's a commercially produced wax recording, and on
12 the right, it's a field recorded wax recording, and
13 just based on the training of the recordist, the
14 conditions in the field where it was recorded, and
15 the type of machine it was recorded on, it changes
16 the audio quality we get.

17 And when I see these images, I kind of
18 get an idea of what to expect from the audio. So
19 like I said before, this is a binned image of the
20 groove, so you can see where the grooves go
21 throughout the image. This is a commercial shellac
22 recording, so this is as about consistent as it gets.

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 The grooves are evenly spaced and they all have
2 groove bottoms, I believe.

3 This is the image that I used in my other
4 presentation. Sometimes they are not recorded as
5 well and the grooves move throughout the image. This
6 is another example. This is from a paper-based
7 lacquer disc and the groove spacing is terrible,
8 it wasn't planned well for the excursion of the
9 grooves, and I don't know what you would get if you
10 played this on a turntable, but if you look at it
11 under IRENE, you know that you're not going to get
12 something that you want.

13 This is another kind of poorly recorded
14 disc. You can see the cutting lathe was not set to
15 record deeply enough, so once a revolution it
16 actually lifted from the disc, leaving a section
17 where it was undercut. This is something, again,
18 if you played it on a turntable, you would probably
19 come to understand that something is wrong with the
20 disc, but we see very clearly now what's going on.

21 And, you know, beyond assessment, one
22 thing we can do with IRENE is actually just trace

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 across these gaps and at least get all of the
2 information that is recorded. Obviously, we can't
3 get anything that wasn't.

4 Here's another example. I turned the
5 image on its side, just because I think it's
6 interesting. It was kind of undercut or maybe the
7 recording machine wasn't working at its best that
8 day, but the head actually skipped across the
9 surface. Each of these black lines is a groove, and
10 at some point in the recording process, it skipped
11 across the surface like a stone.

12 This is a cylinder that was overcut and
13 this is another one of these we're comparing with
14 a mechanical transfer. We wouldn't have known
15 exactly why this happened playing it with a stylus,
16 just that, in two sections, it would get quiet. But
17 looking at it under IRENE, we can see exactly why
18 it got quiet, it was because it was overcutting and
19 the stylus wouldn't have read this fully.

20 This is just a fun example. Can't really
21 learn much from it, but it's interesting to look
22 at, I think. And another tinfoil where you can see,

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 kind of, the nature of the impression on the foil.

2 So my examples up until now have been
3 about what we can learn from the original recordings
4 as they were recorded, but we can also learn a lot
5 of interesting things about how recordings get
6 damaged or degrade over time.

7 This is another paper-based lacquer
8 disc. This is the first couple of grooves, kind of
9 a run-in groove, a lead-in groove, and you can see
10 that it's just a mess. It's in tatters. It wasn't
11 recorded well, but you can also see, through
12 subsequent playbacks, I guess high tracking, poor
13 styli had dragged across it and created extra
14 grooves.

15 I think this actually was recorded
16 twice. I think this is another cutting head that
17 was put on the disc and cut a new track. As we
18 progress through this, we see more new tracks, more
19 wear, and as we progress, we see some kind of
20 chattering up in the top, some inconsistency.

21 If we zoom into that, we can see the disc
22 was actually bent. The paper is more flexible than

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 the lacquer, and so when you bend the paper-based
2 lacquer disc, the surface lacquer crazes and leaves
3 us with something that we couldn't get a whole lot
4 of audio from. Here's another example of a crazing
5 disc.

6 You can see the actual grooves in this
7 image don't look like the grooves in most of our
8 IRENE images, and that's because it's actually a
9 white disc. That leaves us with less contrast and
10 that's another challenge for us is figuring out how
11 to image these and get the same contrast that'll
12 allow us to get good audio.

13 So continuing on in the damage and
14 degradation, this is a disc that was delaminating,
15 and you can see, it's a lacquer disc that was
16 delaminating. I think you all understand what that
17 means, but the surface material, the lacquer and
18 the bases don't always stick together well over
19 time, and the pieces kind of can separate from the
20 surface and separate from each other.

21 And zooming into this, you can see that
22 the places where it began delaminating, the lacquer

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 was actually pulling apart and it separated at the
2 thinnest part, which is the groove bottom. I think
3 that's interesting. So we can learn something about
4 the nature of the delamination process.

5 Here's another image of a flake of a
6 lacquer disc that was delaminating. There's a bit
7 of an illusion here as the camera loses focus below
8 this line, but you can see this piece was flaking
9 up and didn't keep in focus, and you can see the
10 light shines through the translucent lacquer.

11 Here's another condition I think a lot
12 of you are familiar with. This disc was exuding
13 plasticizer and looking at it under the
14 magnification of IRENE, we can actually see the
15 crystalline structure of the fatty acids that came
16 out, and it's interesting to me to see that the same
17 structure is present beneath the groove bottom, so
18 that's throughout the disc.

19 Here's a broken glass-based lacquer
20 disc where you can see -- so the disc broke, but
21 also chipped away at the interface of the break,
22 and you can see the shear of the glass chip. And

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 also, if you look closely, you can see the texture
2 of the glass, the bubbles in the glass.

3 Here's a frayed paper-based lacquer
4 disc. This is a Voice-o-Graph disc. It was a voice
5 letter from the second World War that had been played
6 too many times and wore away the lacquer in this
7 area, and you can see the paper base beneath it,
8 but you can also see the lacquer was kind of pulling
9 away, and the thickest part in the groove tops
10 remained.

11 You can kind of see this when you look
12 at the disc at eye level, but this gives us a lot
13 more information about how this happened. Here's
14 a disc that was covered in adhesive residue and left
15 glue covering the groove bottoms and the groove
16 tops. We couldn't see through this at all. And a
17 similar one where a disc had been written in with
18 wax crayon and it filled the groove, but left the
19 groove tops alone.

20 And here is a disc that was damaged with
21 some kind of mysterious pitting. I don't really know
22 what caused this, but the disc was very noisy. I

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 think you can actually see the disc is splitting
2 again down the middle of this groove as well.

3 Groove top wear. This is what it looks
4 like when we play a disc and it's noisy. And so I'll
5 go through a couple of similar examples for
6 cylinders. This is what we expect to see from a clean
7 commercial cylinder. They're vertically recorded,
8 as you all know, so the discs, the grooves don't
9 go side-to-side as in a laterally cut recording,
10 but up and down, and that's why you see the pits
11 in here.

12 This is what mold damage looks like under
13 IRENE. You see the audio grooves on the left side
14 of the recording, on the left side of the image,
15 and the mold damage on the right side. One thing
16 I think is interesting about this is that the mold
17 seems to have taken up residence in the pits created
18 by the audio.

19 This is, you can see impressions made
20 by the fibers of original wax cylinder recording
21 container and this is actually useful information
22 for us. It tells us that you don't really want to

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 store wax cylinders in the original cotton-lined
2 containers because they can leave marks on your disc
3 that will be audible later.

4 Similarly, this is a brown wax cylinder
5 that was beginning to effloresce, or bloom. This
6 is kind of -- I don't think there's a lot of research
7 on this, but this at least shows me that this is
8 not mold. It's actually a different failure mode.
9 Here's a talking doll cylinder recording, as Carl
10 mentioned earlier. This is what they look like, kind
11 of, typically, and then you can see one that had
12 been played more.

13 I don't know if the first has been played
14 never and the second once, or the first only a few
15 times, and the second many times, but you can see
16 the wear in the middle. It's these black lines where
17 it had been played by a stylus.

18 So finally, we can use the images and
19 also images produced by the analysis software to
20 assess our IRENE performance. The reason I have this
21 image here is because this motor, if it's off in
22 alignment by fractions of a degree vertically or

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 in the Y-axis, into and out of this image, we can
2 lose focus throughout the image.

3 And one of the ways we can calibrate it
4 so that doesn't happen is by using the depth
5 information gathered by the 3-D probe and the same
6 information in another plane gathered by the KEYENCE
7 laser. So in order to prevent artifacts in our sound
8 in 3-D, we want our images to align very precisely.
9 It sounds like it would just be 360 degrees around
10 and the width of the sensor in a step, but that leaves
11 us with a small duplication in the data.

12 So what we do is guess and check until
13 there's no overlap, but the features of the
14 recording are visible smoothly across the lines at
15 the end of a pass as you see on the left side,
16 in-between passes as you see on the right side. So
17 this is what we want to see from tracking. When I
18 began working with IRENE in fall of 2013, we couldn't
19 leave the software to analyze on its own because
20 we didn't have any indication whether the tracking
21 went successfully, so we asked them to add tracking
22 images so we could leave the software to analyze

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 overnight, and review tracking in the morning.

2 This means I can do other things instead
3 of watching the software analyze. This is what we
4 have at risk if we don't know whether the tracking
5 was successful, but if I see this looking at tracking
6 images in review, I can go back and redo this and
7 it won't give us faulty audio.

8 We can also see how blob clean is doing.
9 I don't know if anybody has described blob clean
10 at this point, but it's a way of cleaning up the
11 sound only, because the software can tell, based
12 on the shape of the pits, in 3-D, and the depth,
13 what's mold and what's audio. If we are too
14 aggressive with this algorithm, it can remove audio,
15 but we can review the images while we're analyzing
16 and see that this one actually did a pretty good
17 job figuring out what was blobs, or mold spots, and
18 what was audio, and so we know we're using the right
19 settings for the blob clean algorithm.

20 So this is a dirty lacquer disc, not
21 really exuding plasticizer, mostly just dirty, but
22 we can't really get great audio from this, so when

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 I began working with IRENE, we looked into ways we
2 could improve our data. Fortunately, somebody from
3 preservation research and testing here was already
4 working on this, and we adopted a cleaning method
5 from his process, and ended up being really
6 successful with it.

7 This is the same image, or the same disc,
8 rather. You can see the features here. The only
9 difference is before and after cleaning. So this
10 tells us that our cleaning is doing well. If you
11 want to know more about our cleaning process, I've
12 got a URL here, but you can just go to our website
13 and it's easy to find.

14 And finally, like I said earlier, we have
15 added side lighting to our process for discs without
16 a good groove bottom. When we originally invented
17 this, or designed this, rig to hold the light in
18 its place to illuminate the side of the groove wall,
19 we overloaded the motor and it left us with an image
20 that was out of focus when the camera had to move
21 quickly.

22 We figured out that we could get rid of

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 some other weight in the system and found
2 immediately that that helped our focus problems.
3 And I think, finally, we can see in our data, before
4 we analyze it, if there are bugs in the system and
5 don't waste time analyzing the data, so when I see
6 these glitches on the right side in the detailed
7 view, I know that I have to fix something before
8 I try to keep analyzing.

9 So thank you. If you have any questions,
10 I'll be here. And --- I'll be here.

11 PARTICIPANT: About what percentage
12 request 3-D analysis? Do you have an update on the
13 --

14 MR. VEILLETTE: Well, the clients don't
15 --- can you hear me? Yes. The clients don't actually
16 request 2-D or 3-D. We use the approach that's best
17 for that carrier, but we've had only one client that
18 we're proposing to use 3-D on for their discs, but
19 it's a vertically cut disc too, so.

20 MR. VANDER LUGT: We do it sometimes
21 with paper-based lacquers and we did it once with
22 an aluminum transcription disc, but yes, as Bill

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 said, we don't let people choose which process
2 they're going to use, we just use whatever makes
3 sense.

4 PARTICIPANT: Well, no, my question
5 really went to how many people want all the
6 information that's in a 3-D analysis? Because this
7 morning, I inferred that if you really want to
8 preserve a document, you want to preserve all the
9 data you can, and that made the 3-D analysis
10 desirable, but what you're telling me is that your
11 clients are not cognizant of that.

12 MR. VEILLETTE: Right. Well, there are
13 image files for 2-D and 3-D. And the 2-D image files
14 are also very interesting to look at, as we just
15 saw. We have two different kinds of templates for
16 clients. We have one for institutions and one for
17 private clients. We've had, actually, quite a large
18 number of private clients initially because there
19 was more publicity through popular media.

20 But now the mix is shifting toward
21 institutional. With the institutional clients we,
22 as a default, give them the image files and charge

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 them for the external hard drive, you know, that
2 can hold all those files. With the private clients,
3 we don't, as a default, but we let them know that
4 we're not giving them the image files, but if they
5 want them, we could add that to the proposal. Most
6 private clients don't care about the image files,
7 they just want the audio.

8 But we also have language in our proposal
9 that tells the institution to treat those image
10 files as the master file and to take care of it.
11 We also let them know that there is no software that
12 they can use to do anything with it, but we will
13 make our best efforts to maintain our software and
14 that the ultimate goal is to do what Carl was talking
15 about earlier, to have somebody, perhaps the Library
16 of Congress, maintain an open source version of it.

17 DR. NYE: I'm Jim Nye from the
18 University of Chicago and it was wonderful to hear
19 that at NEH, international engagements are taking
20 an important place in your planning. I'd like to
21 press that a little bit further, particularly
22 because I think, arguably, the linkages that NEH

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 has had with JISC, DFG over the years have been
2 enormously productive and also have extended the
3 funds that are available in limited quantity from
4 Congress.

5 And my question is about what might be
6 involved to initiate new relationships with
7 counterpart bodies, let's say, in the developing
8 world? And I work in South Asia, so I'm thinking
9 specifically, what would be involved in setting up
10 a relationship like the DFG/NEH, but with India?

11 DR. STERNFELD: Yes. That's a good
12 question. It's a long and tenuous process to do a
13 kind of longer term relationship like the one you're
14 describing. I don't know the history of the DFG
15 program and how long it took. I do know that we are
16 undergoing some talks. We're having a
17 transatlantic, it's not a conference, a
18 coordination amongst, I believe, 12 funding bodies
19 from both, I think, South America and Europe, as
20 well, is where you get the transatlantic moniker.

21 And so I think that's in the early
22 stages. I do know that our director of the Office

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

1 of Digital Humanities actually went to Korea
2 recently to, sort of, probe possibilities of
3 creating some Asian connections. I don't think I
4 can speak much further than that. It's all sort of,
5 you know, we're just sort of probing possibilities
6 at this point.

7 The program that has perhaps the most
8 international in its structure is the Digging into
9 Data Program, which has had two funding cycles so
10 far. That's a coordination of, I believe, eight
11 funding institutions, some U.S.-based, NEH, IMLS,
12 NSF, some of our Canadian counterparts, some of our
13 U.K. counterparts, and so forth.

14 And, yes I know, for example, Carl, I
15 guess he walked out, but he was proposing, for
16 example, a kind of pilot study of the possibilities
17 in a kind of digital humanities sense of what we
18 can do with these data sets, these large data sets.
19 That Digging Into Data Program is, I think, a perfect
20 vehicle to encourage collaborations, international
21 collaborations, to take these data sets and to
22 derive new ways of understanding them and analyzing

NEAL R. GROSS

COURT REPORTERS AND TRANSCRIBERS
1323 RHODE ISLAND AVE., N.W.
WASHINGTON, D.C. 20005-3701

(202) 234-4433

www.nealrgross.com

1 them.

2 MR. JOHNSTON: Well, and also, if I
3 could just add, I think the implication of the
4 question is that all of these examples aren't
5 reaching some areas of the world, I think. And
6 typically, those are initiated with particular
7 people, you know, and so if those agencies in those
8 areas are interested, there are probably people that
9 they can contact at NEH, if you want to talk further.

10 (Whereupon, the meeting in the
11 above-entitled matter was concluded at 5:21 p.m.)

12

13

14

15

16

17

18

19

20

21

22

1

2

3

4

5